

SOLUTION OF THE BETHE-GOLDSTONE EQUATION WITHOUT PARTIAL
WAVE DECOMPOSITION

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Authorization to Submit Dissertation

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Abstract

Nucleon-nucleon scattering is a most fundamental process in nuclear physics. From the theoretical standpoint, its description in momentum space involves the solution of an integral equation in three dimensions, which is typically accomplished with the help of a partial wave expansion of the scattering amplitude. It is the purpose of this work to present a method for solving the nucleon-nucleon scattering equation without the use of such an expansion in order to remove a standard approximation. After verifying the accuracy of our numerical tools by comparing with existing solutions of the nