

A Comparison of Vascular Development, Hydraulic Properties and Resistance to Embolism  
in Early First-Year Seedlings of Three Inland Pacific Northwest Conifer Species

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by

Megan L. Riley

Major Professor: Daniel Johnson, Ph.D.

Committee Members: Blair McLaughlin, Ph.D.; David Tank, Ph.D.

Department Administrator: Randall Brooks, Ph.D.

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## Authorization to Submit Thesis

This thesis of Megan L. Riley, submitted for the degree of Master of Science with a Major in Natural Resources and titled, “A Comparison of Vascular Development, Hydraulic Properties and Resistance to Embolism in Early First-Year Seedlings of Three Inland Pacific Northwest Conifer Species,” has been reviewed in final form. Permission, as indicated by the signatures and dates below, is now granted to submit final copies to the College of Graduate Studies for approval.

Major Professor: \_\_\_\_\_ Date: \_\_\_\_\_  
Daniel Johnson, Ph.D.

Committee Members: \_\_\_\_\_ Date: \_\_\_\_\_  
Blair McLaughlin, Ph.D.

\_\_\_\_\_ Date: \_\_\_\_\_  
David Tank, Ph.D.

Department

Administrator: \_\_\_\_\_ Date: \_\_\_\_\_  
Randall Brooks, Ph.D.

## Abstract

The first-year seedling stage is vital in terms of determining species distributions and migration under a changing climate, yet conifers experience the highest rates of mortality during their first year and it is the tree life stage least studied. In this study, we compared anatomical and theoretical functional traits of *Pseudotsuga menziesii*, *Larix occidentalis*, and *Pinus ponderosa* to *in vitro* measurements and *in vivo* visualization of vulnerability to embolism. Theoretical measurements suggest that vulnerability to embolism varies greatly for each species over the first 10 weeks of growth and so the timing of drought onset is critical in regards to potential resistance to hydraulic dysfunction in seedlings. Additionally, *in vivo* imaging of embolism during desiccation indicated that *in planta* resistance to hydraulic dysfunction cannot necessarily be inferred from results based on standard *in vitro* laboratory methodologies.

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## Abbreviations

Abbreviations	Explanation	Units
<b>Anatomical Features</b>		
$T_w$	Tracheid double wall thickness	$\mu\text{m}$
$D_{pa}$	Pit aperture diameter	$\mu\text{m}$
$D_m$	Margo membrane diameter	$\mu\text{m}$
$D_{tor}$	Torus diameter	$\mu\text{m}$
$W_t$	Torus width	$\mu\text{m}$
$D_t$	Span; tracheid lumen diameter	$\mu\text{m}$
$A_t$	Tracheid lumen area	$\mu\text{m}^2$
$T_{den}$	Tracheid density	$\# \text{mm}^{-2}$
$A_L$	Leaf Area	$\text{m}^2$
$A_s$ or $D_s$	Cross-sectional stem area or diameter	$\text{mm}^2/\text{mm}$
$A_x$ or $D_x$	Cross-sectional xylem area or diameter (includes pith)	$\text{mm}^2/\text{mm}$
$A_{tx}$	Actual measured total xylem area	$\text{mm}^2$
$A_{sx}$	Xylem sampled area	$\text{mm}^2$
$A_{sfx}$	Functional xylem area within $A_{sx}$	$\text{mm}^2$
$A_{tfx}$	Calculated total functional xylem area	$\text{mm}^2$
<b>Functional Traits</b>		
$K_{s(t)}$	Theoretical xylem specific conductivity	$\text{Kg m}^{-1} \text{s}^{-1} \text{MPa}^{-1}$
$K_{h(t)}$	Theoretical hydraulic conductance	$\text{Kg m s}^{-1} \text{MPa}^{-1}$
$K_{L(t)}$	Theoretical leaf specific conductivity	$\text{Kg m}^{-1} \text{s}^{-1} \text{MPa}^{-1}$
$K_s$	Measured xylem specific conductivity	$\text{Kg m}^{-1} \text{s}^{-1} \text{MPa}^{-1}$
$K_h$	Measured hydraulic conductance	$\text{Kg m s}^{-1} \text{MPa}^{-1}$
TPO	Torus-to-pit aperture overlap	-
$T_w D_t^{-1}$	Thickness-to-span ratio	-
$A_L:A_{tfx}$	Leaf area to functional area	-
$A_{tfx}:A_x$	Functional tracheid lumen fraction	-
$P_{50}$	Water potential at which 50% of maximum hydraulic conductivity is lost	MPa
PLC	Percent Loss Conductivity	%
$F_m$	Bordered pit margo flexibility	-
$V_{ef}$	Valve effect of the bordered pit membrane	-

## Chapter 1

### **The first 10 weeks: A comparison of vascular development, anatomy and functional traits of very young *L. occidentalis*, *P. menziesii* and *P. ponderosa* seedlings**

#### **INTRODUCTION**

“Wood is a marvelous tissue; it never ceases to fascinate... be it as a construction material for buildings, ships, fine musical instruments, or as the delicate structure one sees in the microscope” (Zimmermann 1983). Martin Zimmermann’s *Xylem Structure and the Ascent of Sap* (1983) remains a seminal resource for tree physiologists nearly 35 years after its first publication, yet the first wood cellular structures were described centuries ago (Mazzarello 1999). Wood anatomy has since been a topic of research (see Schweingruber 2007 and references therein), first made possible by the advent of the compound microscope (Preston 1964) and necessitated by the immense scope of wood and fiber products produced and used globally (Dickison 2000). Extensive research has definitively illustrated how wood anatomy governs the physical (grain, texture, color, specific gravity, density) and thus biomechanical (strength, hardness, flexibility, permeability, shrinkage and deformation), properties of wood (Dickison 2000).

An expansion of this research began in the early 20<sup>th</sup> century (Real and Brown 1991) and continues today, facilitated by continual advances in technological and analytic tools and fueled by changes in the understanding of our natural environment, research now encompasses all aspects of plant functional anatomy and ecophysiology. Vast amounts of literature explore plant development, growth, and physiology including cellular ontogeny (Esau 1977), physical and chemical tissue composition (Noves et al. 2010), chemical and hormone signaling (e.g. Hedden and Thomas 2006), primary vascular development (See review by Lucas et al. 2013), water and solute transport systems (Hacke and Sperry 2001; Tyree and Zimmerman 2002; Woodruff 2014) and numerous physiological processes such as photosynthesis and transpiration, water potential gradients, water use efficiency, sapflow, and wound and stress responses (e.g. Lambers et al. 1998; Pallardy 2008). Additionally, with a

changing climate there is significant interest in predicting mortality on individual and stand level scales (Martinez-Vilalta et al. 2002; Cheaib et al. 2012; McDowell et al. 2013).

We now understand that, just as wood anatomy dictates the biomechanical properties of wood products, it also plays a primary role in plant physiological processes such as water transport (Zimmerman 1983) and resistance to hydraulic dysfunction (Sperry et al. 1994; Sperry et al. 2006; Dalla-Salda et al. 2011). Despite the voluminous amounts of research, a black box remains in the woody plant life-history story; there remains a paucity of research on first-year species-specific development, functional anatomy, and hydraulic properties as compared to other life stages (see Chapter 2 Discussion). Large compilations (e.g. Esau 1977 and Hacke 2015) typically describe general anatomy or properties that encompass broad groups of plants rather than focus on species-specific traits. For vascular development in general, such as cellular ontogeny and xylogenesis, research is often performed on model species, such as *Arabidopsis* (Chaffey et al. 2002; Zhang et al. 2011; Lehmann and Hardtke 2016), *Zinnia* species (Chaffey 1999), and *Populus* species (Mellerowicz et al. 2001; Chang 2014). Yet, only *Populus* has true wood, and conifers lack many of the cellular components that comprise a large portion of these species' xylem tissue, such as vessels and fibers.

There are numerous aspects of species-specific early first-year seedling anatomical and functional properties that are unknown such as: (1) contributions of primary xylem to seedling hydraulic properties and resistance to hydraulic dysfunction, (2) the timing and characteristics of the transition from primary to secondary xylem development and how this changes seedling hydraulic capacities and resistance to hydraulic dysfunction, (3) secondary xylem expansion and how this early developmental period determines potential hydraulic functional properties and potential mechanisms for mitigating drought induced hydraulic dysfunction. The first year of life is a perilous stage in tree life history as first-year seedlings experience the highest rates of mortality (e.g. Burns and Honkala 1990), yet seedling survival is critical for maintaining natural forest regeneration and facilitating species migrations, especially in light of current climate change predictions.

Currently, it is unknown if the mechanisms for mediating drought-induced mortality and hydraulic dysfunction are similar between seedling, sapling, and mature stages of life (Anderegg and Anderegg 2013; Clark et al. 2016); young seedlings may not have the necessary anatomical structures to resist cavitation nor the carbohydrate and water stores to

survive long term stomatal closure during prolonged drought episodes (Johnson et al. 2011). Additionally, seedlings likely lack potential external mitigation factors such as differences in leaf cuticle thickness, stomatal closure (i.e. “leaky” stomata), rooting depths, sapwood area, capacitance, and mycorrhizal associations; thus, seedlings may be more heavily reliant on anatomical morphologies for basic hydraulic function and embolism resistance.

Previous research on saplings and mature trees have illustrated that numerous anatomical characteristics correlate with various hydraulic parameters. One of the most commonly reported parameters is the water potential at which 50% hydraulic conductivity is lost ( $P_{50}$ ) (see Gleason et al. 2016). This value is often used as a proxy for drought tolerance whereby a more negative  $P_{50}$  means more embolism resistant and thus greater drought tolerance. Several of those correlated characteristics include tracheid lumen diameter ( $D_t$ ), tracheid density ( $T_{den}$ , #  $mm^{-2}$ ), thickness-to-span ratio ( $T_w D_t^{-1}$ ), and torus-to-pit aperture overlap (TPO) (Sterck et al. 2012; Ogasa et al. 2013; Bouche et al. 2014). In addition to these characteristics, there are additional functional traits (see Functional Properties section) that shed light on hydraulic properties and carbon investment strategies.

In order to help clarify basic anatomical development and hydraulic function in very young seedlings, we analyzed vascular development, xylem anatomical characteristics and functional traits at 2, 3, 4, 6 and 10 weeks after planting in three native inland Pacific Northwest conifers: *Pinus ponderosa* Dougl. ex Laws, *Pseudotsuga menziesii* (Mirb.) Franco, and *Larix occidentalis* Nutt. (Table 1.1). We also compared anatomical characteristics from the 10 week-old seedlings to actual mortality in a common garden experiment. Quantifying and analyzing these anatomical and functional traits will elucidate potential maximum hydraulic capabilities, carbon investment priorities, water transport strategies, and resistance to drought-induced hydraulic dysfunction as these seedlings transition from primary to secondary growth.

### **Hypothesis and Objectives**

- (1) Objective: document stem anatomy and development in these three species.
- (2) Hypothesis: Drought resistance of seedlings will necessarily rely primarily on xylem resistance to cavitation, therefore anatomical characteristics of *P. ponderosa*, *P. menziesii*, and *L. occidentalis* will indicate a decreasing spectrum of drought tolerant characteristics respectively based on estimates of drought tolerance.

- (3) Hypothesis: Mortality in the common garden experiment will be correlated to the seedlings with xylem that is least resistant to embolism, as interpreted by anatomical analyses.

## MATERIALS AND METHODS

### Plant Materials and Growing Conditions

Table 1.1. Study Species.

Species	Relative Drought Tolerance*
<i>Larix occidentalis</i>	Least
<i>Pseudotsuga menziesii</i> var. <i>glauca</i> ,	Mid
<i>Pinus ponderosa</i> var. <i>ponderosa</i>	Most

\* Determined from a review conducted by Piñol and Sala (2000)

Seeds from all species were germinated in April 2015 at the University of Idaho's Franklin H. Pitkin Forest Nursery located in Moscow, ID, USA (46°43'N, 116°59'W). Seeds were sourced from the local region to minimize geographical differences in intra-species characteristics. Seeds were sown in trays of 96 – 3.8 x 21.0 cm “conetainers” (SC10R, Stuewe & Sons, Tangent, OR, USA) containing a commercial potting mix (Metro-Mix Custom Blend, Sun Gro Horticulture, Agawam, MA, USA) consisting of 40-50% Sphagnum peat moss, vermiculite, and aged fine bark.

All trays were watered according to procedures discussed by Landis (1989) and were fertilized weekly using a locally procured MiracleGro Fertilizer (NPK 24:8:16) targeted at a Nitrogen application of 100ppm. Additional phosphoric acid (H<sub>3</sub>PO<sub>4</sub>) was used to further acidify the water or aqueous fertilizer. Trays were randomized weekly within the greenhouse benches in order to minimize microclimate variations and edge effects. The greenhouse bay was computer-automated climate controlled with 15-minute monitoring intervals of temperature and relative humidity. Supplemental lighting extended the photoperiod to 14 hours a day.

### **Confocal Laser Scanning Microscopy (CLSM)**

Confocal Laser Scanning Microscopy (CLSM) utilizes laser beams of differing wavelengths and a pinhole light aperture to optically image specimens in an X, Y and Z direction, creating an optical 3-D image. It has been well established for analyzing plant cellular and anatomical characteristics (for a review, see Hepler and Gruning 1998). During growth, a minimum of five seedlings were randomly collected from each species at each time period. They were collected from the greenhouse whole, wrapped in wet paper towels, bagged and immediately transported to the lab where they were placed in FAA (95% ethanol: acetic acid: 37% formaldehyde: water; 50:5:10:35 v/v) (Ruzin 1999), degassed under a partial vacuum overnight, and retained in FAA until commencement of the imaging. For the very young, delicate samples, the entire seedling (root, stem, crown) was placed into the fixative. In older or larger samples, the seedlings were severed either slightly below the root collar or at the crown (or both) just prior to fixation. In this case, roots and crowns were retained and stored separately in FAA.

Prior to imaging, the fixed specimens were rinsed 3 times in DI water at 15 minutes per rinse. If not previously excised, the root and crown were removed from the main stem, which was then transected at approximately the mid-stem point,  $0.09 \pm 0.02\text{cm}$  (SE),  $1.4 \pm 0.06\text{cm}$ , and  $2.1 \pm 0.03\text{cm}$  for *L. occidentalis*, *P. menziesii* and *P. ponderosa* respectively and the crowns were retained for leaf area measurements (see below). The upper stem segments were processed according to Kitin et al. (2003) and the lower halves were retained in FAA for future analyses. The upper half of the stem segments were placed in 0.01% or 0.06% Safranin O under vacuum for 30 minutes, rinsed in DI water to remove excess stain, dehydrated then rehydrated in stepped acetone concentrations (DI, 25%, 50%, 75%, 100%) at 15 minutes per interval. The specimens were finally cleared in stepped glycerol concentrations (25%, 50%, 75%, and 100%) and stored in 100% glycerol. Immediately before imaging, the stem segments were hand sectioned from the mid-stem end using a double-edged razor blade and mounted in glycerol on a microscope slide with a cover slip; average section widths measured  $80 \pm 4\mu\text{m}$  (SD).

Sections were optically scanned using Olympus Fluoview Confocal Microscope with excitation of three laser lines 405, 488, and 559 nm and three emission filters (SDM490, SDM560 and BF respectively). The ranges of these emission filters were 425-475nm, 500-

545nm, and 575-675nm respectively, but each range could be further restricted in order to better distinguish specific anatomical characteristics of interest (Bond et al. 2007, Sant'Anna et al. 2013). Narrowing the range of SDM560 and BF filters to 520-540nm and 575-520nm respectively often reduced background cellular noise, making the cell walls easier to view. Overlaying the three different images, each produced from a single excitation laser (Fig. 1.1 A-C), into a composite image (Fig. 1.1D) at three different magnifications (10x, 20x and 60x) provided details for distinguishing anatomical characteristics and facilitated anatomical measurements.

### **Anatomical Measurements**

Four to seven seedlings per species per time period were measured for anatomical properties for a total of 79 measured seedlings. Week 10 was chosen as the end point of anatomical analyses as we assumed from this point forward there will be no additional major anatomical development besides the expansion of secondary xylem and development of the cork tissue (which is outside the scope of this study).

All tracheid anatomical features were measured on mature primary and secondary xylem, where mature tracheids were defined by the lack of live cell contents as viewed with confocal microscopy (Fig. 1.2). All mature tracheids were assumed participatory in stem water transport. Stem cross-sectional diameters ( $D_s$ ), xylem cross-sectional diameters ( $D_x$ ) and total xylem areas ( $A_{tx}$ ) per stem were measured utilizing the 10x and 20x CLSM images. The xylem cross-sectional diameter consisted of the average of two to five linear measurements from xylem edge to xylem edge, whereas the total xylem area was measured directly to include differentiating xylem but to exclude the pith (Fig. 1.3). A sample area ( $A_{sx}$ ) was randomly delineated per stem, approximately wedge-shaped, that included entire radial files of xylem from the pith to cambium. Functional xylem area ( $A_{sfx}$ ) was measured directly (60x magnification) within this sample area and extrapolated to estimate total stem functional xylem area ( $A_{tfx}$ ):

$$A_{tfx} = \left( \frac{A_{sfx}}{A_{sx}} \right) A_{tx}$$

When the sample area size exceeded that of the 60x field of view (211.97 x 211.97 $\mu$ m), several scans were taken encompassing the entire sample and subsequently stitched together using ImageJ "Pairwise Stitching" plugin (Preibisch et al. 2009). Lumen area for all mature



tracheids within the sample area were measured and converted into circle equivalent diameters ( $D_i$ ) (i.e. span; tracheid lumen diameter) (Fig. 1.4). A randomized subset of an average of  $31 \pm 12.05$  (SD) tracheids within the sample area were selected for wall thickness ( $Tw$ ) measurements, which consisted of the double wall thickness between the selected tracheid and at least two adjacent functional tracheids (Sperry et al. 2006; Bouche 2014) (Fig. 1.4). Bordered pit anatomical characteristics including pit aperture diameter ( $D_{pa}$ ), margo membrane diameter ( $D_m$ ) (assumed to be the inner diameter of the pit), torus diameter ( $D_{tor}$ ) and torus width ( $W_t$ ), were measured (Fig. 1.4) in primary and secondary xylem within the 60x field of view or within the total xylem area if xylem area was smaller than the field of view. Bordered pits characteristics were only measured when the adjoining two tracheids were mature and there was no evidence of cell plasma (Esau 1977) within the pit space. All anatomical measurements were performed utilizing Fiji/ImageJ (Schindelin et al. 2012; Rasband, W.S. ImageJ, U.S. National Institutes of Health, Bethesda, Maryland, USA, [imagej.nih.gov/ij/](http://imagej.nih.gov/ij/), 1997-2014).

### Functional Properties

Theoretical values for hydraulic conductance ( $K_{h(t)}$ ), xylem specific hydraulic conductivity ( $K_{s(t)}$ ) and leaf specific conductivity ( $K_{L(t)}$ ) illustrate the maximum theoretical conductivity per species, allowing for a comparison of conducting efficiency between each species at each time period. Theoretical xylem specific conductivity ( $K_{s(t)}$ ) per stem is based on average tracheid lumen diameter and calculated from the Hagen-Poiseuille equation (Santiago et al. 2004; Tyree and Ewers 1991) as:

$$K_{s(t)} = \frac{\pi\rho}{128\eta A_{tfx}} \sum_{i=1}^n D_{t_i}^4$$

where

$$\sum_{i=1}^n D_{t_i}^4 = \text{sampled} \sum_{i=1}^n D_{t_i}^4 \left( \frac{A_{tfx}}{A_{fx}} \right)$$

$K_{s(t)}$  is the xylem specific conductivity ( $\text{kg m}^{-1} \text{MPa}^{-1} \text{s}^{-1}$ ),  $\rho$  is the density of water ( $\text{kg m}^{-3}$ ) and  $\eta$  is the dynamic viscosity of water (MPa s) at 20°C, and other symbols were described previously. Stem conductance ( $K_{h(t)}$ ) was estimated by multiplying  $K_{s(t)}$  by  $A_{tfx}$  (Tyree and Ewers 1991) and theoretical leaf specific conductivity ( $K_{L(t)}$ ) was estimated by dividing  $K_{h(t)}$

by the leaf area ( $A_L$ ) measured distal to stem measurement (Tyree and Zimmermann 2002); in this case, leaf area measurements were entire seedling crowns. Thickness-to-span ratios ( $T_w D_t^{-1}$ ) were calculated for all tracheids in which  $T_w$  was measured. Torus-to-pit aperture diameter overlap [ $TPO = (D_{tor} - D_{pa}) / D_{tor}$ ] was calculated for all pits where both a torus diameter and at least one pit aperture diameter were measured.

Functional tracheid lumen fraction ( $A_{fx} : A_x$ ) were necessarily calculated for each stem as many of the lignified cells within the primary xylem area were live parenchyma and thus non-conductive; theoretical measurements based on total xylem area ( $A_x$  or  $A_{tx}$ ) would overestimate actual conductivity.  $A_{fx} : A_s$  was also calculated to determine if there was a relationship between stem area and total functional xylem.

### **Leaf Area Measurements**

Leaf area measurements were performed on a random subset of  $5 \pm 1.2$  (SD) crowns per species per time period. Cotyledons were included in leaf area if they were still attached to the crown stem. All leaves were removed, placed under clear Plexiglass to flatten needles and photographed with a ruler for scale. Area measurements were performed using Fiji/ImageJ.

### **Common Garden Experiment**

An equivalent of at least one full tray per species ( $n > 96$ ) was randomly selected for a qualitative common garden experiment, in which all trays were watered simultaneously and percent mortality was estimated during dry down to 100% mortality. Mortality was assumed when (1) needles were brown or (2) needles were desiccated and brittle to the touch. Seedling mortality experiment commenced on September 11, 2015 and concluded October 14, 2015 for a total of 34 days. Well-watered seedlings from each species were retained as controls on physical appearance.

### **Statistical Analyses**

For each trait, assumptions of normality and residual homogeneity were analyzed and variables were transformed if necessary. “Boxcox” from the “MASS” package (Venables and Ripley 2002) was used to determine transformations when the standard natural log or square root was insufficient at correcting heterogeneity of residuals. Mixed-effects models were used to account for the non-independence of repeated measures of tracheids within individual trees and pit aperture measurements (random factors). All statistical analyses were performed in R Studio (RStudio Team 2015). Mixed-effects models were fitted with “lmer” from the

“lmerTest:lmer” package (Kuznetsova et al. 2016); “lm” was used for fixed-effects models. Model comparisons that contained at least one random factor were analyzed using likelihood ratio tests (Zuur et al. 2009; Hector 2015). Fixed-effects models were analyzed with either likelihood ratio tests or analysis of variance (ANOVA) type II or III as all analyses were assumed to be unbalanced. The exception was torus width ( $W_t$ ), in which the best model was selected to eliminate collinearity between interaction effects. Post-hoc pairwise Tukey tests were performed with “lsmeans” package (Lenth 2016) for all models, which takes into account random factors and ANOVA types II and III. Results were considered statistically significant at  $\alpha < 0.05$ .

Table 1.2. Summary of Statistical Analyses for Variables of Interest

Variable	Transformation	Final Models †	Evaluation Method
$K_{s(t)}$	Natural log	$\text{Log}(K_{s(t)}) \sim \text{species} * \text{week}$	ANOVA type III
$K_{h(t)}$	Natural log	$\text{Log}(K_{h(t)}) \sim \text{species} * \text{week}$	ANOVA type III
$K_{L(t)}$	None	$K_{L(t)} \sim \text{species} + \text{week}$	ANOVA type II
$A_t$	Square root	$\text{Sqrt}(A_t) \sim \text{species} * \text{week} + (1 \text{tree})$	Likelihood Ratio
$D_t$	Square root	$\text{Sqrt}(D_t) \sim \text{species} * \text{week} + (1 \text{tree})$	Likelihood Ratio
$T_w$	Root	$T_w^{0.26} \sim \text{species} * \text{week}$	Likelihood Ratio
$T_w D_t^{-1}$	Natural log	$\text{Log}(T_w D_t^{-1}) \sim \text{species} * \text{week} + (1 \text{tree})$	Likelihood Ratio
$D_m$	None	Not analyzed independently	N/A
$D_{pa}$	None	Not analyzed independently	N/A
$D_{tor}$	None	Not analyzed independently	N/A
$W_t$	Natural log	$\text{Log}(W_t) \sim \text{species} + \text{week} + \text{type} + \text{type}:\text{species}$	Likelihood Ratio
TPO	Power	$TPO^2 \sim \text{species} * \text{type}$	ANOVA type III
$A_L$	Root	$A_L^{0.06} \sim \text{species} * \text{week}$	ANOVA type III
$A_s$	Natural log	$\text{Log}(A_s) \sim \text{species} * \text{week}$	ANOVA type III
$A_x$	Natural log	$\text{Log}(A_x) \sim \text{species} * \text{week}$	ANOVA type III
$A_{tx}$	Natural log	$\text{Log}(A_{tx}) \sim \text{species} * \text{week}$	ANOVA type III
$A_{tfx}$	Natural log	$\text{Log}(A_{tfx}) \sim \text{species} * \text{week}$	ANOVA type III
$A_L:A_{tfx}$	Root	$(A_L/A_{tfx})^{-0.6} \sim \text{species} * \text{week}$	ANOVA type III
$T_{den}$	Root	$T_{den}^{-0.6} \sim \text{species} * \text{week}$	ANOVA type III

† R formatting language; “type” either primary xylem or secondary xylem; “log” refers to natural log transformation in R.

## RESULTS

### Confocal Assisted Identification of Anatomy

A combination of 10x, 20x and 60x images were used to facilitate identification of anatomical components and subsequent analyses. The 60x magnification provided sufficient resolution to distinguish a number of anatomical characteristics including mature (i.e. dead)

xylem, differentiating xylem, vascular cambium, cell membranes, bordered pits with associated tori, phloem (Fig. 1.5) and cell wall S1 – S3 layers (not shown).

### **Development over the First 10 Weeks of Growth**

Initial primary growth (i.e. elongation of the hypocotyl) included 2 – 4 proto xylem clusters for *P. menziesii* and *L. occidentalis* and 4 – 6 clusters for *P. ponderosa* (Fig 1.6 A, F, K). Differentiation of primary xylem continued adjacent to the pith and then centrifugally, transitioning to secondary xylem differentiation via vascular cambium between 2 and 3 weeks for *P. menziesii* and *P. ponderosa* (Fig. 1.6 B, L). with the exception of *L. occidentalis*, in which differentiation and lignification of cells moved inward into the pith before vascular secondary cambium growth began (Fig.1.6 G). Vascular cambium can be visualized by the 2<sup>nd</sup> week for all species and secondary phloem is visible by the 3<sup>rd</sup> week. Surprisingly, within the primary xylem, a substantial portion of cells appear to be lignified parenchyma. A Casparian strip or suberized endodermis is present in all species from week 2 (best visualized in *P. ponderosa* Fig. 1.6 K-O); by week 10, it expanded beyond the initial stem diameter, splitting the primary epidermis and initiating stem diameter growth. Four to six initial primary resin ducts were visible in *P. ponderosa* by the second week (Fig. 1.6 K) and some irregularly spaced ducts were visualized in *L. occidentalis* by week 10 (Fig. 1.6 J), but no resin ducts are visible in *P. menziesii* at any time period. Variations in color between weeks and between species are due to differing Safranin O concentrations (0.01% or 0.06%), tissue age, tissue composition, and distance of image section from stained stem end during stem processing as well as post-processing image analysis modifications to enhance tissues and areas of interest.

### **Anatomical and Functional Characteristics**

All statistical analyses were performed on transformed variables and therefore all significant results are in terms of transformed scales while some graphs and all tables of values are reported on original scales. See Appendix Tables A.1, A.2 and A.3 for untransformed means  $\pm$  SE for areas, anatomy, and functional traits respectively. Unless otherwise noted, statistical significance for comparisons between weeks were only reported for adjacent weeks. Refer to in-text Table 1.2 for statistical models for each variable.

Correlations were analyzed between the four different area measurements (Fig. 1.7) in order to see if an easily measured variable, such as stem area, could be used as a predictor for functional xylem area, which is much more time consuming to analyze. There was a very

strong correlation between measured total xylem area ( $A_{tx}$ ) and estimated total functional xylem area as  $A_{tfx}$ , which fundamentally depends on  $A_{tx}$  [ $R^2 = 0.98$ ,  $P < 0.0001$ ]. However, it is not a 1:1 line, which indicates that extrapolating actual total functional xylem, as opposed to simple xylem cross sectional area, is important for young seedlings. However, once the relationship is known,  $A_{tfx}$  can be very accurately predicted from  $A_{tx}$  for all three species. The correlation between  $A_s$  and  $A_{tfx}$  is relatively poor, indicating that that predictions of functional xylem area cannot be accurately modeled as a function of stem cross-sectional area [ $R^2 = 0.38$ , and  $P < 0.0001$ ]. Intra-species relationships (Fig. 1.8) illustrate a better relationship for *L. occidentalis* but weaker for *P. menziesii* and *P. ponderosa*. Untransformed means  $\pm$  standard error per species per week for all four area variables are available in Appendix Table A.1.

*L. occidentalis* and *P. menziesii* exhibited similar total functional xylem areas ( $A_{tfx}$ ) for weeks 2 through 6 while *P. ponderosa* was significantly higher for all time periods (Fig. 1.9, dotted lines). All species experienced a large increase in functional xylem area between weeks 6 and 10. At week 10, differences between species were significant with *P. ponderosa*, *L. occidentalis*, and *P. menziesii* represent the descending order of total functional xylem area. The functional tracheid lumen fraction ( $A_{tfx}:A_x$ ) per species per week (Fig. 1.9, solid lines) illustrate that though *L. occidentalis* had the lowest total functional xylem area for 4 of the 5 time periods, it had the greatest ratio of functional xylem to cross-sectional xylem area while *P. ponderosa* has the lowest ratio for all time periods except week 10.

Leaf area to sapwood area ( $A_L:A_s$ ) is an oft reported trait that has numerous implications for dry climate adaptation (e.g. Tyree and Zimmermann 2002; Maherali et al. 2002). In our case, we used total functional xylem area ( $A_{tfx}$ ) as our sapwood area, as this is the area of the xylem assumed to be functional in transporting water to the crown. Trends over time (Fig. 1.10 A) for all species showed a decreasing  $A_L:A_{tfx}$  ratio between weeks 3 to 6 followed by a subsequent increase between week 6 and 10; averaged over all species, there were no significant differences comparing weeks 2 and 10, likely due to the larger variance in the youngest seedlings. At weeks 2 and 10, *L. occidentalis* had the smallest  $A_L:A_{tfx}$  ratio as compared to the other two species (Fig. 1.10 B, C). By week 10, the intra-species variation was considerably smaller and *P. menziesii* seemed to exhibit the greatest  $A_L:A_{tfx}$  compared to the other two species, though it was not significantly different from *P. ponderosa* (Fig. 1.10

C). An alternate way of visualizing the change in  $A_L$  to  $A_{\text{tfx}}$  over time was to plot  $A_L$  as a function of  $A_{\text{tfx}}$  (Fig. 1.11), where the slope of the line between any two adjacent time points represents the ratio of crown to xylem area (i.e.  $A_L:A_s$ ). The steepest slope occurred from weeks 6 to 10 for *P. menziesii*, which is consistent with *P. menziesii* exhibiting the largest  $A_L:A_{\text{tfx}}$  ratio by the latter time period. The larger  $A_L:A_{\text{tfx}}$  indicates that a smaller xylem area supplies a given crown area, which indicates *P. menziesii* would exhibit the most negative water potentials for a given transpiration rate and that dysfunction within a given xylem area may have a greater impact on crown water availability compared to the other two species.

Within the functional xylem areas, histograms of lumen area showed skewed distributions of tracheid sizes with shifting means per time period per species (Fig. 1.12 A-C). *P. ponderosa* seedlings contained the largest tracheids as well as the largest mean tracheid areas at each time period as compared to the other two species. This was consistent with *P. ponderosa* exhibiting the lowest tracheid density when averaged over all time periods (now shown). However, at week 10 there was no significant difference between tracheid density among the three species, which is indicative that the largest tracheids are contained within the primary xylem and confirmed by the increase and subsequent decrease in mean tracheid diameters over time. *P. menziesii* appeared to have the highest tracheid density (not shown); however, this result was not significant, likely due to a larger variance combined with a small sample size (not shown). This result was consistent with the tracheid diameter distribution for *P. menziesii*, which exhibited the smallest average tracheid diameters and the narrowest distributions. See Appendix Table A.2 for average tracheid diameters.

Across all species, mean tracheid diameters increased between weeks 2 to 3 and decrease between weeks 4 to 6 (Fig. 1.13 A) while cell wall thickness increased between each time period from weeks 2 to 10 (Fig. 1.13 B).  $D_t$  and  $T_w$  were almost completely independent of one another, but did exhibit a very slight negative correlation [slope: -0.01, adjusted  $R^2 = 0.004$ ,  $P = 0.002$ ]. The thickness-to-span ratios ( $T_w D_t^{-1}$ ) increased from week to week with significant increases between weeks 3 to 4 and 4 to 6 (Fig. 1.13 C). This indicated that tracheid wall thickness as opposed to tracheid diameter governed changing thickness-to-span ratios in growing seedlings. While Bouche et al. (2014) also found that tracheid wall thickness controlled  $T_w D_t^{-1}$ , others have found that  $T_w$  remains relatively constant and  $D_t$  controls  $T_w D_t^{-1}$  (Pittermann et al. 2006b; Sperry et al. 2006). Initially, *P. ponderosa* exhibited the larger

thickness-to-span ratio, but by week 10, *P. menziesii* had significantly higher thickness-to-span ratios than other two species (Fig. 1.14 A, B). Comparing only weeks 2 and 10, *L. occidentalis* and *P. menziesii* both experienced overall significant increases in thickness-to-span ratios, while *P. ponderosa* seedlings showed no significant change.

Overall, four pit features were measured directly. There were relatively good positive correlations between margo diameter ( $D_m$ ) and torus diameter ( $D_{tor}$ ) [ $R^2 = 0.59$ ;  $P < 0.0001$ ], and marginal correlations between  $D_m$  and pit aperture ( $D_{pa}$ ) [ $R^2 = 0.44$ ,  $P < 0.0001$ ] as well as  $D_{tor}$  to  $D_{pa}$  [ $R^2 = 0.32$ ,  $P < 0.0001$ ] (Fig. 1.15). Torus width ( $W_t$ ), our primary pit feature of interest, appeared to be nearly uncorrelated with every other pit feature, although there was a very slight positive correlation with torus diameter [ $R^2 = 0.009$ ;  $P = 0.03$ ]. Categorizing  $W_t$  between primary and secondary xylem illustrated that tori within the primary xylem are significantly wider across all species (Fig. 1.16 A) compared to tori within the secondary xylem, though intra-species analyses show that differences between primary and secondary xylem  $W_t$  were not significant in *P. menziesii*. Over time,  $W_t$  decreased, indicating a transition in the seedlings from primary to secondary xylem (Fig. 1.16 B). Comparing all three species across xylem type and time, *L. occidentalis* exhibits the largest  $W_t$  while *P. menziesii* and *P. ponderosa* were not significantly different from one another (Fig. 1.17).

Torus-to-pit aperture overlap (TPO) was the primary pit functional trait of interest, as a large overlap coincides with better ability to seal embolized tracheids. Model fitting for TPO indicated that xylem type (i.e. primary or secondary xylem) was not a significant factor but it was retained due to interaction effects between species and xylem type. TPO between primary and secondary xylem were significantly different in *P. menziesii* and *P. ponderosa* but not in *L. occidentalis*, with primary xylem containing smaller TPOs in general, and in many cases negative values (Fig. 1.18 A, open circles below 0.0), indicating that the torus diameter is actually smaller than the pit aperture and likely not effective in preventing air seeding (expansion of air from one tracheid into an adjacent water-filled tracheid). In terms of secondary xylem, *L. occidentalis* had the smallest overlap when averaged over all time periods, while *P. ponderosa* and *P. menziesii* were not significantly different (Fig. 1.18 B).

Theoretical stem conductance ( $K_{h(t)}$ ) per week, averaged across all species, significantly increased over time (Fig. 1.19 A) and by week 10, all species were significantly different from one another with *P. ponderosa* exhibiting the largest conductance and *P.*



*menziesii* being substantially lower as compared to the other two species (Fig. 1.19 B). When normalized by functional xylem area (i.e. theoretical xylem specific conductivity;  $K_{s(t)}$ ), conductivity significantly decreased between weeks 4 to 6 and 6 to 10 (Fig. 1.20 A). When normalized by leaf area (i.e. theoretical leaf specific conductivity;  $K_{L(t)}$ ), conductivity increased from weeks 2 through 6 followed by a statistically significant decrease from weeks 6 to 10 (Fig. 1.20 B). Although increases between adjacent weeks from 2 to 6 were not significant, the difference between weeks 2 and 4 was significant.

Intra – species analyses over time demonstrated different trends in  $K_{s(t)}$  and  $K_{L(t)}$  per species (Fig. 1.21 A, B). Week was not a statistically significant factor for  $K_{s(t)}$  ( $P=0.06$ ), but it was retained due to a significant interaction effect between time period and species.  $K_{s(t)}$  for all species trended downwards toward lower specific conductivities, but changes for *L. occidentalis* were not significantly different (Fig. 1.21 A). Although variations were not statistically significant between adjacent time periods for *P. ponderosa*, there were significant decreases between weeks 3 to 6 and weeks 4 to 10.  $K_{s(t)}$  for *P. menziesii* significantly decreased between weeks 4 to 6 and weeks 6 to 10, which mimicked the trend over time averaged over all species (Fig. 1.20 A). In week 2, *P. menziesii*  $K_{s(t)}$  was significantly lower than *P. ponderosa*, but not significantly different from *L. occidentalis* (Fig. 1.22 A), while in week 10, *P. menziesii* was significantly lower compared to both species (Fig. 1.22 B). For both time periods, *L. occidentalis* and *P. ponderosa* were not significantly different. The differences for *L. occidentalis* and *P. ponderosa* at week 2 are not significantly different from week 10.

$K_{L(t)}$  exhibited clear fluctuations from weeks 2 to 10 (Fig. 1.21 B, solid lines) for *L. occidentalis* and *P. ponderosa*. The drop in  $K_{L(t)}$  at week 2 for *L. occidentalis* was likely biased low by  $n = 2$  measurements for this time period. Despite fluctuations,  $K_{L(t)}$  dropped significantly for all species between weeks 6 and 10. Conversely, leaf area for all three species exhibited a rapid expansion between weeks 6 and 10 similar to functional xylem area expansion; *P. ponderosa* exhibited the greatest leaf area development (Fig. 21 B; dotted lines). The decrease in  $K_{L(t)}$  with an increasing leaf area indicates that crown expansion exceeded the xylem area expansion for the same time period, thus changes in leaf area as opposed to changes in xylem area govern changes in  $K_{L(t)}$ . At weeks 2 and 10, *P. menziesii* was significantly lower than *L. occidentalis* and *P. ponderosa*, which were not significantly

different from one another (Fig. 1.22 C, D). Although  $K_{L(t)}$  fluctuated over time, there were ultimately no differences for all species between the first and last time periods, although variability for two of the three species was much smaller at the latter time period.

### **Common Garden Experiment**

*L. occidentalis* seedlings were the first and most responsive to drought with extensive yellowing, moderate wilting and some observed mortality by day 12 of the drought experiment, while *P. ponderosa* seedlings showed some yellowing of basal needles and cotyledons and *P. menziesii* seedlings displayed no discernable effects. *L. occidentalis* seedlings reached near 100% mortality by day 18, indicating that it was the most susceptible to drought induced hydraulic dysfunction. By day 24, *P. ponderosa* exhibited greater than 50% seedling mortality while *P. menziesii* exhibited only 3 individual cases of mortality but an obvious change to the majority of seedlings' leaf appearance, looking less vibrantly green compared to well-watered seedlings. By day 30, *P. ponderosa* seedlings experienced ca. 90% mortality while approximately 50% of the *P. menziesii* were still green but severely desiccated to the touch. By day 34, only three *P. menziesii* seedlings appeared alive, though they were severely stunted.

### **DISCUSSION**

Overall, seedlings within the first 2 to 3 weeks of life were much more hydraulically conductive as compared to their older seedling counterparts, with larger tracheids, wider pits ( $D_m$ ), and larger theoretical xylem specific conductivities, which facilitate maximum water transport to the crown per a given xylem area. However, from an anatomical perspective, they seem less able to mitigate hydraulic dysfunction with smaller thickness-to-span ratios (driven by smaller tracheid cell wall thicknesses), and smaller torus-to-pit aperture overlaps, which are less resistant to tracheid implosion and air seeding respectively.

Interestingly, observations of tori in week 2 seedlings were surprisingly infrequent (Fig. 1.17 B), even though the torus widths tended to be thicker in primary xylem and thus should have been easier to visualize using CLSM. One possible explanation is that the margo structures are more delicate and more susceptible to damage and subsequent torus displacement during transection; however, this should be a non-issue with CLSM, which is capable of scanning into the tissue beyond the mechanically damaged transected edge of the

sample. As week 2 is comprised entirely of protoxylem and the initial xylem clusters for all species (Fig. 1.16 A, F, K), this begs the question as to whether or not many of the bordered pits present within the initial xylem clusters have torus-margo membranes. It is plausible that these conduits may have simple pit membranes, which are not detectable at the maximum magnification used with CLSM in this experiment.

All species transitioned to production of secondary xylem via vascular cambium by week 3, although *L. occidentalis* was the only species to exhibit fully lignified xylem and axial parenchyma within the pith area. Between weeks 3 and 10, the seedlings underwent substantive xylem expansion and anatomical development. Week 10 functional characteristics effectively represented traits reflective of secondary xylem with smaller tracheid diameters, thicker cell walls, thinner tori and larger torus-to-aperture overlaps.

Surprisingly, for many of the functional traits, *L. occidentalis* and *P. ponderosa* were not significantly different at week 10 including  $K_{s(t)}$ ,  $K_{L(t)}$ ,  $Tw Dt^{-1}$ , and  $T_{den}$ , though *P. ponderosa* exhibited the highest  $A_L$ ,  $A_{tfx}$ , and  $K_{h(t)}$ , indicating that *P. ponderosa* seedlings may be capable of transporting larger quantities of water, which increase the potential for photosynthesis and growth. A growing body of recent literature has linked pit properties and conductance; the wider pits in *P. ponderosa* likely contribute to higher conductivity compared to the other two species (Schulte et al. 2015).

By week 10, *L. occidentalis* exhibited the lowest  $A_L:A_{tfx}$  ratio and the highest tracheid lumen fraction but the lowest torus-to-pit aperture overlap and largest torus width. The low TPO (Table A.3) is most likely indicative of *L. occidentalis* being the least resistant to hydraulic dysfunction via air seedling; this conclusion is corroborated by the common garden experiment in which larch seedlings were the quickest to reach 100% mortality. The high tracheid lumen fraction of *L. occidentalis* illustrated that, in terms of carbon investment strategies, *L. occidentalis* may be more efficient in carbon allocation for xylem construction, whereby a greater proportion of xylem built is functional in water transport in the young seedlings. As illustrated in Figs. 14 and 26, *L. occidentalis* did not require the additional mechanical support of secondary xylem until after week 6, when the seedlings experienced substantial stem, xylem and crown growth, though lignification of the pith no doubt contributed to mechanical stability at younger stages.

This strategy can be contrasted against *P. ponderosa*, whose crown and xylem growth increased across all time periods and thus necessitated greater mechanical stability; though, why a greater portion of xylem were not functional is intriguing. One plausible explanation relates to the stomata-like openings found in the epidermis of *P. ponderosa* (MLR in prep). If the seedlings were actively photosynthesizing in the stem with adjacent pores available for gas exchange, the seedlings do not necessarily require water transport all the way to the crown and can instead route water radially to the chlorophyll in the stem.

By week 10, *P. menziesii* seedlings exhibited the highest  $A_L:A_{\text{tfx}}$  ratio, the largest thickness-to-span ratios, the lowest stem conductance, the lowest total functional xylem area, the lowest theoretical xylem and leaf specific conductivities, and tied with *P. ponderosa* for the lowest tracheid lumen fraction ( $A_{\text{tfx}}:A_x$ ) and largest torus-to-pit aperture overlap. Combined with the results from the common garden experiment, this presents a very strong argument for the safety versus efficiency trade-off (Zimmermann 1983; Pitterman et al. 2006a; but see Gleason et al. 2016) in very young seedlings, in which the xylem of *P. menziesii* is more resistant to embolism, air seeding, and tension-induced implosion, but at the cost of hydraulic efficiency. The large  $A_L:A_{\text{tfx}}$  for *P. menziesii* indicates that for a given crown area (i.e. demand), the supply (i.e. functional xylem area) is much smaller, which would lead to overall more negative water potentials for a given transpiration flux as compared to the other two species. This would necessitate more embolism resistant xylem. What this doesn't take into account are other physiological and morphological responses, such as water use efficiency and rooting depth, which may make *P. ponderosa* more competitive in xeric conditions.

Another interesting anatomical implication for geographic distributions and potential recovery from drought induced stress relates to the lumen diameter distributions (Fig. 1.12). *P. ponderosa* distributions are skewed much farther right than the other two species, meaning it has greater numbers of very large tracheids. This implies not only a greater capacity to transport water but the potential to maintain a much greater proportion of functional tracheids during desiccation. In terms of  $P_{50}$  values, *P. ponderosa* may reach a water potential corresponding to 50% loss of conductivity, but if the loss corresponds to the largest of the tracheids (theoretically), then the *P. ponderosa* seedlings may still be capable of transporting

a significant amount of water should it become available again, meaning *P. ponderosa* seedlings may have a significant advantage in survivability after re-watering.

Conversely, *L. occidentalis* is drought deciduous, which is a unique attribute for a conifer species. It is therefore not surprising that it can functionally compete with *P. ponderosa* in terms of  $K_{s(t)}$  and  $K_{L(t)}$ . *L. occidentalis* in the Pacific Northwest have been observed as having high transpiration rates and stomata that are not particularly sensitive to ambient humidity (Baker, unpublished), which appear to be capable of maximized water transport facilitating transpiration during the growing season. It then follows that this species would have to lowest  $A_L:A_{tfx}$  ratio, which means that for a given transpiration rate, this species is capable of maintaining the overall least negative water potentials. *Larix* spp. are also capable of allometric adjustments, such as dropping needles, to reduce the potential for embolism. Alternatively, the species may simply embolize over the growing season and produce new, conductive wood in the spring or refill prior to next year's budbreak, although definitive conclusions regarding refilling of embolized tracheids in conifer wood remain elusive (see review by Brodersen and McElrone 2013).

The current mechanistic paradigm for embolism refilling attributes the actual refilling process to axial parenchyma, which is supported both by circumstantial evidence and through direct visualization; water droplet formation on the lumen walls of embolized vessels have been shown to occur adjacent to axial parenchyma (Brodersen et al. 2010). These three species have prodigious amount of lignified, vasicentric axial parenchyma; if the current paradigm holds true, embolism refilling may represent a plausible drought mitigation strategy for these young seedlings. Refilling of the pith and primary xylem during initial stages of growth would result in a myriad of benefits by increasing overall hydraulic conductance and replenishing capacitive reserves. *P. ponderosa*, which had the smallest functional tracheid lumen fraction and conversely may have the greatest parenchyma area (within the xylem area, in addition to tracheids, there are axial parenchyma, lignified parenchyma walls, resin ducts [*P. ponderosa* and *L. occidentalis*] and radial parenchyma [rays]), which may give *P. ponderosa* seedlings a competitive advantage in xeric conditions where water availability fluctuates. One additional fascinating discovery was the presence of stomata-like pores and within the epidermis of *P. ponderosa*, which to the authors' knowledge, has never been reported in conifer seedlings, though they have been reported in *Arabidopsis* flower stalks

(see Nadeau and Sack 2002 and references therein). This implies a potential for large photosynthetic rates with associated production of carbohydrates within the seedlings stems, which would be in closer proximity to the axial parenchyma to facilitate quicker osmotic adjustments to ease xylem tension or facilitate rapid refilling.

One significant limitation to our study was the artificially induced drought in our common garden experiment, which was not representative of drought in field conditions. Often, precipitation tapers over the season rather than abruptly ceases, and melting snow packs during the spring provide additional plant accessible water. Additionally, *P. ponderosa* is known to root deep and fast during establishment, which allows it access to water resources not necessarily accessible to other, shallower rooted species. Combined with larger tracheids, greater tracheal area distributions and larger conductivities, *P. ponderosa* may be capable of outcompeting *P. menziesii* and *L. occidentalis* in xeric conditions with minimal water availability.

We would also be amiss if we did not further discuss the mechanisms for air seedling and potential influences of TPO,  $W_t$  and other pit membrane characteristics not measured. In conifers, there are several hypotheses in regards air seeding mechanisms: torus displacement when pressures exceed the torus' ability to remain flush against the inside of the bordered pit (Domec et al. 2006; Mayr et al. 2014), seal capillary seeding (Delzon et al. 2010), torus capillary seeding (Jansen et al. 2012), and torus prolapse (Zelinka et al. 2015). With a smaller TPO, the membrane is not as effective sealing off the embolized conduit, which would facilitate seal capillary seeding or torus displacement. Several studies have concluded that, due to the correlation between TPO and cavitation, the most likely mechanisms for air seeding occur at the torus-pit aperture interface (Delzon et al. 2010; Bouche et al. 2014), though there is some additional support for torus pore air seeding in Pinaceae (Jansen et al. 2012; Bouche et al. 2014).

Other research has shown no correlation between margo porosity and cavitation resistance (Pittermann et al. 2010) and little to no correlation between pit conductivity and pressure required to induce air seeding (Hacke et al. 2004; Pitterman et al. 2006b). Margo flexibility has been both positively and negatively correlated with embolism resistance (Hacke et al. 2004; Domec et al 2006; Delzon et al. 2010), but Bouche et al. (2014) found little

difference in margo flexibility between 115 different species, which is a strong indication that the margo may play a somewhat insignificant role in embolism resistance.

Additionally, torus width remains an understudied but potentially critical anatomical component (Schulte et al. 2015). Mechanical-displacement experiments performed by Zelinka et al. (2015) showed the torus itself to be surprisingly flexible. We hypothesize here that thicker tori may be less flexible and reduce sealing capacity when aspirated and thus would be more prone to seal capillary seeding; this would be further exacerbated by a small TPO; however, a thicker torus may reduce torus capillary seeding and the potential for torus prolapse. To our surprise, our results indicate that torus width is either uncorrelated or only very slightly correlated to any other pit anatomical or functional characteristic, including TPO ( $P = 0.08$ ).  $W_t$  is likely to be related to embolism resistance in the sense that the widest tori are observed both in primary xylem and in *L. occidentalis*, which we hypothesize to be the most embolism prone tissue type and species, yet its independence from other traits indicates that it may be co-evolved feature that inherently plays a causative role in embolism resistance, though teasing apart the influences between TPO and  $W_t$  will be necessary in future research. Regardless, anatomical knowledge and predictions on seedling hydraulic properties would be enhanced by further research on the torus-margo structures in seedling bordered pits, especially as further research continues to refine the role of pits in hydraulic conductivity and embolism resistance.

A last caveat to this study is that, due to time and funding limitations, SEM imaging and subsequent analyses were not performed on seedling pits. As discussed earlier, evidence put forth in a growing body of recent literature supports the hypothesis that xylem conductivity in conifers is dependent upon pits in general, and specifically, the largest of the margo pores sizes and total margo pore area (Schulte et al. 2015 and references therein). However, in terms of air seeding resistance, the margo plays a somewhat controversial role. While several different mechanisms are hypothesized, there is evidence for multiple potential mechanisms at play simultaneously within Pinaceae (Bouche et al. 2014, Mayr et al. 2014; Schulte et al. 2015). Schulte et al. (2015) posit that a margo with larger pores and finer “spokes” are more prone to cavitation fatigue and thus more likely to lead to hydraulic dysfunction. However, as *in planta* embolism refilling is controversial, it is unknown how cavitation fatigue figures into actual plant embolism resistance.

## CONCLUSION

Based on anatomical measurements, we expect that protoxylem, metaxylem, then secondary xylem would be the order of increasing resistance to embolism and air seeding. While tracheid implosion is not frequently observed *in situ* (implosion pressure is generally more negative than embolism pressure), this may not hold true with protoxylem, in which the cell walls are only helically thickened (not shown). Contrary to our hypothesis, by week 10, xylem of *P. menziesii* appeared to be the most embolism resistant, followed by *P. ponderosa* and then *L. occidentalis*, based on torus-to-pit aperture overlap, thickness-to-span ratio, tracheid density, and torus width. Our common garden experiment supports this conclusion, where embolism resistance and therefore resistance to hydraulic dysfunction in absence of any source of water is dependent upon anatomy where external mitigating factors are excluded.

However, considerable changes in anatomy over the initial 10-week growth period are indicative of potentially drastic changes in embolism resistance depending on the developmental stage and the species. Seedling age at the onset of limited water availability is likely to have a significant influence on survivability for all species, especially due to lack of external mitigating factors, such as rooting depth and thick needle cuticles. With such substantial differences in key morphological and functional traits, we would expect *in situ* vulnerability curves generated at any given time period to be different from any other week.

Our comparisons here suggest that in terms of geographical locations *P. ponderosa* is capable of out-competing both *P. menziesii* and *L. occidentalis* in xeric habitats, yet extreme, long-term drought events may have the greatest impact on *P. ponderosa* if the drought conditions exceed *P. ponderosa*'s ability to recover after re-watering, which may be inherently linked to tracheid size distributions and potential embolism refilling capabilities. Further research defining this mortality threshold in these species at the seedling stage as well as determining the capacity for embolism refilling will further enhance prediction of distribution changes and potential mortality events.



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## Chapter 2

### **A comparison of $P_{50}$ estimates, anatomical characteristics, and functional traits to *in planta* embolism in very young conifer stems using X-ray computed microtomography**

#### **INTRODUCTION**

Conifers are dominant in many forested ecosystems across our globe (Biswas and Johri 1997; Farjon 2008; Lin et al. 2010). These forests provide vast amounts of terrestrial ecosystems services and functions including oxygen production, carbon sequestration, water filtration, erosion control and wildlife habitat not to mention numerous anthropogenic services such as recreation and aesthetics (Allen et al. 2010; also see Adams et al. 2013 and references therein). Unfortunately, unpredictable large scale mortality events are already changing forest composition, structure, species abundance (Martinez-Vilalta and Piñol 2002; Bigler et al. 2007; Carnicer et al. 2011; Hereş et al. 2012; Barbeta et al. 2013; Breshears et al. 2015; Clark et al. 2016) and decimating plantation and monoculture ecosystems, (e.g. Millar et al. 2012; Michaelian et al. 2010; Garcia de la Seranna et al. 2015; Slezak 2016). Fortunately, the geographical distributions of these forests are surprisingly versatile within the temporal context of planet Earth history; latitudinal migrations of Pinaceae are evident in fossil records (see references in Brodribb et al. 2012) and current species migrations are already under observation (Allen and Breshears 1998; Gworek et al. 2007; Rapacciuolo et al. 2014), yet the IPCC predicts that, on average, tree migration rates ( $\text{km yr}^{-1}$ ) will be unable to track with climate change velocity ( $^{\circ}\text{C yr}^{-1}$ ) for even the lowest rates of climate change model estimates (Settele 2014; see also Loarie et al. 2009).

Successful migration for forest species necessarily and fundamentally depends upon seedling establishment beyond current borders (Johnson et al. 2011), yet seedlings are the most susceptible to drought- and temperature-induced mortality (e.g. Moyes et al. 2013), with highest rates of mortality documented during the first year (Haig et al. 1941; Burns and Honkala 1990; Cui and Smith 1990; Mori et al. 2004). This may indicate that seedling recruitment is a bottleneck to forest natural regeneration and migration (Clark et al. 2016), especially if adult mortality rates exceed seedling recruitment rates.

Surprisingly, research to date is sparse in regards to first-year seedling hydraulic properties and drought-induced dysfunction (Kolb and Robberecht 1996, Cui and Smith 1990; Reinhardt et al. 2015; MLR in prep; Brix 1979; Zavitkovski and Ferrell 1968; Maherali et al. 2002), with very little from the 21<sup>st</sup> century. The little information available demonstrates that seedling vulnerability cannot be extrapolated from their adult counterparts. Based on hydraulic conductivity measurements, resistance to hydraulic dysfunction in seedlings – seedlings in this context is used loosely and does not necessarily refer to first-year seedlings – can be greater than, less or equal to their respective adult counterparts depending on the species (Cui and Smith 1990; Urli et al. 2013; DMJ unpublished) and intra-species variations in first-year seedlings across geographical ranges is virtually unknown, although Kavanagh et al. (1999) found that there were differences in xylem resistance to cavitation and conductivity between four populations of three-year-old *P. menziesii* seedlings and Reinhardt et al. (2011) found differences in stomatal conductance and photosynthesis between two different populations of *Pinus flexilis* seedlings. Additionally, several hydraulic properties in mature species, such as capacitance and xylem specific conductivity, have been shown to vary by geographical regions for a number of different species (Corcuera et al. 2012; Maherali et al. 2002; Barnard et al. 2011); it seems reasonable, therefore, to assume that first-year seedling functional traits and characteristic would be different between populations or provenances.

This suggests a regionalized approach may be necessary, with research necessarily focused on local species and seed sources. An understanding of native conifer seedling responses to drought is critical in order to better predict changing species distributions, especially in places like the Pacific Northwest USA, which is characterized by summer seasonal drought. Very young seedlings must be able to tolerate drought as early as within the first month of above ground life (Haig et al. 1941). However, with the unprecedented speed of our changing climate (Allen and Breshears 1998), seedlings may face increasing water-related stress very early in their life cycle, which is attributed to longer growing seasons (Settele et al. 2014), smaller snow packs (Mote et al. 2005), potential for higher transpiration rates (further exacerbated by increases in temperatures), and decreased summer and fall precipitation (Abatzoglou et al. 2014).

If we wish to understand seedling hydraulic dysfunction and potentially predict mortality in the context of a changing climate, we must first understand how *in vitro*



measurements of hydraulic conductivity during desiccation and subsequent  $P_{50}$  estimates coincide with *in planta* hydraulic dysfunction and actual mortality. Even though  $P_{50}$  is often used as a proxy for plant resistance to embolism, these *in vitro* measurements do not necessarily reflect plant natural distributions nor do they enable accurate drought-induced mortality predictions. For instance, multiple studies have illustrated that *P. ponderosa* wood is more inherently vulnerable to embolism (i.e. less negative  $P_{50}$ ) as compared to *P. menziesii* (Martinez-Vilalta et al. 2004, Domec et al. 2009, and Barnard et al. 2011), yet *P. ponderosa* typically inhabits more xeric habitats (Burns and Honkala 1990). This pattern is illustrated across multiple species, where species from more xeric habitats are not necessarily more resistant to embolism (see Bouche et al. 2014 and references therein). In terms of predicting mortality, Schwantes et al. (2016) has unequivocally shown that *Juniperus ashei* experienced the highest mortality rates in Texas after several years of severe drought, even though it had been considered the most drought tolerant tree species within that ecosystem (i.e. most negative  $P_{50}$ ) (McElrone et al. 2004). Conversely, *Quercus fusiformis*, one of the species with the least negative  $P_{50}$ , exhibited very little drought-induced mortality. We do not, as of yet, fully comprehend how *in vitro* hydraulic properties relate to functional capacities to survive dry and drought conditions.

To further complicate the issue with young seedlings, there is very little research detailing species-specific xylem anatomical characteristics, development, and hydraulic properties. As discussed by MLR (Chapter 1), little is known about the mechanisms for mitigating hydraulic dysfunction in seedlings and the little available research indicates that seedling hydraulic characteristics cannot be extrapolated from adult counterparts. The lack of species-specific anatomical information is also critical as many aspects of xylem anatomy, including tracheid and pit characteristics, are associated with plant hydraulic properties and embolism resistance (Tyree and Zimmermann 2002).

In a previous study (MLR in prep), we analyzed and compared xylem anatomical characteristics and functional traits at five different time periods during early development in *Pseudotsuga menziesii* var. *glauca*, *Pinus ponderosa* var. *ponderosa* and *Larix occidentalis* to infer theoretical embolism resistance and hydraulic capacities. Here, we combined a subset of those data with *in vivo* embolism imaging using high resolution x-ray computed microtomography (microCT) during desiccation as well as traditional stem hydraulic

vulnerability curves to elucidate *in vitro* versus *in vivo* hydraulic dysfunction in 6-week-old *P. menziesii* var. *glauca*, 6-week-old *L. occidentalis*, and 4-week-old *P. ponderosa* var. *ponderosa*. High resolution x-ray computed microtomography is a relatively new technological tool in the plant physiologist's toolkit, which allows for *in planta*, real time, 3-dimensional imaging of internal plant structures (Stuppy et al. 2003; Brodersen et al. 2012), stem and leaf hydraulic dysfunction during drought (Brodersen et al. 2013; Choat et al. 2015) and embolism repair (Brodersen et al. 2010). Based on the results from our previous study (MLR Chapter 1), we developed the following objectives and hypotheses:

### **Hypotheses and Objectives**

- (1) Objective 1: Compare measured hydraulic traits with calculated theoretical functional traits.
- (2) Objective 2: Visualize actual embolized tracheids during desiccation with microCT imaging and compare to hypothesized embolism resistance based on measured anatomical characteristics, with special focus given to the differences between primary and secondary xylem.
- (3) Objective 3: Compare overall visualized seedling embolism among species and compare to hypothesized embolism resistance based on anatomical measurements and estimated  $P_{50}$  values.
- (4) Hypothesis: Protoxylem, metaxylem and secondary xylem will be the least to most embolism resistant, respectively.
- (5) Hypothesis: *P. ponderosa*, *L. occidentalis*, and *P. menziesii* represent least to most embolism resistant respectively.

## **MATERIALS AND METHODS**

### **Plant Materials and Growing Conditions**

Seeds from all species were initially germinated at the University of Idaho's Franklin H. Pitkin Forest Nursery located in Moscow, ID, USA (46°43'N, 116°59'W) and subsequently transported to the University of Idaho's Sixth Street Greenhouse. All seeds were sourced from locally collected seeds to minimize geographical differences in intra-species characteristics. Seedlings used for the anatomical analysis were grown as described by ML Riley (see methodologies Chapter 1).

On May 20, 2015, approximately two weeks after germination for *P. menziesii* and *L. occidentalis*, seedlings were transplanted from styro-growing blocks into 9.1 x 9.1 x 10.8 cm Anderson Pots (AB45; Stuewe & Sons, Tangent, OR, USA); *P. ponderosa* was sown directly from seed on the same date. All species were grown in a commercial potting mix (Metro-Mix Custom Blend, Sun Gro Horticulture, Agawam, MA, USA) consisting of 40-50% Canadian Sphagnum peat moss, vermiculite, and bark.

One month after planting/transplanting, seedlings from each species were randomly selected for one of three watering treatments: well-watered, mid-watered, and low-watered, resulting in 16-18 seedlings per treatment per species. Watering treatments were determined by counting the number of passes with the watering hose required to saturate the well-watered seedlings; mid-watered seedlings received half as many passes and the low-watered treatment received only one pass. Seedlings were shipped overnight from Moscow, ID to Berkeley, CA one day prior to the commencement of microCT imaging.

#### **Confocal Laser Scanning Microscopy (CLSM)**

CLSM was used for shallow 3D optical imaging of seedling anatomy and vascular development. Stem preparation and imaging protocols were thoroughly described by MLR (in prep). Briefly, seedlings were collected from the greenhouse and stored in FAA (95% ethanol: acetic acid: 37% formaldehyde: water; 50:5:10:35 v/v) (Ruzin 1999). Prior to imaging, seedling stems were stained with Safranin O, dehydrated and rehydrated in stepped acetone concentrations, cleared with glycerol, hand sectioned and mounted in glycerol. Images were optically scanned with an Olympus FV1000 Confocal Microscope (Olympus Corp, Center Valley, PA, USA) using three laser lines 405, 488, and 559 nm at three different magnifications (10x, 20x, and 60x); composite images were used for anatomical analyses. See MLR Chapter 1 for more details on CLSM.

#### **X-ray computed microtomography (microCT)**

Actual hydraulic dysfunction during desiccation was visualized *in vivo* using high resolution computed microtomography (microCT) (Beamline 8.3.2; Advanced Light Source, Berkeley, CA), with concurrently measured hydraulic conductivity and water potential measurements to generate vulnerability curves (see next section) at the 4-week age class for *P. ponderosa* and 6-week age classes for and *P. menziesii* and *L. occidentalis*. Different age classes were necessitated for *P. ponderosa* due to plant and container size restrictions for

microCT imaging. Eighty total seedlings were scanned between the root collar and the crown base: 16, 19, and 15 intact stems for *P. ponderosa*, *P. menziesii* and *L. occidentalis* respectively and 10 excised stems per species (see next section).

All seedlings were imaged using the procedure described in-depth by McElrone et al. (2013). Seedlings were mounted into a custom holder, covered by an acrylic cylinder to reduce vibrations, and imaged using continuous tomography at 24 keV while the seedling was rotated from 0-180°. Images were captured by a camera (PCO EDGE; Cooke Corp., Romulus, MI, USA) with a 5x magnification Mitutoyo long working distance lens. Scanned volumes were larger for intact seedlings with an effective volume height of 2.2mm, resulting in 1025 raw 2-dimensional images per stem while excised stem volume heights were 21.4µm resulting in 513 raw 2D images. Raw images were then reconstructed using Octopus Software ImageJ plugin (Institute for Nuclear Sciences, University of Ghent, Belgium), resulting in 1636 .tif image slices and 16 .tif image files respectively with a voxel size of 1.338µm x 1.338µm x 1.338µm (x, y, z pixel dimensions). After imaging, seedlings were processed for vulnerability curves.

### **Vulnerability Curves**

Vulnerability curves (VCs) express the relationship between hydraulic conductivity and increasing xylem tension (Sperry and Saliendra 1994; Kolb et al. 1996). Procedures for constructing vulnerability curves have been well established with numerous variants (Sperry and Saliendra 1994; Melcher et al. 2010; Barnard et al. 2011; McCulloh et al. 2012; Barigah et al. 2013), but few of these variants have been used for very delicate, small diameter tissues, where standard conductivity measurements and water potential measurements (pressure bomb) are likely to cause severe mechanical damage.

We therefore combined standard bench dehydration methods with a modified Sperry apparatus (Sperry et al. 1988). Stem hydraulic conductance ( $K_h$ ) was measured using a Sensirion SLI-0430 low flow meter (Stafa, Switzerland) after microCT imaging. One end of the stem segment was connected through the low flow meter to a reservoir containing filtered, degassed 20mM KCl aqueous solution. The other tip of the stem segment was placed directly in a shallow water reservoir to prevent flow variability due to water bubble buildup and release. This required only one side of the stem to undergo physical manipulation, which reduced possible mechanical damage from handling as well as leaks at the stem-to-tube

connection point.  $K_h$  was calculated as the output of the flowmeter (volume/time) divided by the pressure gradient, which was then normalized by the length and stem diameter of the sample for an estimate of stem specific conductivity ( $K_s$ ) (Zimmermann et al. 1983; Sperry et al. 1988). In our case, soft tissues were not removed from the stem prior to conductivity measurements due to the excessively small ( $0.94 \pm 0.04$  mm) and delicate nature of the xylem.

Native  $K_s$  was measured on an average of  $10 \pm 1$  (SD) intact seedlings per species across all watering treatments. Due to the water retention properties of the soil combined with the volume of the pots, the low-watering treatment seedlings were not dehydrated enough to construct a full vulnerability curve (VC). Thus, to supplement the VC, ten individuals per species were randomly selected from the well-watered group and stems between the root collar and crown base were excised. These excised stems were placed in degassed, filtered, 20mM KCl water under a partial vacuum overnight. Two stems per species were immediately imaged and subsequently measured for  $K_s$  max; the remainder of the excised stems were bench dehydrated, imaged in pairs, and then measured for  $K_s$  and water potential.

Thermocouple psychrometers (Merrill Specialty Equipment, Logan, UT) were used to measure water potential on equilibrated leaf tissue in the case of intact plants or small stem segments in the case of excised stems. Prior to stem measurements, the psychrometers were calibrated on-site with four different salt solutions from which a calibration factor was derived (Brown and Bartos 1982). Samples were enclosed in stainless steel psychrometer chambers, triple-bagged, submerged in a 25°C water bath, and equilibrated for at least four hours or until sequential measurements were stable. Psychrometers were measured by an AM16/32B multiplexer interfaced to a CR6 data logger (Campbell Scientific, Logan, UT). Full vulnerability curves for each species were constructed with  $K_s$  as a function of water potential using both intact and excised stem measurements.

### **Anatomical and Trait Characteristic Comparisons**

Mean anatomical measurements including stem cross-sectional diameters ( $D_s$ ), xylem cross-sectional diameters ( $A_s$ ), actual functional xylem area ( $A_{fx}$ ), tracheid diameters ( $D_t$ ), tracheid area distributions, tracheid cell wall thickness ( $Tw$ ), torus and margo diameters ( $D_{tor}$ ,  $D_m$ ), torus widths ( $W_t$ ), pit aperture diameters ( $D_{pa}$ ), tracheid densities ( $T_{den}$ ) and leaf areas ( $A_L$ ) as well as functional traits including theoretical  $K_{s(t)}$  and  $K_{h(t)}$ , functional tracheid lumen

fraction ( $A_{\text{tfx}}:A_x$ ), thickness-to-span ratios ( $T_w D_t^{-1}$ ), and torus-to-pit aperture overlap (TPO), were measured or calculated and subsequently analyzed for inter- and intraspecies comparisons as described by MLR (Chapter 1). Here, we also calculated two additional pit functional characteristics: margo flexibility [ $F_m = (D_m - D_{\text{tor}})/D_{\text{tor}}$ ] and valve effect [ $V_{\text{ef}} = F_m * \text{TPO}$ ], both of which are hypothesized to relate to seal capillary air seeding resistance.

We also compared theoretical  $K_{s(t)}$  for each species at their respective ages (week 4 for *P. ponderosa* and week 6 for *P. menziesii* and *L. occidentalis*) to hydraulically measured values. Actual embolized tracheids as visualized by microCT imaging were compared between species for each xylem type (i.e. proto-, primary or secondary xylem) to determine if *in vivo* embolism was consistent with hypothetical embolism resistance as posited by MLR in Chapter 1, where *P. ponderosa*, *L. occidentalis* and *P. menziesii* represent the spectrum from least to most embolism resistant respectively at these specific ages.

### **Statistical Analysis**

All anatomical measurement analyses, including  $F_m$  and  $V_{\text{ef}}$  were conducted in R Studio (RStudio Team 2015) as described by MLR (Chapter 1). Here, pairwise post-hoc analyses were conducted to compare *P. ponderosa* at week 4 to *P. menziesii* and *L. occidentalis* at week 6. All fixed-effects models were analyzed using ANOVA type III for an unbalanced design. Linear mixed modeling was performed using “lmer” from the “lmerTest” package and Post-hoc Tukey’s pairwise tests were performed for both model types using “lsmean” package for significant differences at  $P < 0.05$ . Vulnerability curve fits were conducted in Sigma Plot 12.5 (Systat Software, San Jose, CA).

## RESULTS

### Anatomical and Trait Comparisons

Table 2.1 Anatomical and Functional Traits of Interest.

Trait	<i>P. menziesii</i> (week 6)	<i>L. occidentalis</i> (week 6)	<i>P. ponderosa</i> (week 4)
$A_s$ (mm <sup>2</sup> )	0.637±0.042 <sup>a</sup>	0.370±0.027 <sup>b</sup>	1.824±0.099 <sup>c</sup>
$A_x$ (mm <sup>2</sup> )	0.118±0.008 <sup>a</sup>	0.061±0.006 <sup>b</sup>	0.335±0.025 <sup>c</sup>
$A_{fx}$ (mm <sup>2</sup> )	0.069±0.005 <sup>a</sup>	0.039±0.002 <sup>a</sup>	0.148±0.010 <sup>b</sup>
$D_t$ (μm)	6.89±0.22 <sup>a</sup>	8.23±0.22 <sup>a</sup>	10.93±0.29 <sup>b</sup>
$K_{s(t)}$ (kg m <sup>-1</sup> s <sup>-1</sup> MPa <sup>-1</sup> )	1.01±0.14 <sup>a</sup>	1.40±0.09 <sup>a</sup>	2.58±0.020 <sup>b</sup>
$K_{h(t)}$ (kg m s <sup>-1</sup> MPa <sup>-1</sup> )	7.11E-5±1.17E-5 <sup>a</sup>	5.38E-5±5.36E-6 <sup>a</sup>	3.89E-4±5.11E-5 <sup>b</sup>
<b>Tw Dt<sup>-1</sup></b>	0.73±0.04 <sup>a</sup>	0.57±0.02 <sup>ab</sup>	0.40±0.01 <sup>b</sup>
<b>W<sub>t</sub> (μm)</b>	0.63±0.026 <sup>a</sup>	0.83±0.019 <sup>b</sup>	0.68±0.016 <sup>ab</sup>
<b>TPO</b>	0.56±0.017 <sup>a</sup>	0.48±0.014 <sup>b</sup>	0.45±0.014 <sup>b</sup>
$F_m$	0.84±0.03 <sup>a</sup>	0.91±0.03 <sup>a</sup>	1.05±0.03 <sup>a</sup>
$V_{ef}$	0.46±0.02 <sup>a</sup>	0.41±0.01 <sup>a</sup>	0.42±0.01 <sup>a</sup>

Mean +/- SE. Reproduced from MLR (in prep). Different letters per trait indicate significance at  $P < 0.05$ . Traits in bold were used to determine hypothesized species' resistance to embolism. Statistical analyses were performed on transformed variables; means are reported are on original scale.

Table 2.1 was partially reproduced from MLR (in prep) illustrating reported differences among 6-week old *P. menziesii*, 6-week old *L. occidentalis*, and 4-week old *P. ponderosa*. The only exception is TPO, in which the values reported were averaged over measurements from 5 different time periods. The largest TPO and Tw Dt<sup>-1</sup> in *P. menziesii* indicate a better overall resistance to hydraulic dysfunction during drought though it exhibits the lowest theoretical specific conductivity ( $K_{s(t)}$ ). *P. ponderosa* exhibits the lowest values for both TPO and Tw Dt<sup>-1</sup>, indicating that at this age, it may be the least resistant to embolism compared to the other two species. Even though *P. ponderosa* is several weeks younger, it is significantly larger and more hydraulically conductive.

For both margo flexibility ( $F_m$ ) and valve effect ( $V_{ef}$ ), results indicated no statistically significant differences between the species at this age. This is likely due to complex interactive effects between xylem type, species and age. However, when comparing all factors and interaction effects, there are significant differences of both  $F_m$  and  $V_{ef}$  between *P.*

*ponderosa* and *L. occidentalis* primary xylem ( $P = 0.047$ ,  $P = 0.045$  respectively) and near significant differences for both traits between *P. ponderosa* and *P. menziesii* ( $P = 0.057$ ,  $P = 0.071$  respectively). There are no statistical differences for these traits within the secondary xylem, though it is worth noting that both traits in the primary xylem are significantly larger compared to the secondary xylem across all species.

### **Vulnerability Curves**

*P. menziesii* was fitted with a 4-parameter sigmoid function [ $R^2 = 0.52$ , Adjusted  $R^2 = 0.39$ ,  $P = 0.03$ ] and *P. ponderosa* and *L. occidentalis* were fitted with a 3-parameter exponential growth function [ $R^2 = 0.34$ , Adj.  $R^2 = 0.25$ ,  $P = 0.04$ ;  $R^2 = 0.65$ , Adj  $R^2 = 0.59$ ,  $P = 0.002$  respectively] (Fig. 2.1 A-C).  $P_{50}$  values were estimated by calculating the water potential at 50% of max  $K_s$  for each fitted curve where the maximum value was estimated using the maximum values of the fitted curve (i.e.  $P_0$ ). Estimated  $P_{50}$  values are -1.56 MPa, -0.39 MPa and -1.04 MPa respectively.

Based on plotted vulnerability curves,  $K_s$  max was estimated to be 0.92, 0.52, and 1.55  $\text{kg m}^{-1} \text{s}^{-1} \text{MPa}^{-1}$  for *P. menziesii*, *L. occidentalis*, and *P. ponderosa* respectively. Actual maximum measured values were 1.27, 0.77, and 1.90  $\text{kg m}^{-1} \text{s}^{-1} \text{MPa}^{-1}$  respectively. Theoretical maximum  $K_s$  values calculated from anatomical measurements were 1.01, 1.40 and 2.58  $\text{kg m}^{-1} \text{s}^{-1} \text{MPa}^{-1}$  respectively. Theoretical values indicated that *L. occidentalis* would have a greater capacity for water transport, but actual values did not reflect this.

### **MicroCT Imaging**

Magnification of the xylem bundle shows gas-filled intercellular spaces and pith (dark grey) clearly distinct from live cells and water-filled functional xylem (Fig. 2.2). However, it appears that live cell contents and dead, water-filled xylem are not distinguishable in the microCT images. This demonstrates the necessity of having corresponding CLSM or light microscopy images for determining actual functional xylem (Fig. 2.3).

MicroCT imaging during desiccation shows similar patterns of embolism for all three species (Fig. 2.4 A-R). Embolism occurs first (at the least negative water potentials) in intercellular spaces within the cortex, then the pith, followed by the protoxylem clusters in *P. ponderosa* and *P. menziesii* (Fig. 2.4 A-D, G-J). In *L. occidentalis*, the order of embolism between the pith and the primary xylem clusters are reversed where embolism occurs first in the proto xylem then extends into the lignified pith cells (Fig. 2.4 M-P). The difference in the



embolism pattern of *L. occidentalis* is likely due to the lignification of parenchyma within the pith, which is not seen in the other two species (MLR in prep). Sustained desiccation resulted in embolism of the remaining primary xylem and severe tissue damage to the cortex, epidermal tissues, and resin canals (*P. ponderosa* only) (Fig. 2.4 E, K, Q). Secondary xylem tracheids embolized only at the most severe levels of water stress (Fig. 2.4 F, L, R). Even though stems were severely warped and dehydrated, *L. occidentalis* was the only species to undergo significant secondary xylem embolism (Fig. 2.4 R).

## DISCUSSION

MicroCT imaging illustrates clear differences in cavitation resistance between proto-, primary and secondary xylem with protoxylem being the least resistant to embolism, followed by primary xylem then secondary xylem. However, species comparisons of embolism resistance are not as straight forward. We hypothesized that, based on anatomical and functional characteristics reported by MLR (Chapter 1), *P. ponderosa*, *L. occidentalis* and *P. menziesii* would represent the least, intermediate, and most resistant to hydraulic dysfunction respectively, and the results from vulnerability curve-estimated  $P_{50}$  values corroborate this hypothesis. Yet, given the same dry down time periods and treatments for both intact and excised seedlings, *L. occidentalis* experienced extensive amounts of secondary xylem embolism compared to the other two species, which provides strong evidence that *in planta*, *L. occidentalis* is the least resistant to embolism. This is surprising from an anatomical perspective, as *L. occidentalis* exhibited intermediate traits normally correlated with resistance to embolism at this age (i.e. TPO and  $T_w Dt^{-1}$ ).

Interestingly, MLR (Chapter 1) suggested that torus width ( $W_t$ ) may play a critical role in resistance to air seeding, whereby a larger width decreased flexibility and reduced ability of the torus to adequately seal the associated bordered pit aperture. Our results here are the first reported in support of this hypothesis; this is the only trait for *L. occidentalis* that indicated a possible increased vulnerability to xylem embolism compared to the other two species. Though, it is worth noting that any conclusions based on anatomical or functional traits here are predicated on the assumption that anatomical characteristics and inter-species relationships are the same between the seedlings used for anatomical analysis and those used for microCT image analysis and vulnerability curves.

In terms  $F_m$  and  $V_{ef}$ , some studies have found increased  $V_{ef}$  confers better resistance to embolism by increasing the ability for the torus-margo to seal against the pit aperture (Delzon et al. 2010; Bouch et al. 2014). Conversely,  $F_m$  has been hypothesized to both positively and negatively correlate to reduced air seeding (Hacke et al. 2004; Delzon et al. 2010). Bouche et al. (2014) found the  $V_{ef}$  variability to be mostly attributed to the TPO component as opposed to  $F_m$ , for which they found varied weakly among all studied species. Torres-Ruiz et al. (2016) found little difference in TPO,  $F_m$  and  $V_{ef}$  between inner and outer parts of the xylem within branches of *Pinus sylvestris*, so the actual correlation of  $V_{ef}$  and  $F_m$  to embolism resistance across multiple species and tissue types remains inconclusive.

We hypothesized that *P. ponderosa* would be the least resistant to hydraulic dysfunction and *P. menziesii* would be the most; however, we were unable, even in the most desiccated stems, to induce complete secondary embolism in either of the two species as visualized by microCT imaging. The lack of secondary xylem embolism is interesting considering *P. ponderosa* reached ca. 95% percent loss conductivity (PLC) and *P. menziesii* reached ca. 85% PLC as estimated from maximum and minimum  $K_s$  values on our vulnerability curves. This implies that (1) a portion of the initial decline in hydraulic conductance can be attributed to conductance through extra-xylary tissue (including intercellular spaces and the pith) and (2) primary xylem contribute a very large proportion of hydraulic conductance in these very young stems. Cuneo et al. (2016) also reported a decrease in  $K_s$  with extra-xylary embolism in grape roots. In our case, further results may be gleaned by modeling total embolized area within different tissues of the stems to the numerous measured traits to in order to quantify correlations and statistical significance.

Interestingly, from an anatomical perspective, the seedlings at this age most resemble roots as opposed to mature tree boles and our results indicate they also hydraulically, most resemble mature roots based on published root  $P_{50}$  values. For *P. menziesii*, root  $P_{50}$  values ranged from -1.0 to -1.4 MPa (Domec et al. 2006) and *P. ponderosa* roots ranged from -0.07 to -1.98 MPa (Domec et al. 2004; Koepke and Kolb 2013). Our estimated  $P_{50}$  for *P. menziesii* is just slightly outside this range (-1.56 MPa) and our estimate for *P. ponderosa* falls within this range (-0.39 MPa). To our knowledge, there are no reported  $P_{50}$  estimates for *L. occidentalis* roots. If hydraulic measurements had been performed without microCT visualization of embolism, we would have concluded that the seedlings had reached

maximum PLC when we stopped seeing a decrease of  $K_s$  with more negative water potentials. Interestingly, Domec et al. (2004) also reported a maximum native PLC (%) of  $87 (\pm 7)$  and  $70 (\pm 6)$  for young *P. ponderosa* and *P. menziesii* roots respectively, which is similar to what we observed as estimated by our fitted vulnerability curves, though about 10% lower. To date and to the authors' knowledge, there are no other published vulnerability curves or  $P_{50}$  values reported for first-year conifer seedlings. The closest analog was reported by Vance and Zaerr (1991), who found mortality in *P. ponderosa* var. *ponderosa* occurred when xylem pressures fell below  $-4.0\text{MPa}$  ( $\sim 54\%$  relative water content) in 15-week old seedlings.

Regardless of *P. ponderosa*'s estimated vulnerability to embolism, it typically inhabits more xeric habitats as compared to the other two species, though *P. ponderosa* and *P. menziesii* can co-exist in transition zones (Burns and Honkala 1990). One possible attribute that may facilitate *P. ponderosa*'s increased survivability in more xeric habitats, among others discussed in MLR (Chapter 1), is that *P. ponderosa* seedlings have a much larger distribution of tracheid sizes with numerous tracheids that are much larger than the other two species and thus contribute much more to hydraulic conductivity. Previous anatomical analyses (MLR in prep) show that these very large tracheids primarily occur within the primary xylem. If these very large tracheids embolized first, we would expect to see *P. ponderosa* seedlings reach their respective  $P_{50}$  quickly, which is, in fact, what we do see. However,  $P_{50}$  values do not represent or describe what remains of in terms of functional xylem; *P. ponderosa* still may be as competitive or more competitive in terms of hydraulic conductivity as compared to the other two species. Indeed, we see that, based off of our fitted curves, *P. ponderosa*  $K_s$  at  $P_{50}$  is  $0.78 (\text{kg m}^{-1} \text{s}^{-1} \text{MPa}^{-1})$ , compared to a  $K_s$  max of 0.92 and 0.52 for *P. menziesii* and *L. occidentalis* respectively, indicating *P. ponderosa* may still be just as competitive after 50% loss of conductivity.

Based on the theoretical xylem specific conductivities ( $K_{s(t)}$ ), we hypothesized that *P. ponderosa* should exhibit the highest  $K_s$  values, which was confirmed by the *in vitro* hydraulic measurements. However, our predicted theoretical values indicated that *L. occidentalis* should have greater  $K_s$  compared to *P. menziesii*, but our measured values indicated the opposite. This may be attributed to the different methods used for calculating  $K_s$  between the measured and theoretical values. We used functional xylem area ( $A_{\text{fx}}$ ) for

theoretical values whereas we used the entire stem area ( $A_s$ ) for the measured  $K_s$  values.

Theoretical  $K_{s(t)}$  was calculated as follows (MLR in prep):

$$K_s = \frac{\pi\rho}{128\eta A_{\text{tfx}}} \sum_{i=1}^n D_{\text{t}i}^4$$

where

$$\sum_{i=1}^n D_{\text{t}i}^4 = \text{sampled} \sum_{i=1}^n D_{\text{t}i}^4 \left( \frac{A_{\text{tfx}}}{A_{\text{fx}}} \right)$$

$K_s$  is the xylem specific conductivity ( $\text{kg m}^{-1} \text{MPa}^{-1} \text{s}^{-1}$ ),  $\rho$  is the density of water ( $\text{kg m}^{-3}$ ) and  $\eta$  is the dynamic viscosity of water (MPa) at 20°C, and other symbols as described previously. Actual functional xylem area ( $A_{\text{tfx}}$ ), which was calculated as a subset of total xylem area (only a portion of lignified tissue within the total xylem area were mature xylem). By contrast, total stem area ( $A_s$ ) was used for actual  $K_s$  values; as that was the most practical measurement at the time of the study. By using a smaller estimate of functional xylem area, we would expect that our actual  $K_s$  values would increase, though the magnitude of that increase for each species is unknown. MLR has shown that in small seedlings, there is a poor allometric relationship between stem area and total functional xylem area, and so functional xylem area cannot be estimated from stem measurements alone.

Generally, when using mature plant organs for conductivity measurements, such as branches, roots, and stems, anything outside the xylem is not considered. The extra-xylary “soft” tissues including bark, phloem and vascular cambium are peeled away from the segment ends and the pith is sealed, leaving only the woody xylem associated with the conductivity measurement as well as the segment diameter measurements utilized in  $K_s$  calculations. In our case, we could not peel away excess tissue as the xylem alone was too small and delicate for independent measurements and thus the entire stem was used for conductivity measurements. As it stands, our measured  $K_s$  values are more representative of entire stem conductivities and may not reflect xylem specific conductivities and thus actual maximum  $K_s$  and  $P_{50}$  values should be viewed as estimates.

However, we posit that altering the area used for  $K_s$  calculations (i.e. decreasing area) would only shift the fitted curves upward towards greater values of  $K_s$ , and so their overall shape and associated water potentials would not change. The only exception may be *L*.

*occidentalis*, which experienced close to 100% embolism as corroborated by both microCT and associated hydraulic conductivity measurements of near 0  $K_s$  at the most negative water potentials. Decreasing the area used in calculating  $K_s$  for *L. occidentalis* would move the  $K_s$  values associated with less negative water potentials upward toward greater  $K_s$  while  $K_s$  values that are closer to zero at more negative water potentials would remain the same, effectively increasing the slope of the fitted curve and shifting the associated  $P_{50}$  estimate towards less negative water potentials. This is what we would expect based on anatomical and functional traits of *L. occidentalis* pits and torus-margo membranes, where the capacity to limit air seedling from tracheid to tracheid seems more limited compared to the other two species and should result in a steep loss of conductivity slope (MLR Thesis Chapter 1).

In order to better estimate xylem specific conductivity, it would have been prudent to utilize image-derived measurements of xylem area as opposed to stem area in the  $K_s$  calculations. However, this approach inherently produces its own set of complications. Typically, the xylem diameter at each end of the stem segment is measured and the xylem area averaged over the length of the stem segment is used in calculating  $K_s$ . In our case, if we used one 2D image for xylem area estimates, there would be only one single measurement per stem representing a stem length of 2-3 $\mu$ m. Furthermore, the relationship between where the optical imaging occurred in relationship to stem segment used for conductivity measurements was only estimated as approximately the mid-stem, but likely varied during imaging. This remains one of the major drawbacks to using microCT and relating back to anatomical measurements. Even when the location of the microCT imaging is marked, subsequent anatomical measurements using light microscopy/CLSM images are time consuming to match, if even possible. However, this does not preclude the necessity of concurrent light or confocal microscopy imaging. As we have discussed, not all lignified cells within the xylem area are mature xylem. Therefore, any vulnerability to embolism curves constructed based on imaged embolized area (as described by Choat et al. 2015) would overestimate total functional xylem area and thus underestimate vulnerability.

It is worth noting that the same pattern of embolism during desiccation was observed for both intact and excised stems, which indicates that (1) through initial stages of embolism, bench dehydrating is appropriate for seedlings and (2) capacitance plays a role in these very young seedlings. Embolism of cortex tissue, pith and intercellular spaces indicated that these

serve as sources of capacitance during drought to reduce tension in the xylem and lessen tracheid embolization. If soft tissues (i.e. not xylem) desiccate in order to keep xylem functional, this begs the question: what is the desiccation threshold from which seedlings cannot recover? This also suggests that embolism refilling could potentially present a real advantage for survival in these very young seedlings, especially in places with variable early seasonal water availability.

## CONCLUSION

This study demonstrates some of the unique challenges associated with measuring water potential and hydraulic conductivity very young seedlings. Due to the delicate nature of young seedlings, many techniques for measuring vulnerability to hydraulic dysfunction (e.g., pressure sleeve, centrifuge) simply do not work or they damage the seedling and necessitate utilizing the entire stem as opposed to isolating the xylem. Additionally, flows in small seedlings are so low that only very sensitive flow meters or balances are able to accurately detect flows through young seedling stems. This illustrates the necessity for methodological research and analyses to establish protocols for accurately measuring xylem specific conductivities and water potentials for very delicate tissue subject to mechanical damage where the xylem cannot be separated from the remainder of the stem tissue.

Despite some inherent drawbacks, this experiment successfully combined state-of-the-art technologies with traditional hydraulic measurements to report the first vulnerability curves and  $P_{50}$  values on seedlings at this age and compared these results to *in vivo* hydraulic dysfunction. Based on *in vivo* microCT imaging, *L. occidentalis* appears to be the least embolism resistant, which is not surprising based on known drought deciduousity. However, the vulnerability curve estimated  $P_{50}$  values do not corroborate with embolism visualized by microCT nor do they accurately reflect known species' habitats, which adds to the growing body of evidence that  $P_{50}$  values should not be used to predict *in planta* hydraulic dysfunction. Our  $P_{50}$  estimates support the conclusion that *P. ponderosa* is more inherently vulnerable to embolism, which is corroborated by the anatomical measurements and is already well established for mature specimens.

Although we were unable to induce complete secondary xylem embolism in both *P. ponderosa* and *P. menziesii*, and thus unable to determine which of these two species may be

more embolism resistant from a functional perspective, we have provided some plausible explanations for *P. ponderosa*'s ability to thrive in xeric conditions even though it seems to be more inherently vulnerable to embolism. This is particularly critical to seedling-stage individuals, as they likely lack external mitigating factors present in mature trees, including rooting depth, stomatal control, thick leaf cuticle, and large water and carbohydrate stores.

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## Figures

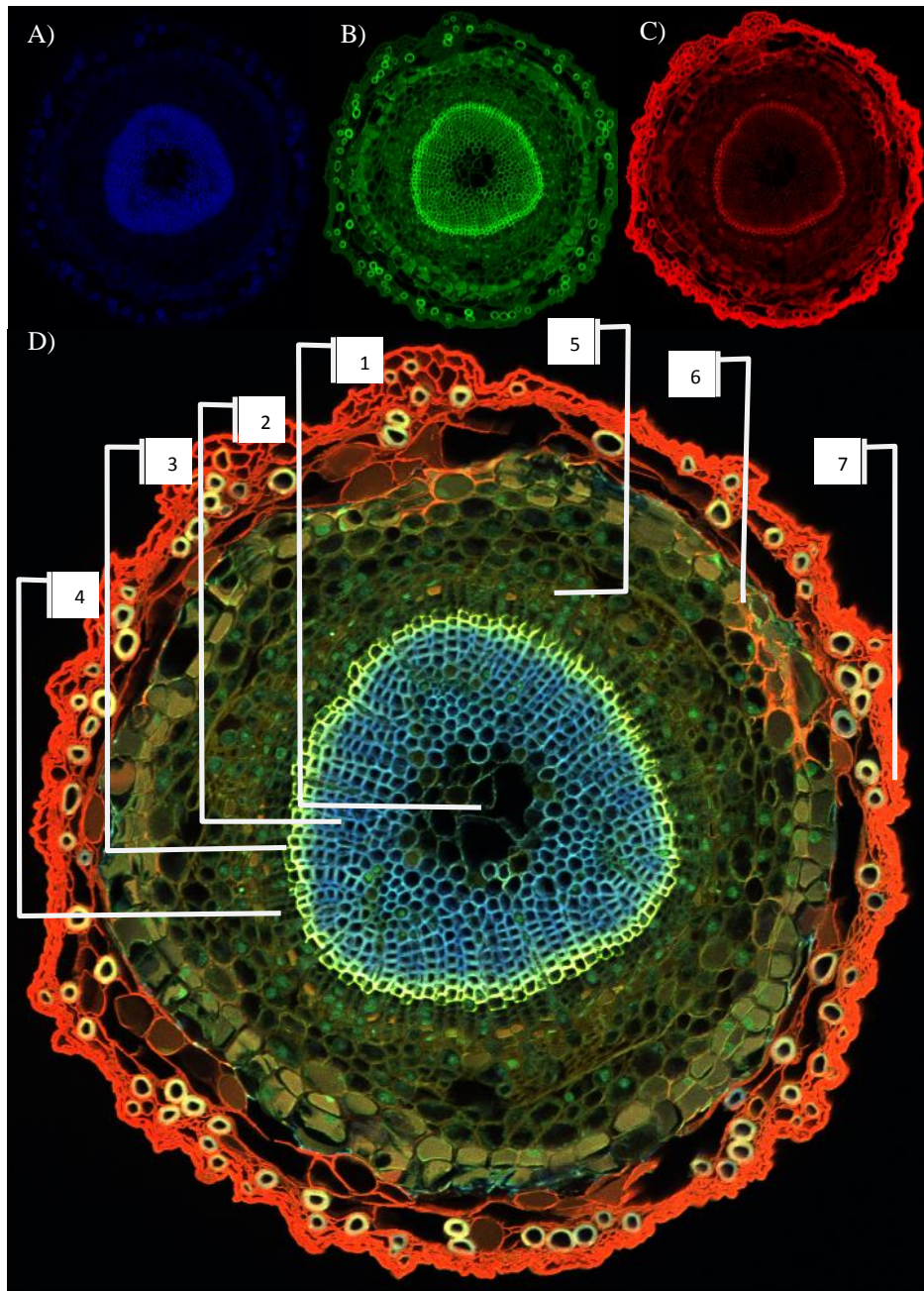


Figure 1.1 Composite confocal microscopy image of *P. menziesii*. The three confocal laser wavebands (A) 405nm, (B) 488nm, and (C) 559nm excite overlapping and individual anatomical and cellular components based on cellular and chemical properties. The composite image (D) illustrates how the three wavelengths can produce images very clearly delimiting stem anatomy: 1. pith, 2. mature xylem, 3. differentiating xylem, 4. vascular cambium, 5. phloem, 6. cork cambium, and 7. epidermis. The differences in color of the cell walls between differentiating xylem and mature xylem are likely due to differences in lignin/cellulose content.



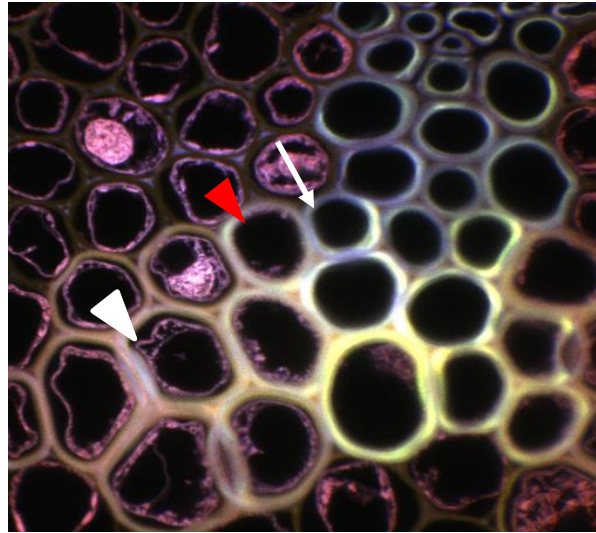


Figure 1.2 Differentiating xylem. Live cell membranes and nuclei (pink) are visible with safranin O staining, enabling identification of live xylem undergoing differentiating (white arrowhead), tracheids undergoing programmed cell death with remanent cell wall contents (red arrowhead) and a mature functional tracheid (white arrow). Note the differences in cell wall color between older, mature xylem (blue) and live xylem (orange/yellow).

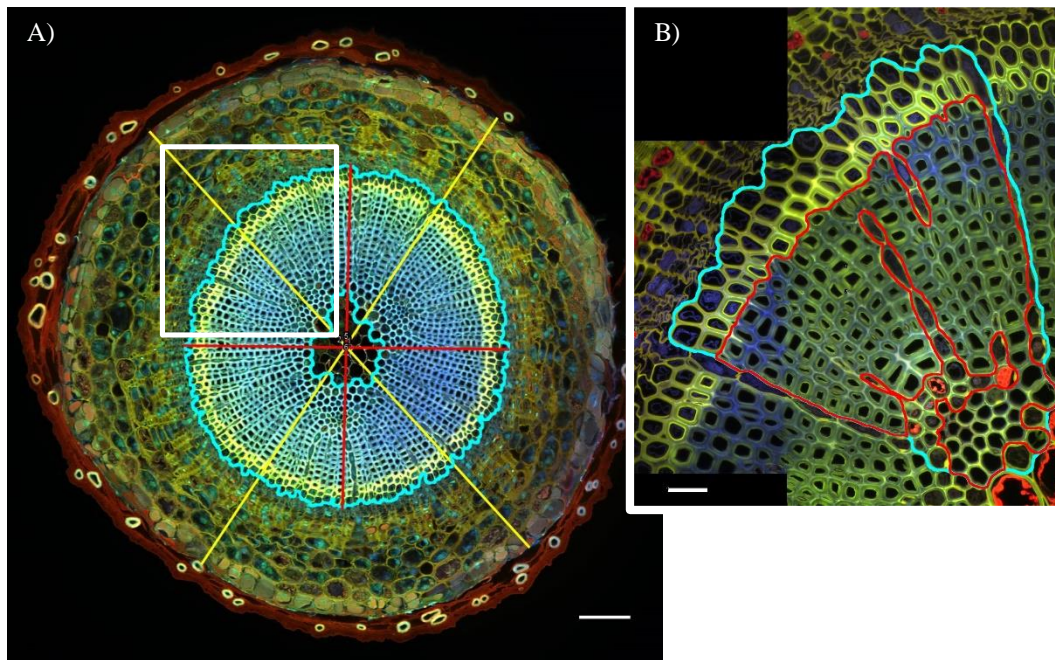


Figure 1.3 Example of stem and xylem area measurements. (A) 10x magnification; yellow lines are used for calculating  $A_s$ ; red lines used for calculating  $A_x$ ; cyan outlines area used for  $A_{tx}$ ; Bar = 100  $\mu\text{m}$ ; Inset = white box. (B) 60x magnification; cyan outline is area used for  $A_{sx}$  and red outline is  $A_{sfx}$ , where  $A_{sx}$ ,  $A_{sfx}$  and  $A_{tx}$  are used to calculate total functional xylem ( $A_{tfx}$ ). Bar = 25  $\mu\text{m}$ .  $A_s$  = cross-sectional stem area,  $A_x$  = cross-sectional xylem area,  $A_{tx}$  = actual measured xylem area,  $A_{sx}$  = sampled xylem area,  $A_{sfx}$  = functional xylem within the sampled xylem area.



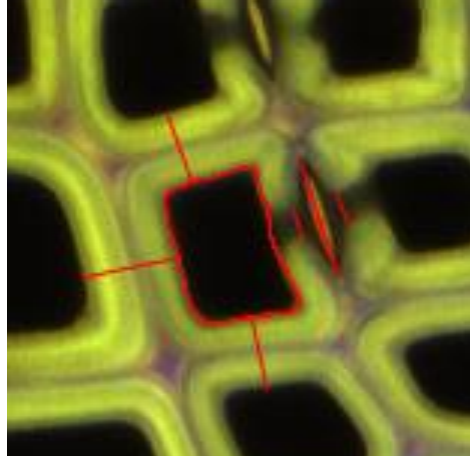


Figure 1.4 Tracheid and pit measurements. Examples of (1) tracheid area ( $A_t$ ), (2) wall thickness ( $T_w$ ), and (3) pit anatomical measurements in *P. ponderosa* secondary xylem.

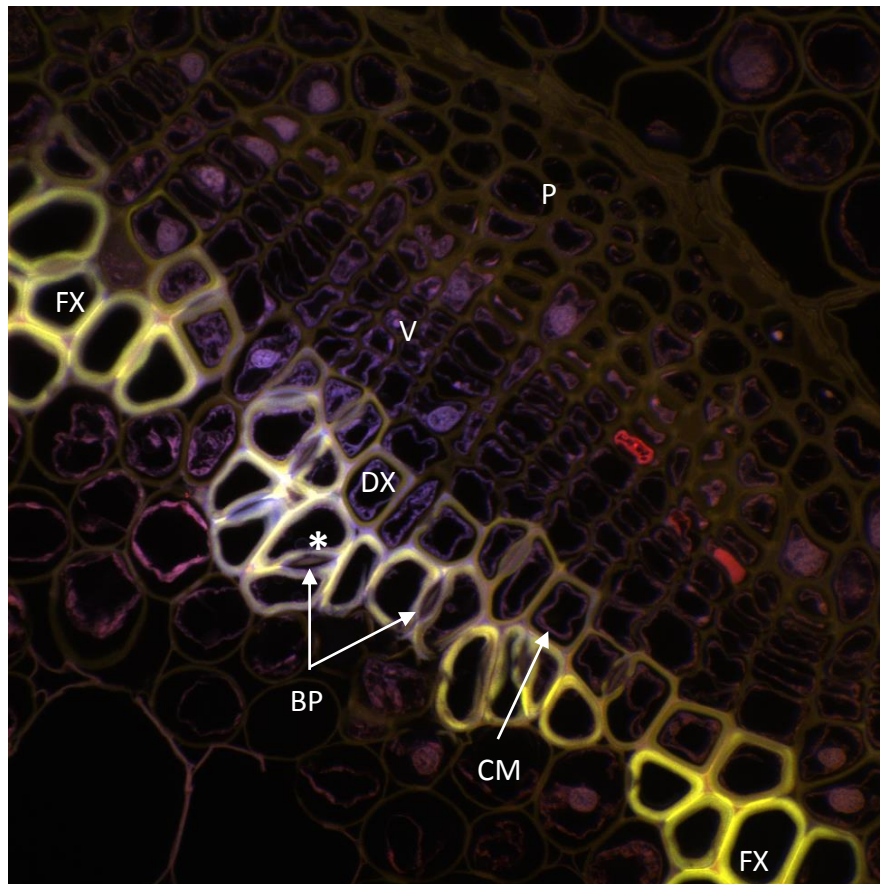


Figure 1.5 Vascular tissue of 3-week-old *P. menziesii*. Functional xylem (FX), differentiating xylem (DX), tracheid undergoing programmed cell death, (\*), vascular cambium (VC), plasmolyzed cell membrane (CM), bordered pits with visible torus (BP), phloem and cell wall S1 to S3 cell layers (not shown).

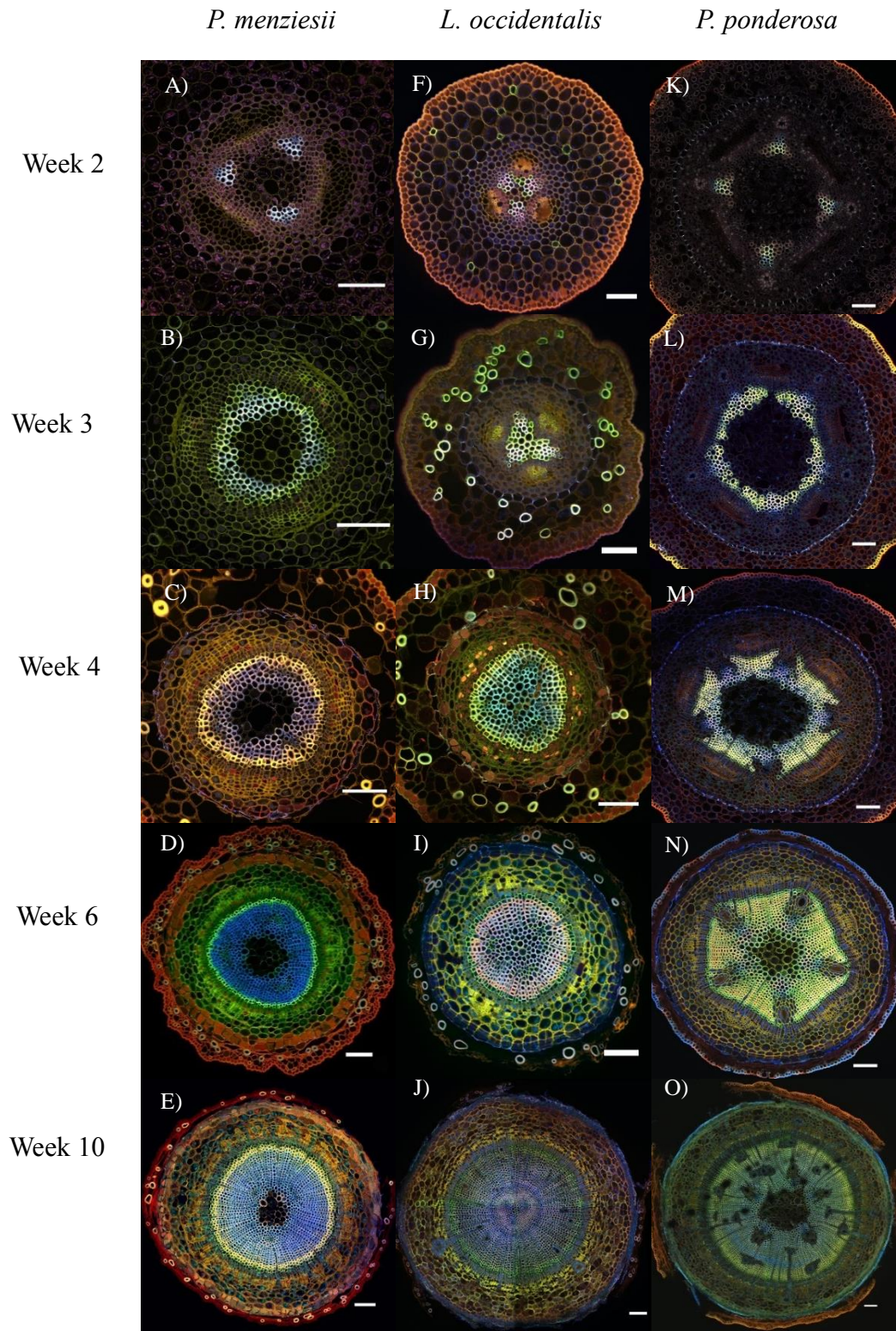


Figure 1.6 Vascular development over 10 weeks. Xylem development in *P. menziesii* (A-E), *L. occidentalis* (F-J), and *P. ponderosa* (K-O) imaged with confocal laser scanning microscopy (CLSM) at 2 (A, F, K), 3 (B, G, L), 4 (C, H, M), 6 (D, I, N) and 10 (E, J, O) weeks after planting (AP). Bars = 100 $\mu$ m.



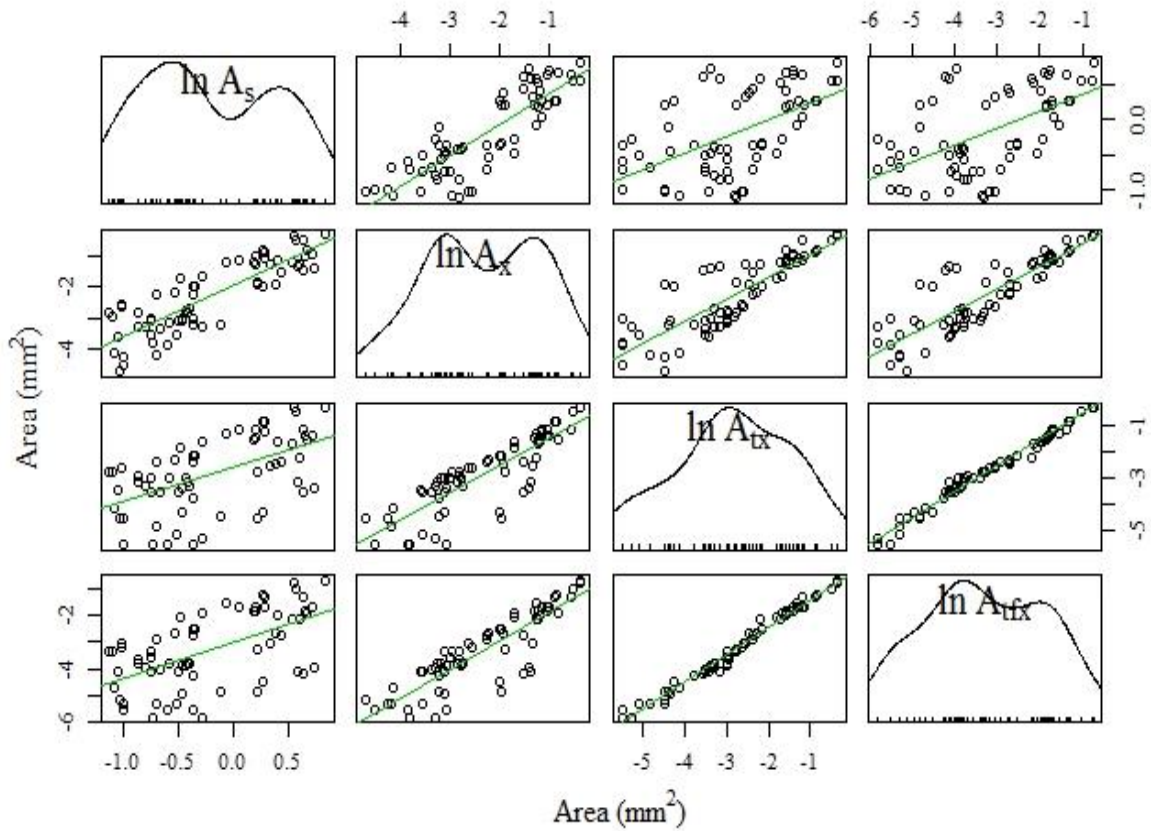


Figure 1.7 Correlation between seedling area measurements. Scatterplot on a natural log scale for all area measurements showing the correlation between the four main area variables across all three species. Each point per graph is a single tree. Green lines are best fit lines.  $A_s$  = stem cross-sectional area,  $A_x$  = cross-sectional xylem area,  $A_{tx}$  = total xylem area as directly measured with ImageJ to exclude the pith,  $A_{tx}$  = calculated total functional xylem area,  $\ln$  = natural log.

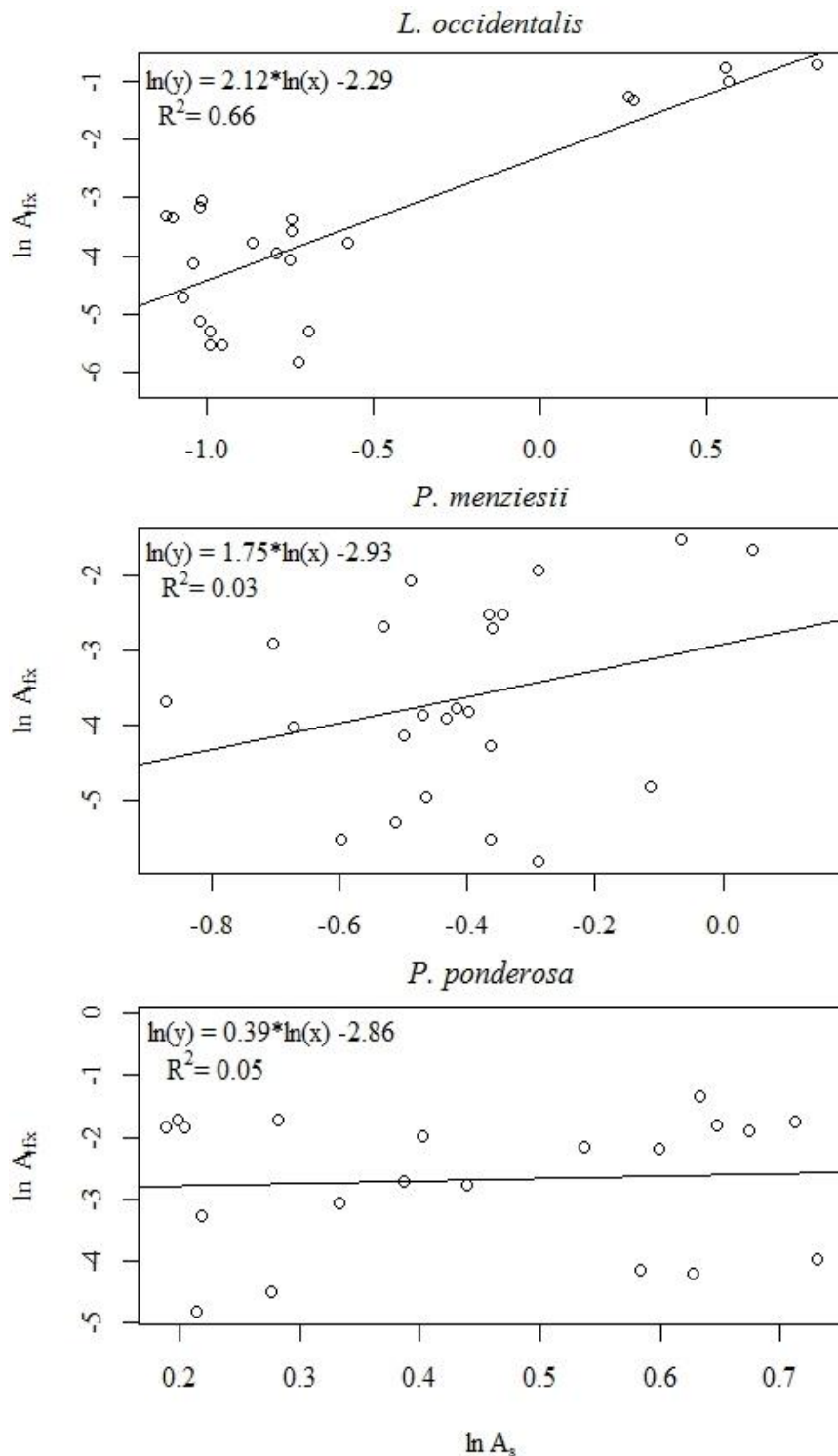


Figure 1.8 Relationships between stem cross-sectional area and calculated total functional xylem area per species. Each point per graph is a single seedling. (A) *L. occidentalis*, (B) *P. menziesii* and (C) *P. ponderosa*. Graphs (B) and (C) illustrate that stem cross-sectional area cannot be used to accurately predict actual functional xylem area in very young seedlings.  $A_s$  = stem cross-sectional area,  $A_{fix}$  = calculated total functional xylem area,  $\ln$  = natural log.

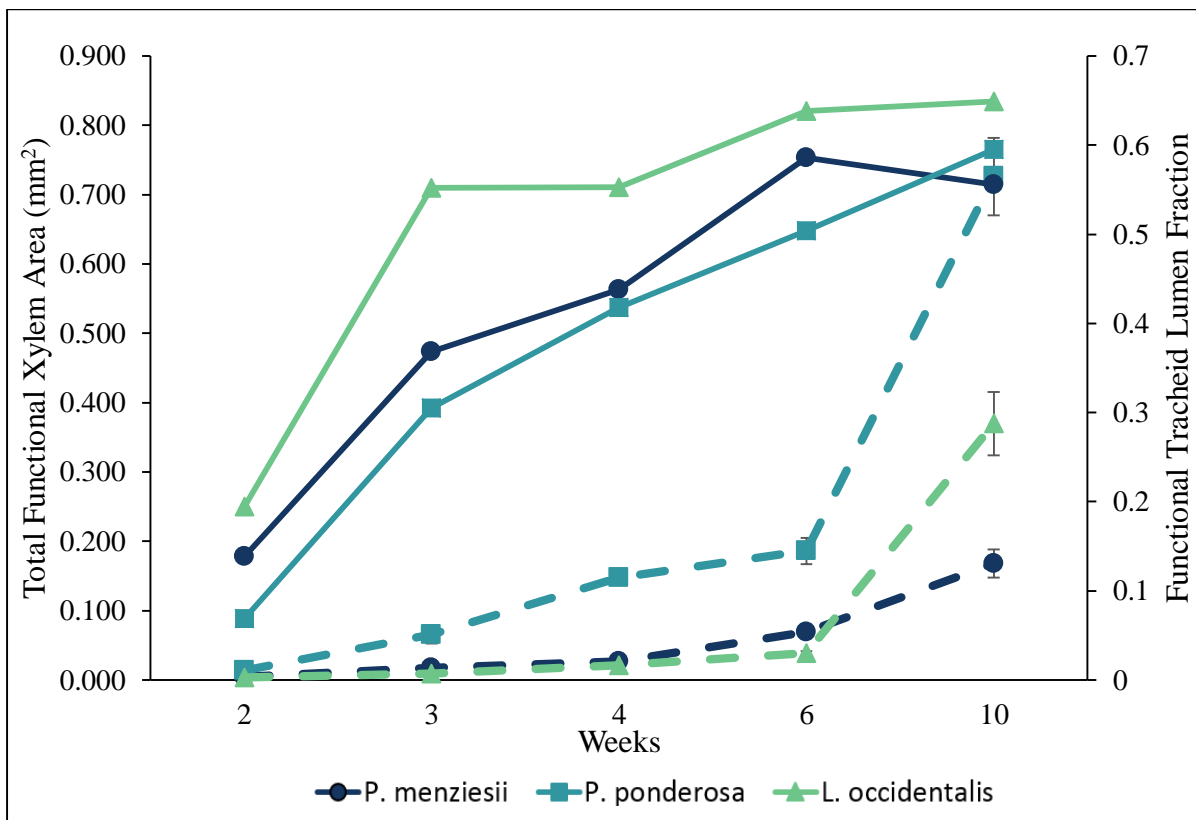


Figure 1.9 Total functional xylem area and functional tracheid lumen fraction. Dotted lines illustrate the increase in total functional xylem area over time per species. The tracheid lumen fraction (solid lines) are the ratio of total functional xylem area ( $A_{\text{tfx}}$ ) and xylem cross-sectional area ( $A_x$ ). Each point per line corresponds to the average per species at time period.

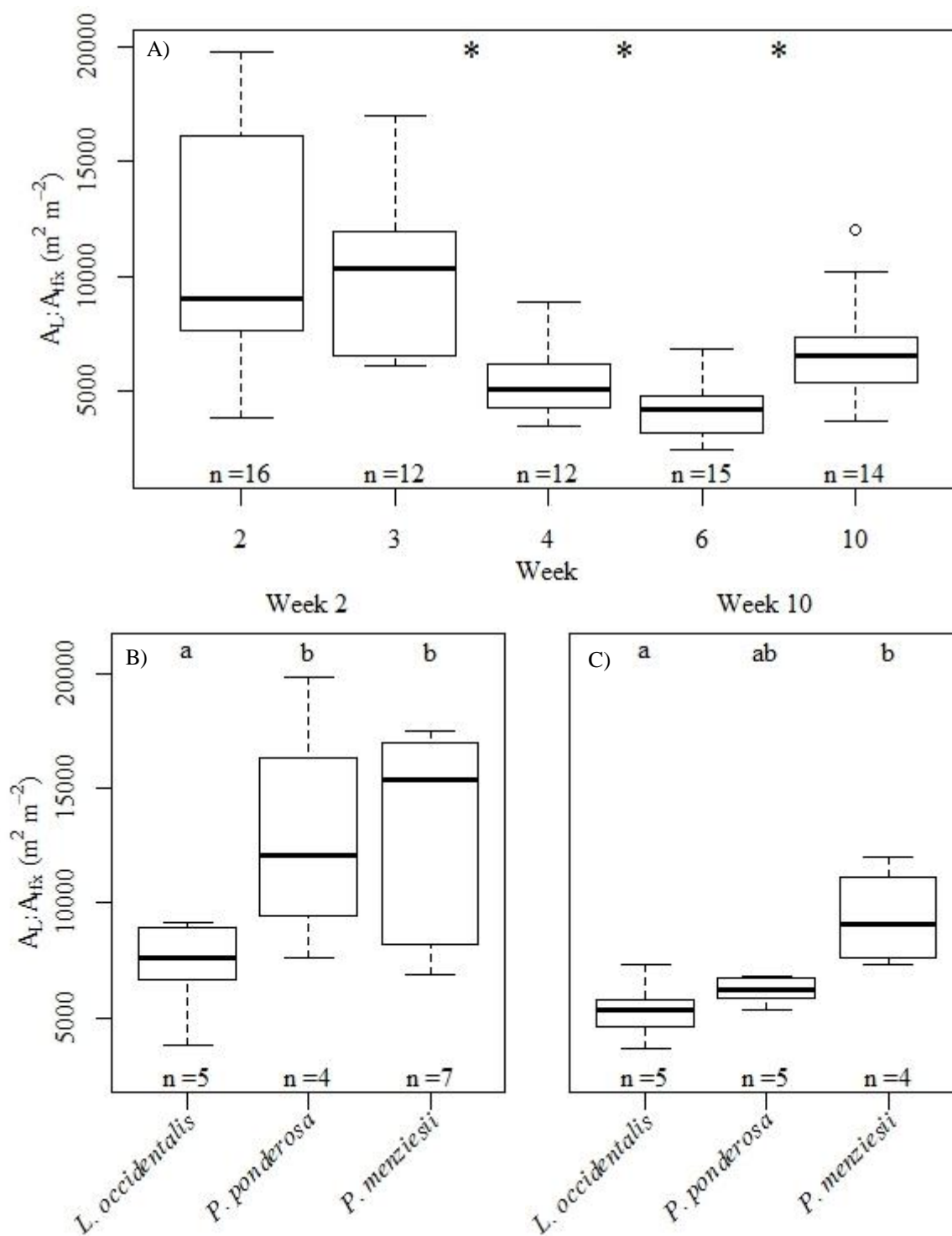


Figure 1.10 Leaf area to functional xylem area ratio comparisons. (A) changes in  $A_L:A_{fx}$  over time averaged for all species. Asterisks mark difference between adjacent time periods. Week 2 and week 10 are not significantly different. (B) *L. occidentalis* is significantly lower than the other two species at week 2; no difference between *P. menziesii* and *P. ponderosa*, though the mean for *P. menziesii* appears to trend higher. (C) At week 10, all intraspecies variability is much smaller; *L. occidentalis* still remains low while *P. menziesii* trends higher than the other two with much smaller variances within species. Lack of statistical significant differences between *P. menziesii* and *P. ponderosa* may be attributed to smaller sample sizes.  $A_L$  = leaf area,  $A_{fx}$  = total functional xylem area.

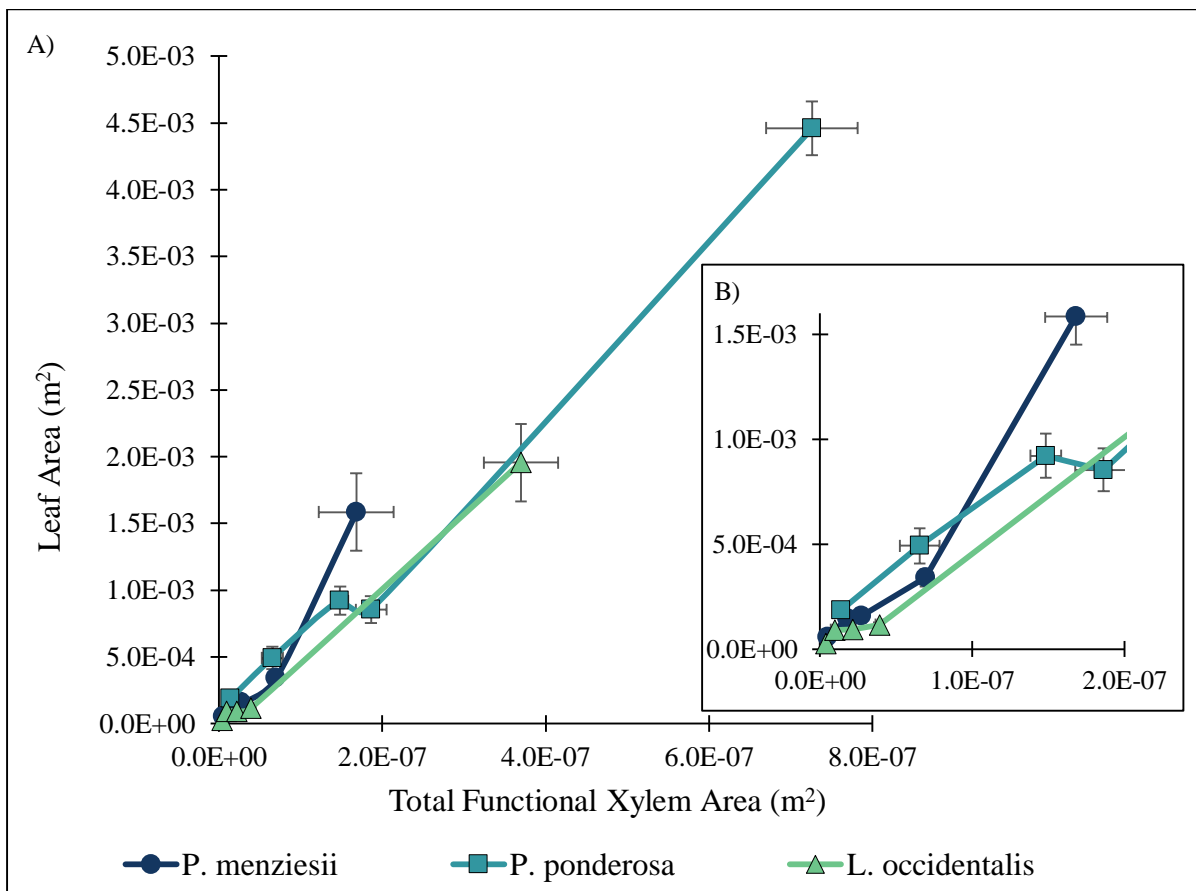


Figure 1.11 Leaf area to total functional xylem area. Each point per line represents the averages of each species with SE error bars per time period of 2, 3, 4, 6 and 10 weeks respectively. Slope of the line between adjacent points is the leaf area to sapwood area ratio, which is an oft reported functional trait. (A) The steep slope between weeks 6 and 10 for *P. menziesii* indicates that there is a smaller area of functional xylem supporting water to a given crown area. This also implies that for a given transpiration rate, *P. menziesii* will have the most negative xylem water potential. (B) Short spacing of the first 4 points for *P. menziesii* and *L. occidentalis* indicate minimal functional xylem and leaf area expansion during this time. Growth for *P. ponderosa* is greater for all time periods compared to the other two species, but also expands greatly for both crown area and functional xylem area between weeks 6 and 10.

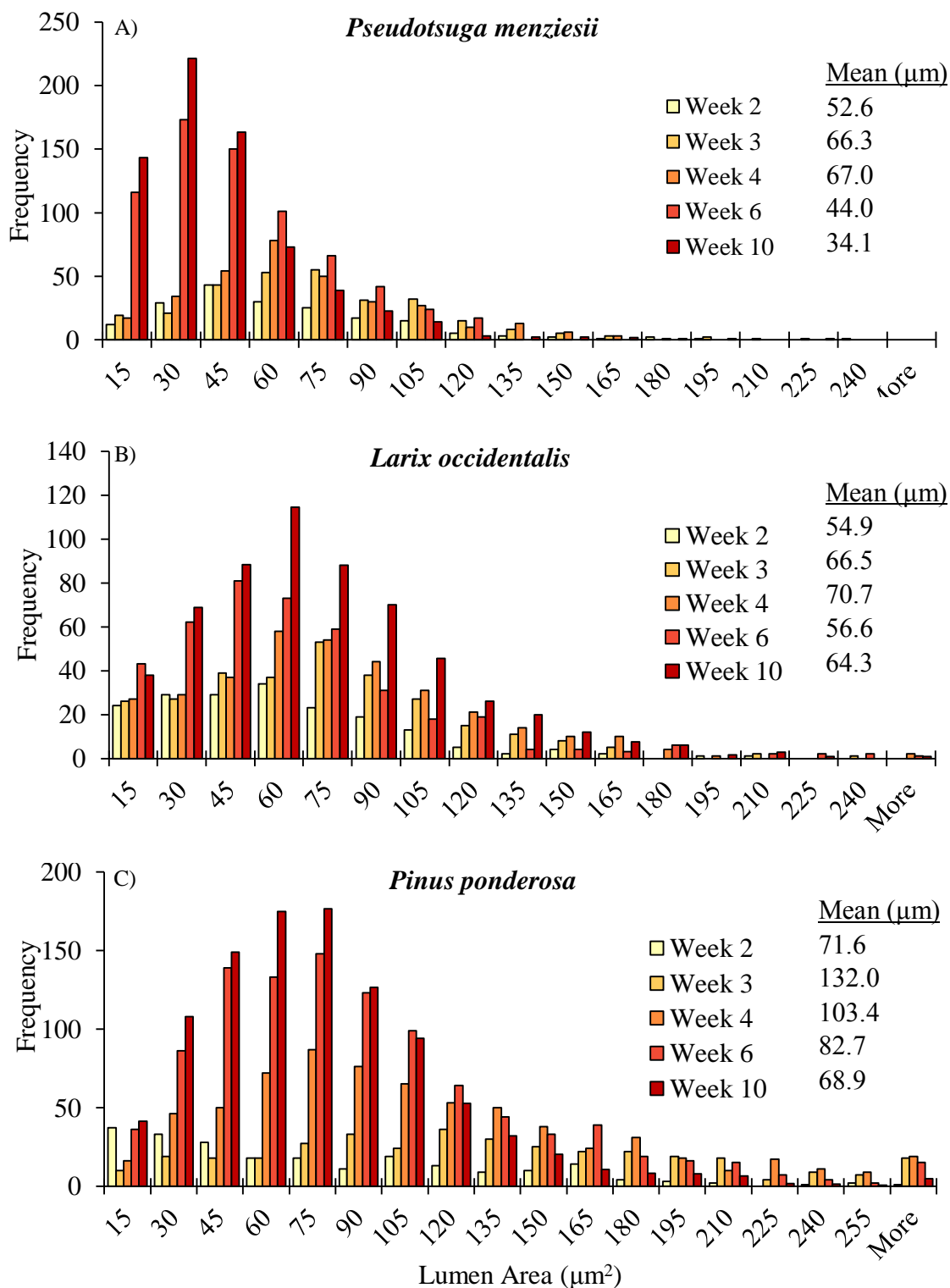


Figure 1.12 Lumen area distributions. For all species, distributions shift right then left over time, indicating a slight increase in average tracheid diameter followed by decreasing diameters. (A) distribution for *P. menziesii*, (B) distribution for *L. occidentalis*, and (C) distribution for *P. ponderosa*. Frequencies have been adjusted for weeks 6 and 10 due to higher numbers of tracheids measured when compared to the other weeks.



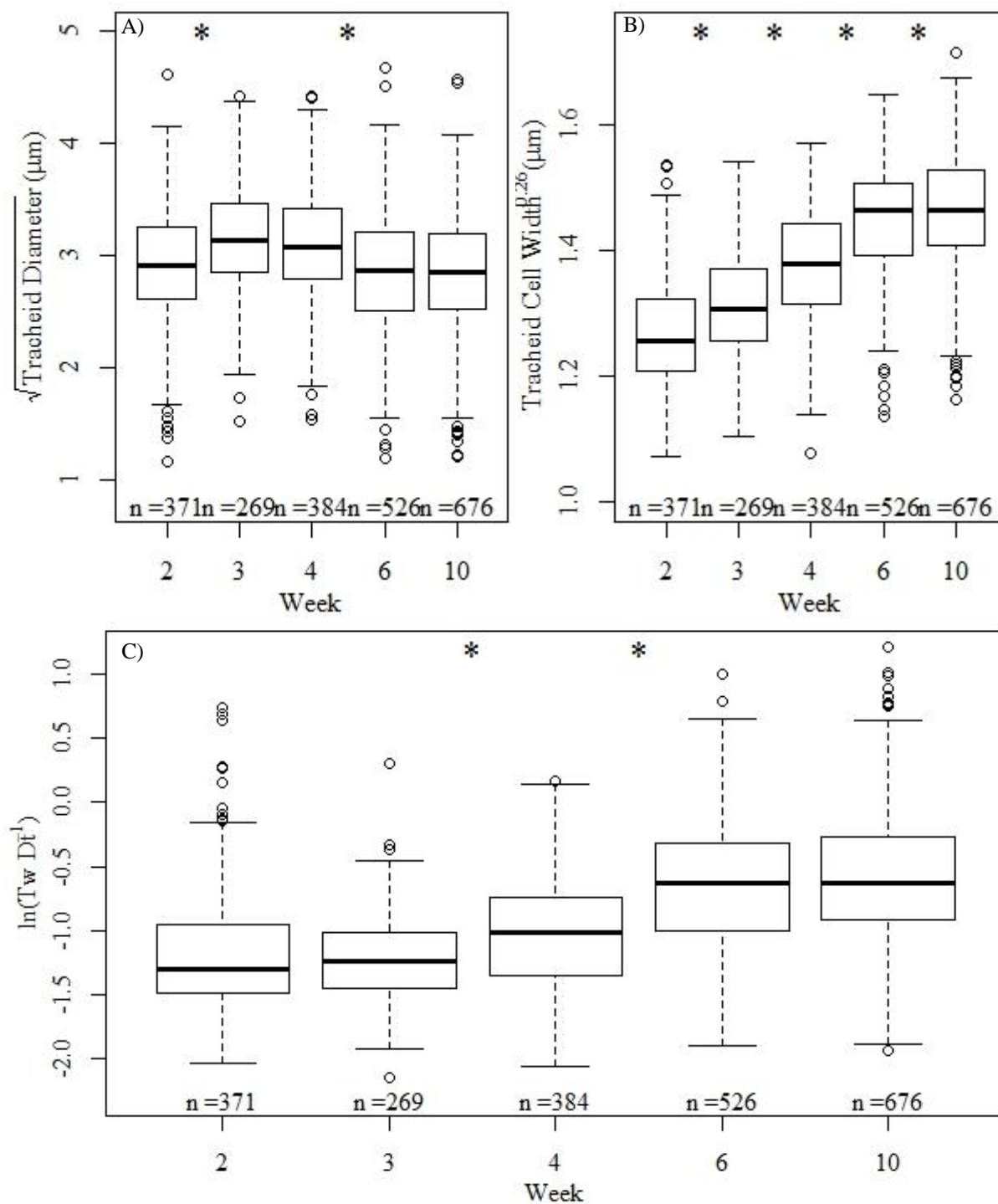


Figure 1.13 Tracheid characteristics: tracheid diameter ( $D_t$ ), cell wall thickness ( $T_w$ ) and thickness-to-span ratios ( $T_w D_t^{-1}$ ). (A) Tracheid diameter on a square root scale for each week averaged across all species. Large boxplot whiskers and outliers indicate a very wide range of values skewed heavily right (see histogram figures 1.12). (B) Cell wall thickness as measured by the double cell wall thickness for each week averaged over all species show a statistically significant increase between all weeks. (C)  $T_w D_t^{-1}$  on a log scale illustrates that  $T_w$  governs  $T_w D_t^{-1}$ . Asterisks indicate significance between adjacent weeks at  $P < 0.05$ .

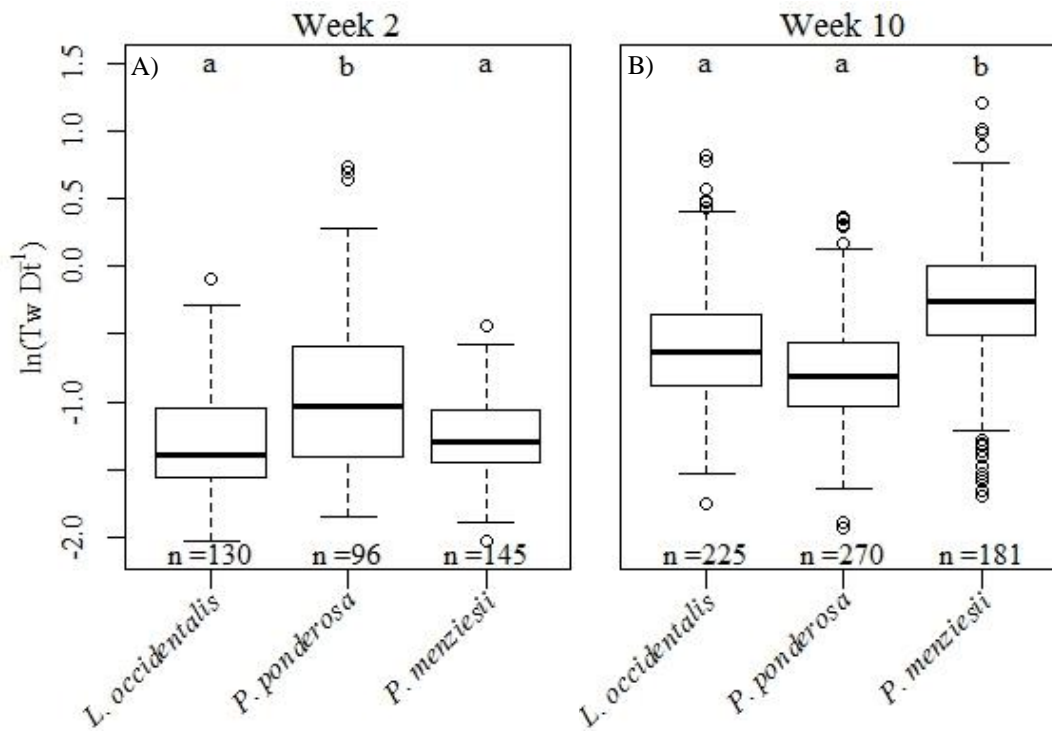


Figure 1.14 Thickness-to-span ratios at weeks 2 and 10. (A) Natural log of thickness-to-span ratios ( $Tw Dt^{-1}$ ) for each species at week 2. (B) Natural log of thickness-to-span ratios for each species at week 10. Different letters per panel indicate significant differences at  $P < 0.05$ . Graphical representations do not take into account repeat measurements performed on multiple tracheids within the same tree.

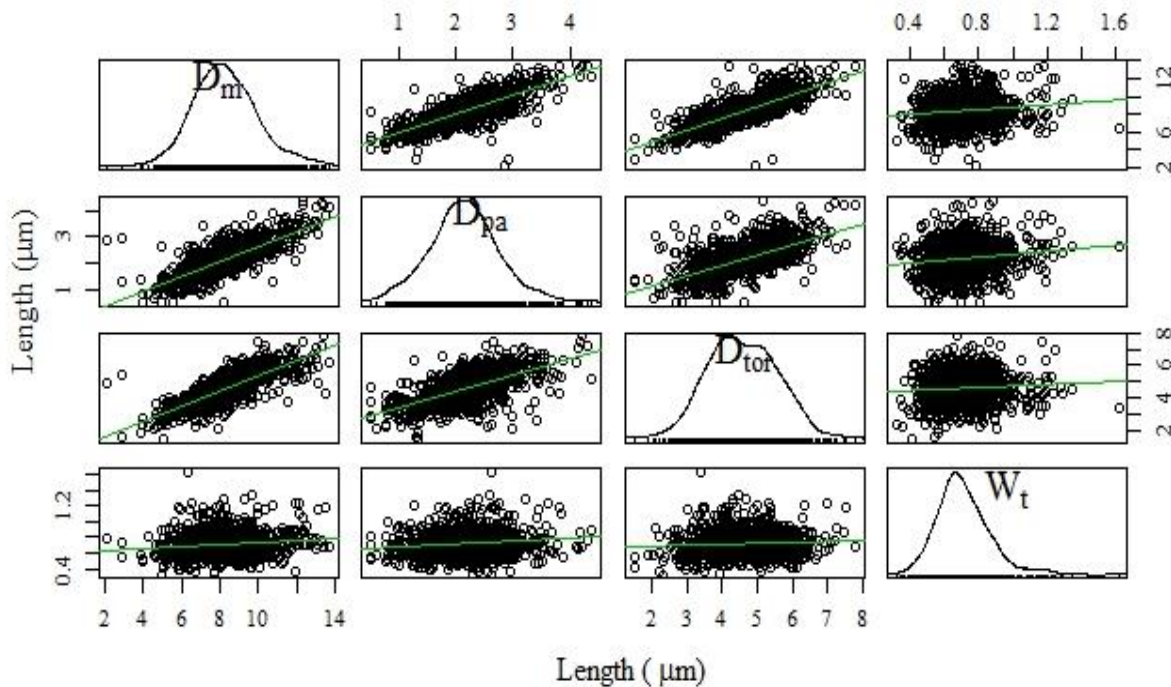


Figure 1.15 Correlation of the four measured pit features. Plots show that torus width is not strongly associated with any other pit characteristics while there are significant correlations between the other three characteristics. Green lines are best fit lines.  $D_m$  = margo diameter,  $D_{pa}$  = pit aperture diameter,  $D_{tor}$  = torus diameter,  $W_t$  = torus width.

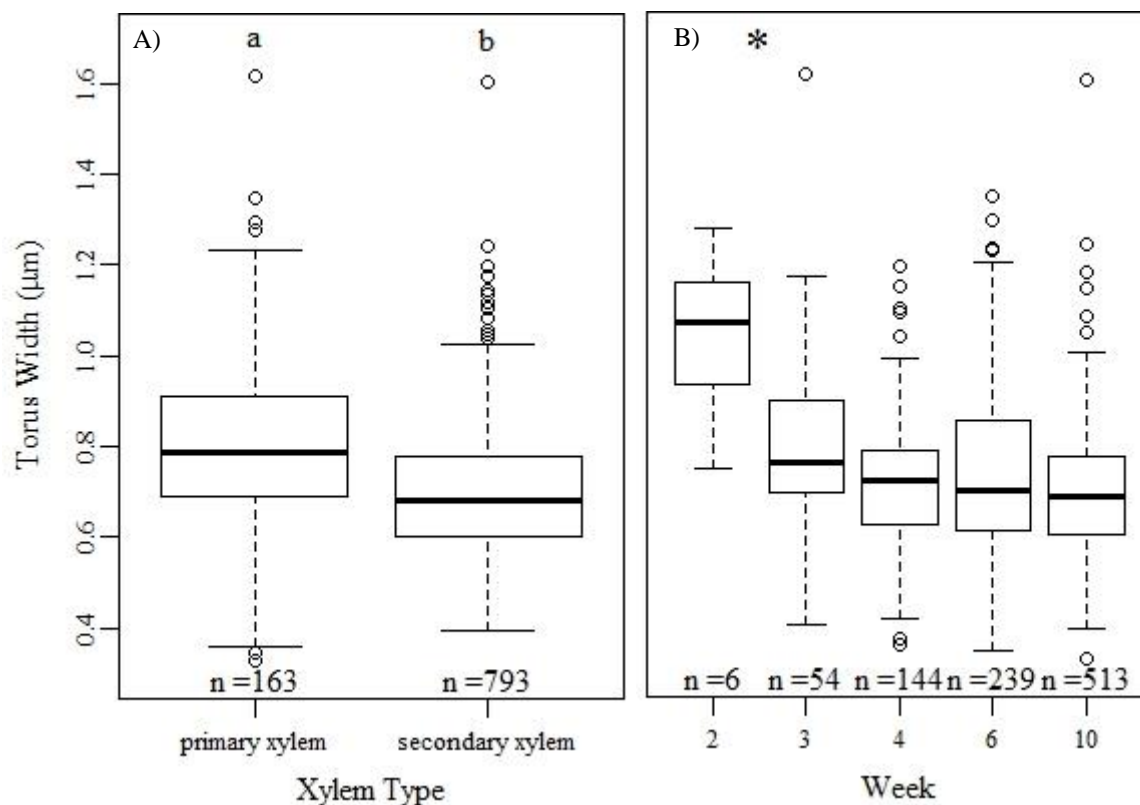


Figure 1.16 Torus width comparisons. (A) Torus width as a function of xylem type averaged over all species. Different letters indicate significant difference at  $P < 0.05$ . (B) Decreases in torus width over time indicate a transition from primary to secondary xylem. Asterisks indicate significance between adjacent weeks at  $P < 0.05$ .

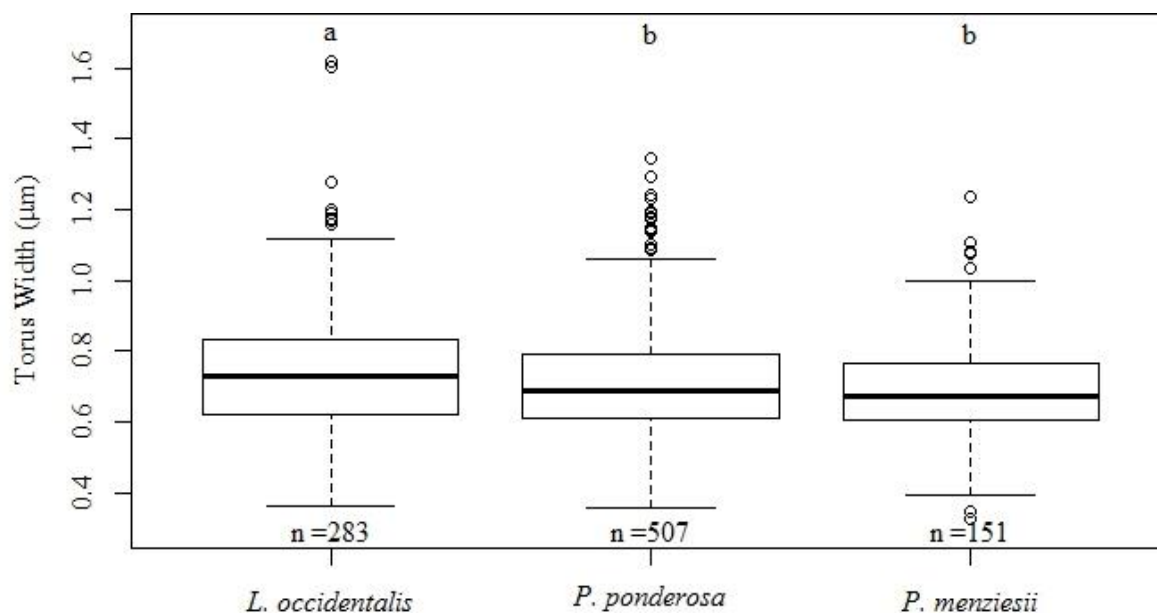


Figure 1.17 Torus widths per species averaged over time and xylem type. Different letters indicate significant difference at  $P < 0.05$ .

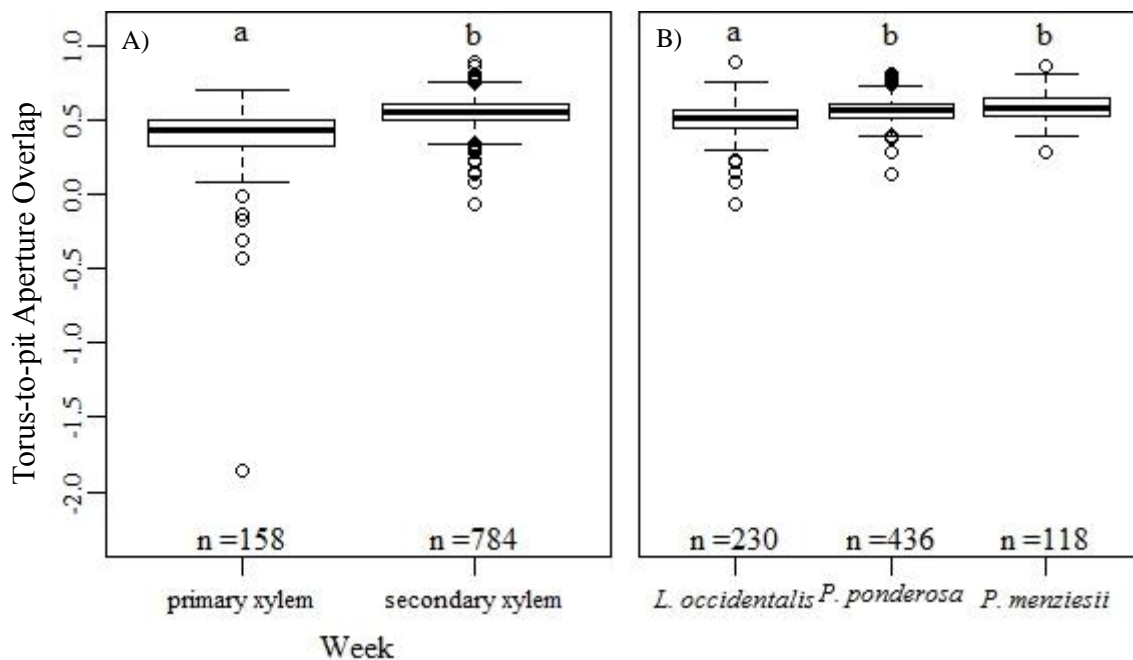


Figure 1.18 Torus-to-pit aperture overlap (TPO) comparisons. (A) Torus to pit overlap between primary and secondary xylem averaged over all species. Values below zero are due to a smaller torus diameter as compared to the pit aperture. (B) Differences in TPO within secondary xylem between all species averaged over all time periods in which secondary xylem was identified. Different letters per panel indicate significance at  $P < 0.05$ .

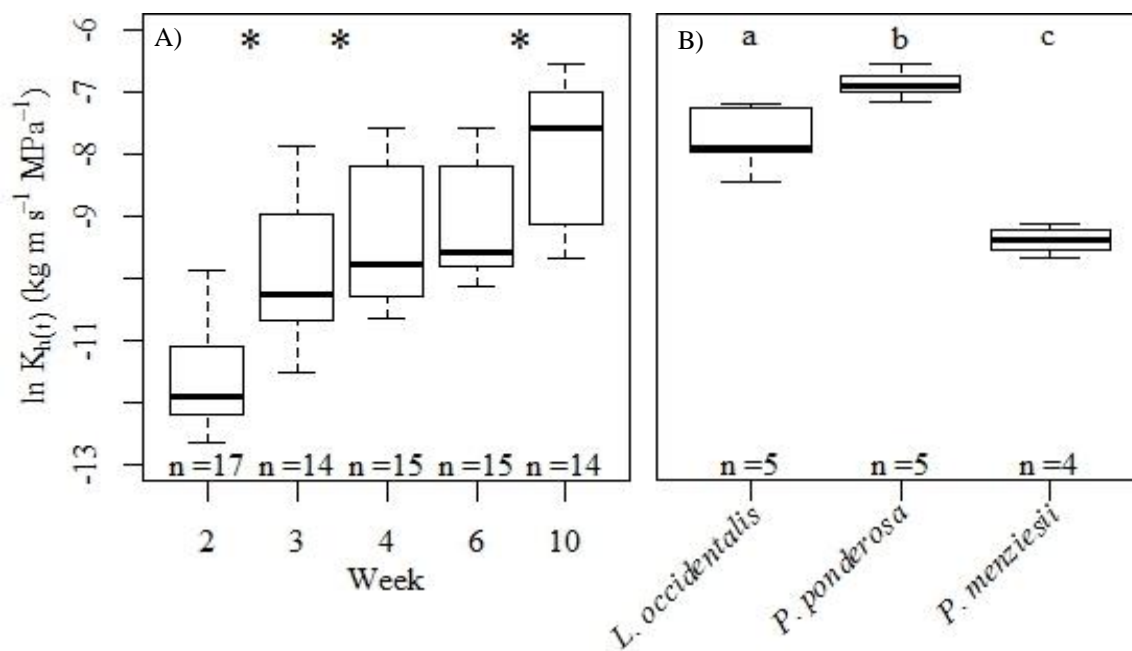


Figure 1.19 Stem conductance. (A) Stem conductance each week averaged over all species and (B) stem conductance at week 10 for each species. Asterisks (A) or different numbers (B) per panel indicate statistical significance at  $P < 0.05$ .

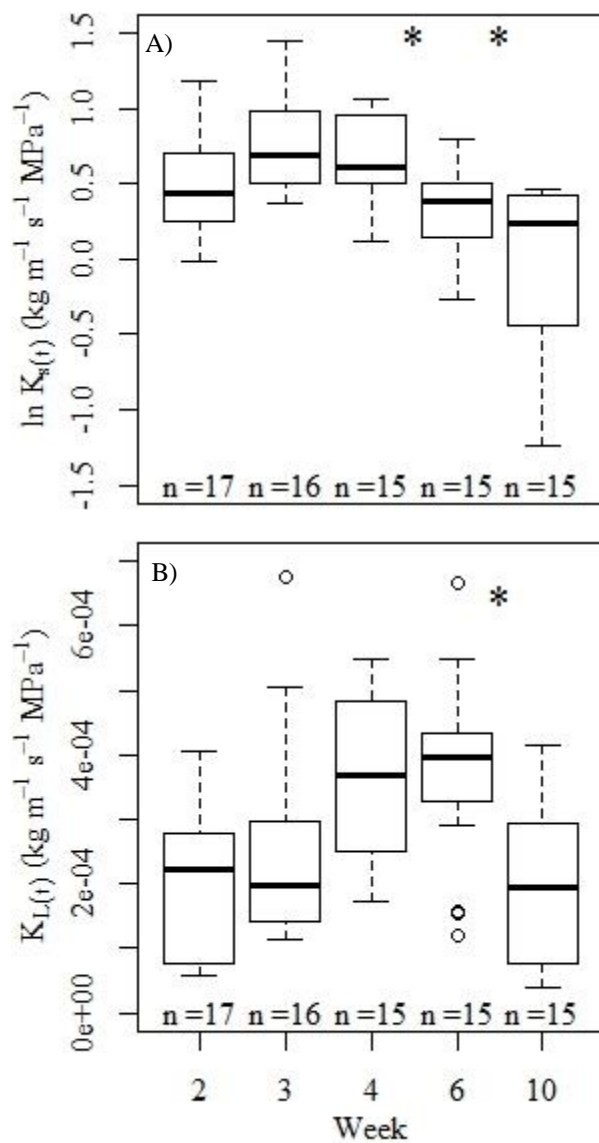


Figure 1.20  $K_{s(t)}$  and  $K_{L(t)}$  for each week. (A) Theoretical xylem specific conductivity for each week averaged over all three species. (B) Theoretical leaf specific conductivity ( $K_{L(t)}$ ) at each week for all species. The trend illustrates an increase over time until a statistically significant drop between weeks 6 and 10 for all species. Asterisks indicate significant differences between adjacent time periods at  $P < 0.05$ .

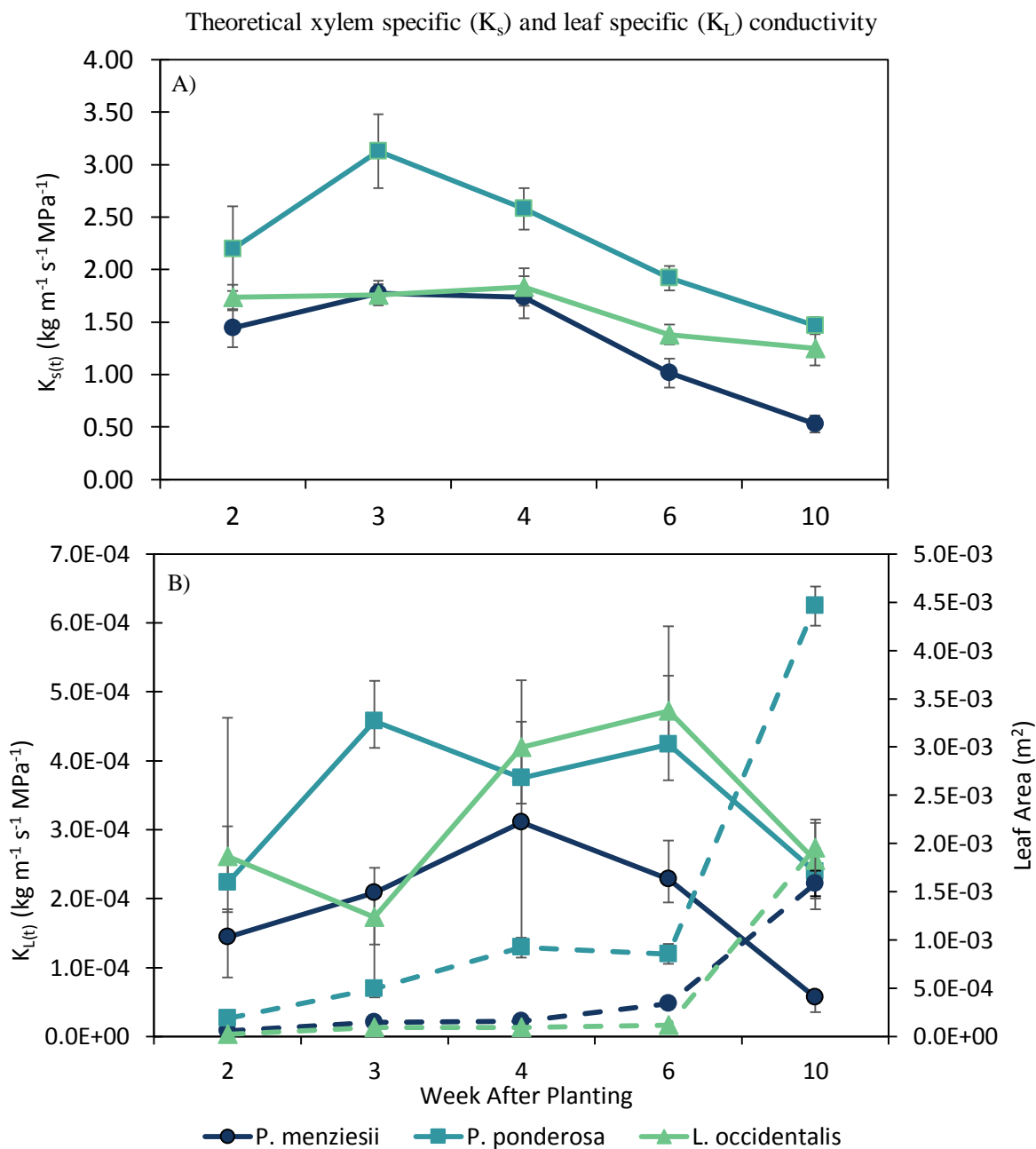


Figure 1.21  $K_{s(t)}$ ,  $K_{L(t)}$  and  $A_L$  over time for all species. (A) Theoretical xylem specific conductivity ( $K_{s(t)}$ ; dashed line) and theoretical leaf specific conductivity ( $K_{L(t)}$ ; solid line) as a function of time after planting. (B) Theoretical leaf specific conductivity ( $K_{L(t)}$ ; solid lines) and absolute crown leaf area ( $A_L$ ; dashed lines) averaged per species per time period with error bars (SE). Drop in  $K_{L(t)}$  for *L. occidentalis* is likely due to a low sample size. Error bars (SE) are included for both measurements, but the scale of  $K_{L(t)}$  prevents visualization in this graph.

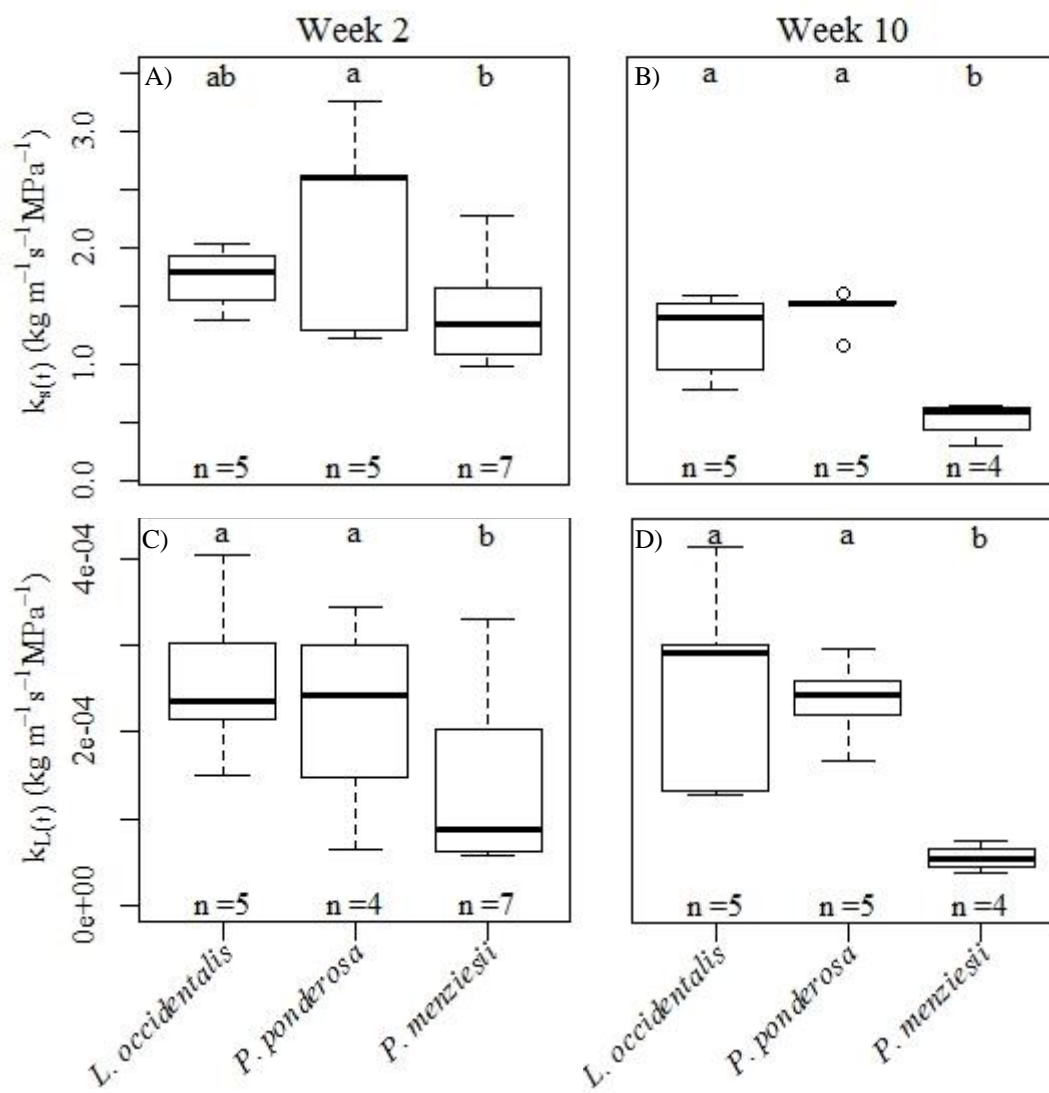


Figure 1.22 Comparison of  $K_{s(t)}$  and  $K_{L(t)}$  at weeks 2 (A, C) and 10 (B, D) per species. Differences in  $K_{s(t)}$  between week 2 (A) and week 10 (B) illustrate a decline for all species. *P. menziesii* is significantly less by week 10, indicating there may be a trade-off between safety and hydraulic conductivity. Differences in  $K_{L(t)}$  between week 2 (C) and week 10 (D) show no overall difference between the two, although *P. menziesii* exhibits the lowest theoretical value. Different numbers within a panel indicate statistically significant differences at  $P < 0.05$ .  $K_{s(t)}$  = theoretical xylem specific conductivity;  $K_{L(t)}$  = theoretical leaf specific conductivity.

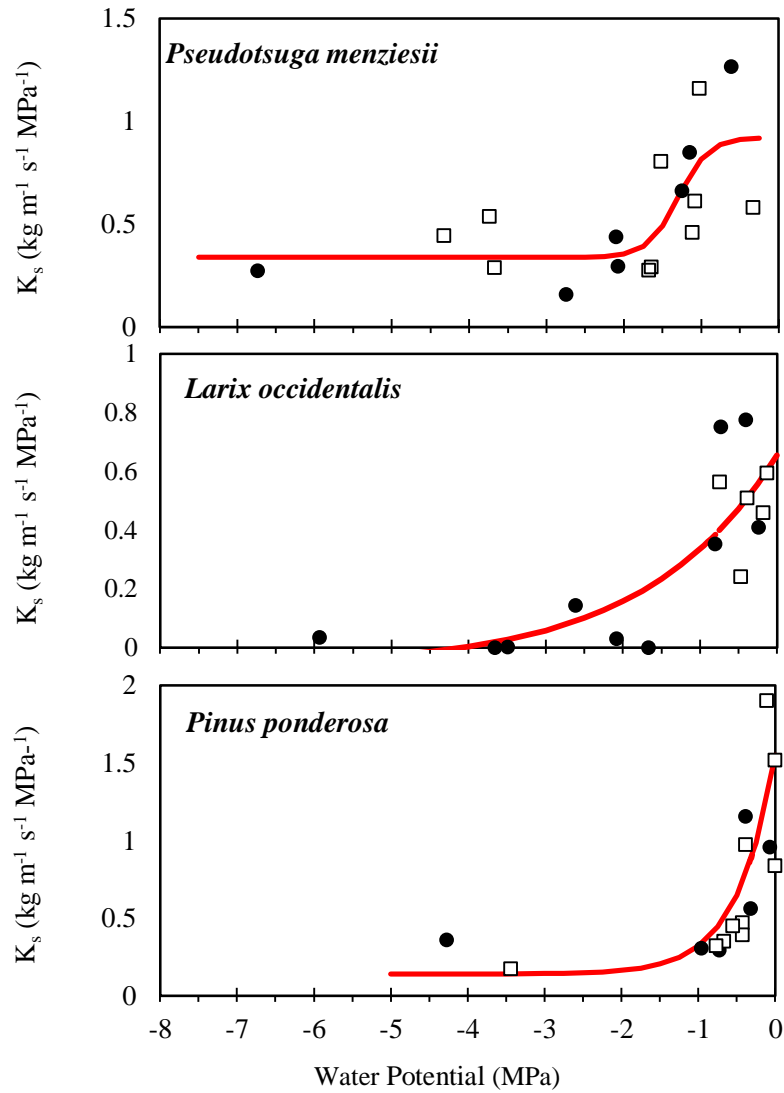


Figure 2.1 Hydraulic vulnerability curves constructed for *P. menziesii*, *P. ponderosa* and *L. occidentalis* based on excised and intact stem conductivity and water potential measurements. A 4-parameter sigmoid function  $[y_0 + a / (1 + \exp(-(x - x_0)/b))]$  was used for *P. menziesii* and a 3-parameter exponential growth function  $[y_0 + a \cdot \exp(b \cdot x)]$  was fitted to *P. ponderosa* and *L. occidentalis* to estimate P50 stem water potentials. Black circles (●) are excised stems and boxes (□) are intact stems. Estimated P<sub>50</sub> values: *P. menziesii*: -1.56 MPa; *L. occidentalis*: -1.04; *P. ponderosa*: -0.39 MPa.



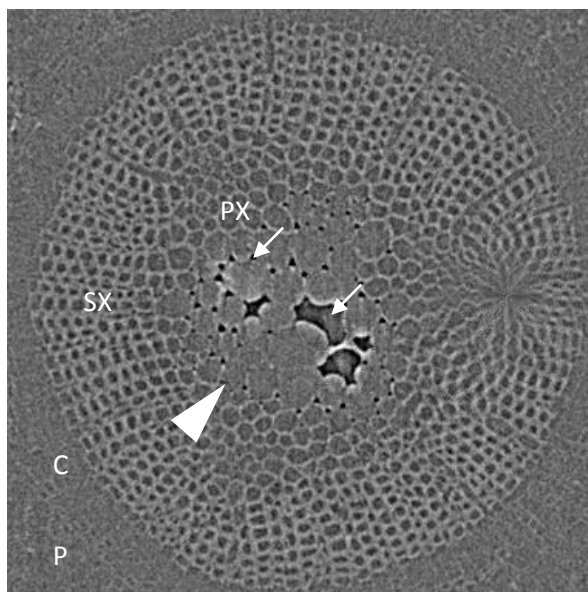


Figure 2.2 MicroCT image of vascular tissue illustrating the visual differences between the medium grey water-filled or cytoplasm filled cells (white arrow head) versus the dark grey embolized pith and intercellular spaces (white arrows). Lignified cell walls are visible as light grey outlines. Star-like artefact on the right mid-center side is a consequence of the image reconstruction parameters. P = phloem, C = cambial zone, PX = primary xylem, SX = secondary xylem.

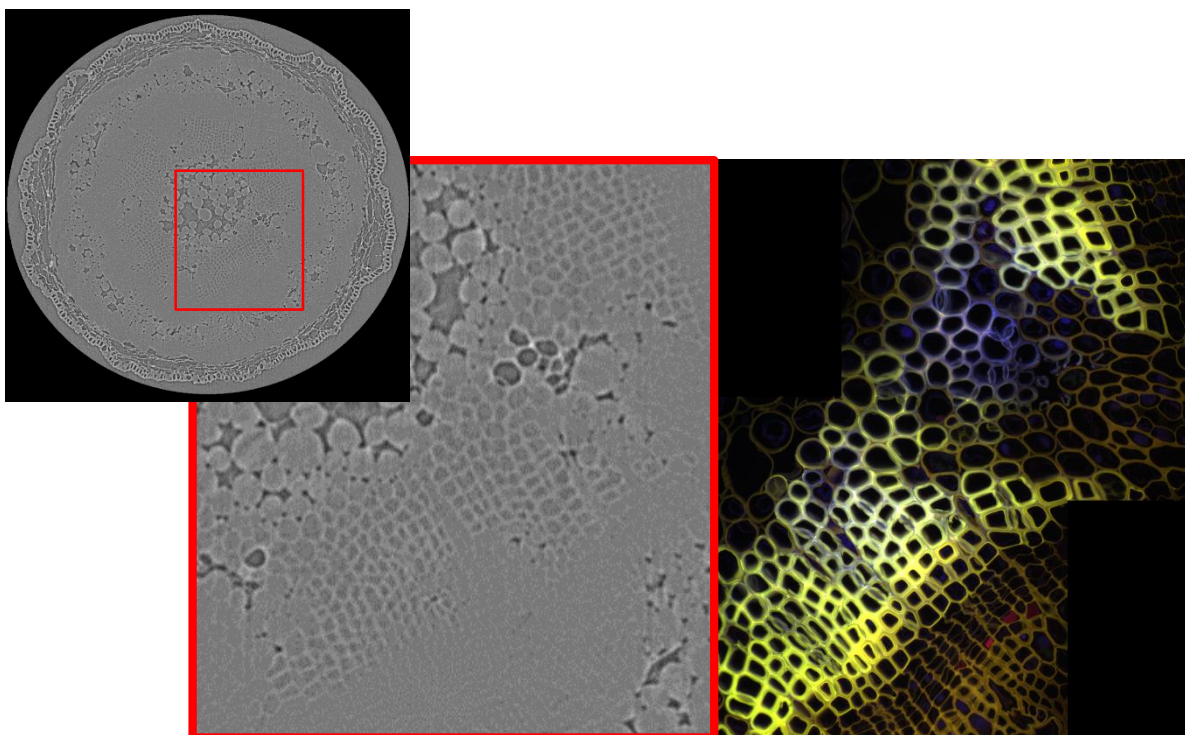
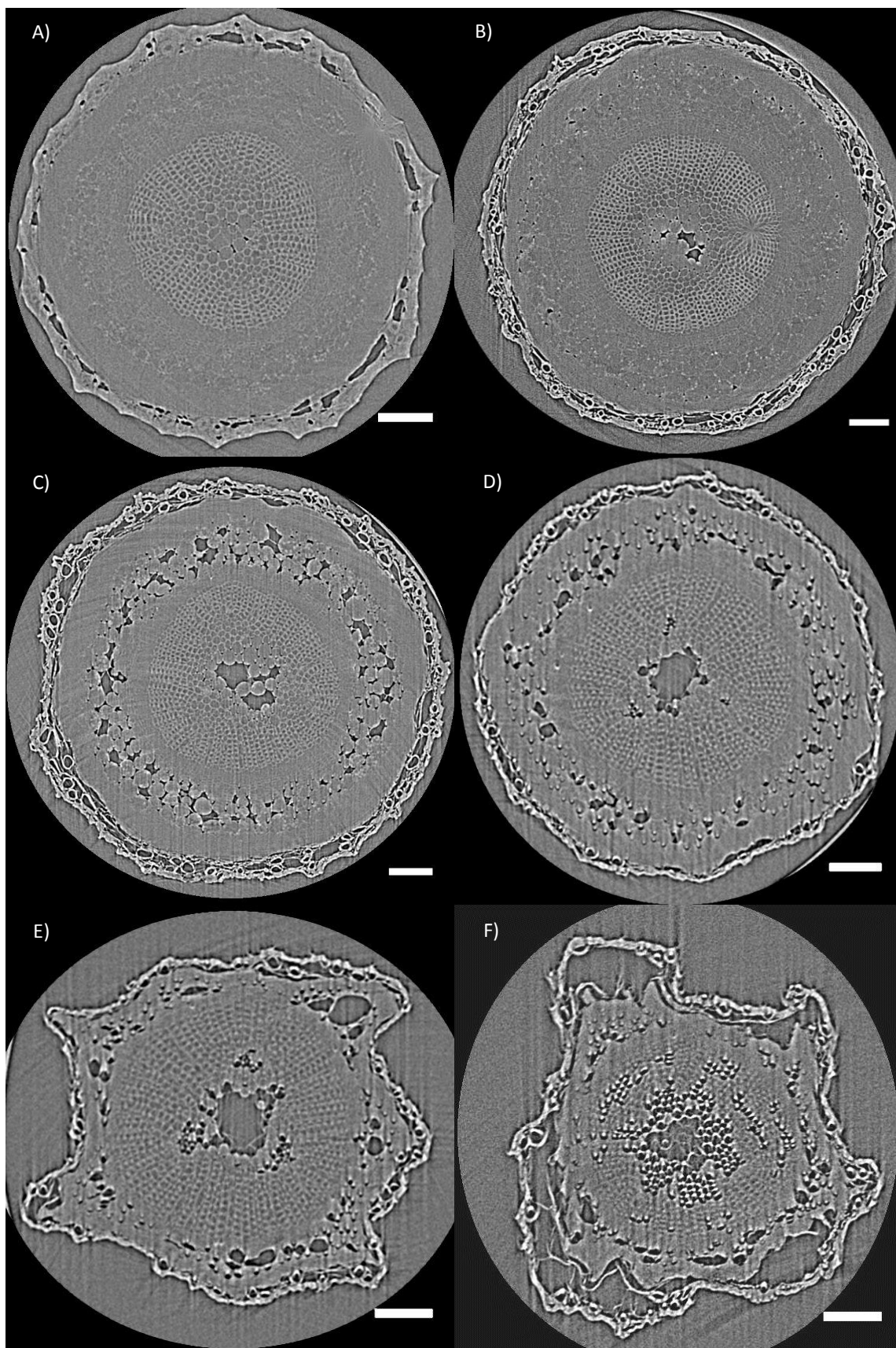
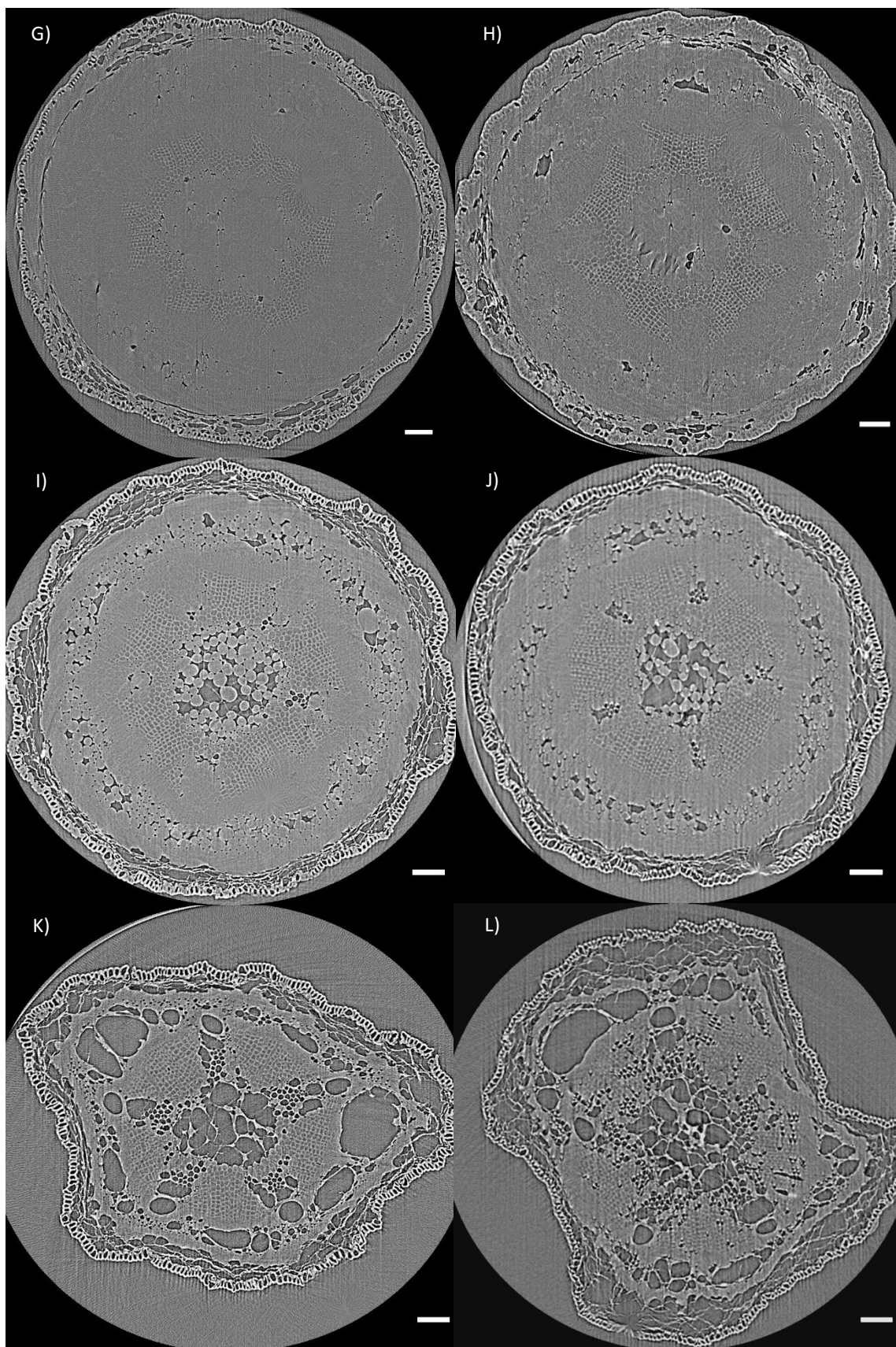


Figure 2.3 *P. ponderosa* microCT image. Inset is a magnification of the microCT image compared to an analogous confocal laser scanning microscopy image. Using the CLSM image as a reference helps distinguish primary xylem, especially adjacent to the pith, secondary xylem, lignified axial parenchyma, and rays.







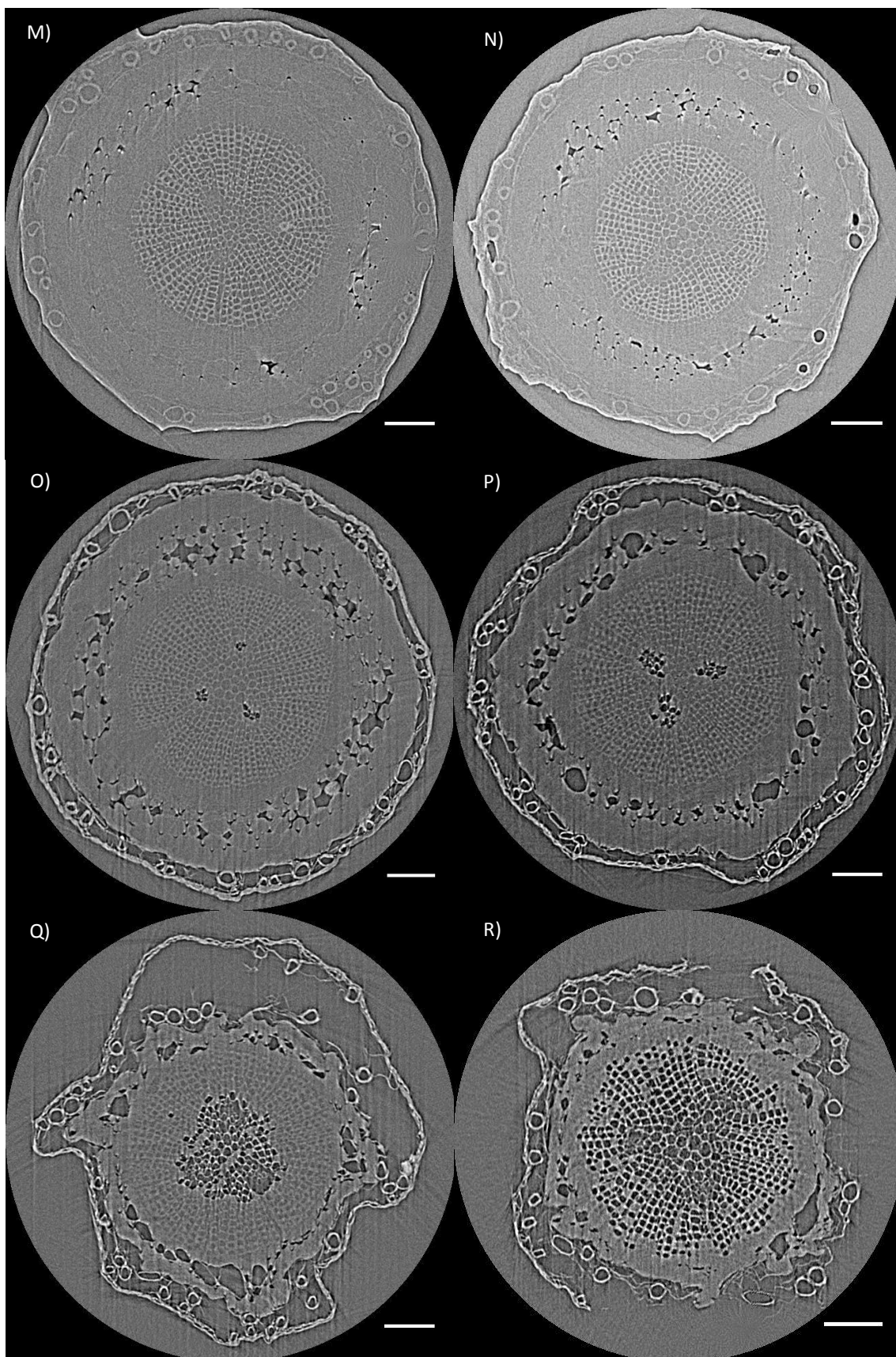


Figure 2.4 MicroCT imaging of *P. menziesii* (A-F), *P. ponderosa* (G-L), and *L. occidentalis* (M-R) excised during desiccation. Images B & C, D & E, H & I, and J & K are the same stems respectively, but only the latter image in each set was measured for a water potential. All images are from excised stems. *L. occidentalis* was the only species to exhibit extensive secondary embolism (R). Water potentials (in MPa) are: A = -1.3, C= -0.6, E= -2.1, F= -6.7, G= -0.10, I= -0.96, K= -0.73, L= -4.27, M= -0.2, N= -0.8, O= -2.6, P= -3.3, R= -2.1. Bars = 100um.

## Appendix

Table A.1 Stem, Xylem and Leaf Area Measurements.

Species	Week	$A_s$ (mm <sup>2</sup> )	SE	$A_x$ (mm <sup>2</sup> )	SE	$A_{fx}$ (mm <sup>2</sup> )	SE	$A_L$ (m <sup>2</sup> )	SE	$A_L: A_{fx}$	SE
<i>P. menziesii</i>	2	0.686	0.050	0.037	0.004	0.005	0.001	5.6E-05	3.6E-06	12877	1829
	3	0.639	0.031	0.049	0.005	0.018	0.001	1.5E-04	2.1E-05	9380	1251
	4	0.576	0.079	0.062	0.002	0.027	0.003	1.6E-04	1.5E-05	6066	827
	6	0.637	0.042	0.118	0.008	0.069	0.005	3.4E-04	4.1E-05	4922	558
	10	0.901	0.099	0.302	0.064	0.168	0.020	1.6E-03	1.3E-04	9368	1072
<i>P. ponderosa</i>	2	1.660	0.163	0.202	0.026	0.014	0.002	1.9E-04	1.6E-05	12880	2550
	3	1.497	0.095	0.214	0.028	0.065	0.013	4.9E-04	8.4E-05	8847	2577
	4	1.824	0.099	0.355	0.025	0.148	0.010	9.2E-04	1.0E-04	6960	1920
	6	1.373	0.130	0.369	0.056	0.186	0.019	8.5E-04	1.0E-04	4570	243
	10	4.939	N/A	1.219	0.097	0.726	0.056	4.5E-03	2.0E-04	6214	273
<i>L. occidentalis</i>	2	0.434	0.033	0.019	0.002	0.004	0.000	2.5E-05	3.7E-06	7244	967
	3	0.386	0.029	0.017	0.004	0.009	0.003	9.1E-05	7.3E-06	11413	2114
	4	0.452	0.034	0.039	0.003	0.021	0.002	9.3E-05	7.9E-06	4430	318
	6	0.370	0.027	0.061	0.006	0.039	0.002	1.2E-04	1.1E-05	2964	131
	10	1.687	0.181	0.569	0.063	0.370	0.046	2.0E-03	2.9E-04	5354	611

Table A.2 Anatomical Measurements.

Species	Week	D <sup>m</sup> ( $\mu\text{m}$ )	SE	D <sup>pa</sup> ( $\mu\text{m}$ )	SE	D <sup>for</sup> ( $\mu\text{m}$ )	SE	W <sub>t</sub> ( $\mu\text{m}$ )	SE	D <sub>t</sub> ( $\mu\text{m}$ )	SE	Tw ( $\mu\text{m}$ )	SE
<i>P. menziesii</i>	2	6.25	0.275	2.60	0.109	3.85	0.259	1.02	0.050	8.59	0.194	2.37	0.039
	3	7.21	0.128	2.10	0.041	3.34	0.101	0.88	0.103	9.63	0.361	2.51	0.075
	4	7.35	0.203	2.13	0.073	3.88	0.123	0.70	0.031	8.68	0.278	3.31	0.106
	6	7.23	0.140	1.77	0.074	4.00	0.100	0.63	0.026	6.89	0.224	4.09	0.078
	10	6.93	0.132	1.58	0.051	3.88	0.066	0.68	0.013	6.61	0.178	4.79	0.088
<i>P. ponderosa</i>	2	7.61	0.302	2.75	0.125	6.11	0.909	--	--	9.44	0.415	3.31	0.066
	3	9.19	0.276	2.59	0.073	4.85	0.169	1.07	0.204	12.06	0.332	3.53	0.083
	4	9.18	0.174	2.48	0.057	4.73	0.105	0.68	0.016	10.93	0.290	3.86	0.060
	6	8.38	0.153	2.17	0.052	4.75	0.082	0.72	0.016	9.46	0.198	4.38	0.057
	10	8.49	0.085	2.23	0.028	4.99	0.053	0.70	0.008	9.01	0.173	4.01	0.049
<i>L. occidentalis</i>	2	7.25	0.356	2.74	0.176	3.00	0.254	1.06	0.161	8.35	0.247	2.20	0.033
	3	7.12	0.368	2.52	0.247	3.80	0.333	0.94	0.102	8.82	0.227	2.64	0.044
	4	7.51	0.170	2.27	0.071	4.10	0.128	0.75	0.020	9.16	0.231	3.14	0.063
	6	7.31	0.129	2.01	0.056	3.92	0.090	0.83	0.019	8.24	0.221	4.08	0.075
	10	7.79	0.094	2.19	0.041	4.05	0.058	0.68	0.011	8.75	0.187	4.66	0.067

Table A.3 Functional Traits

Species	Week	$K_{L(t)}$	SE	$K_{s(t)}$	SE	$K_{H(t)}$	SE	TPO	SE	$\frac{T^w}{D_t^{-1}}$	SE
<i>P. menziesii</i>	2	1.44E-04	4.05E-05	1.44	0.18	7.63E-06	1.99E-06	0.31	0.211	0.29	0.007
	3	2.08E-04	3.61E-05	1.78	0.12	3.2E-05	3.51E-06	0.32	0.047	0.28	0.013
	4	3.11E-04	5.95E-05	1.73	0.20	4.83E-05	9.49E-06	0.48	0.017	0.42	0.023
	6	2.28E-04	5.63E-05	1.01	0.14	7.11E-05	1.17E-05	0.56	0.017	0.73	0.037
	10	5.64E-05	7.44E-06	0.53	0.08	8.48E-05	9.57E-06	0.59	0.014	0.87	0.037
<i>P. ponderosa</i>	2	2.24E-04	5.83E-05	2.20	0.4	3.32E-05	8.88E-06	0.50	0.082	0.47	0.038
	3	4.58E-04	1.42E-04	3.13	0.35	2.14E-04	5.36E-05	0.44	0.015	0.31	0.010
	4	3.75E-04	1.72E-04	2.58	0.20	3.89E-04	5.11E-05	0.45	0.014	0.40	0.014
	6	4.23E-04	3.34E-05	1.92	0.12	3.58E-04	4.41E-05	0.53	0.017	0.52	0.014
	10	2.39E-04	2.14E-05	1.47	0.08	1.07E-03	1.19E-04	0.55	0.004	0.50	0.014
<i>L. occidentalis</i>	2	5.83E-05	4.73E-05	1.7	0.12	6.44E-06	1.19E-06	0.02	0.151	0.30	0.013
	3	1.42E-04	7.23E-05	1.8	0.09	1.69E-05	5.39E-06	0.44	0.047	0.33	0.013
	4	1.72E-04	8.95E-05	1.8	0.81	4E-05	6.3E-06	0.43	0.024	0.39	0.015
	6	3.34E-05	4.71E-05	1.4	0.09	5.38E-05	5.36E-06	0.48	0.014	0.57	0.022
	10	2.14E-05	2.78E-05	1.2	0.16	4.79E-04	1.08E-04	0.46	0.012	0.61	0.021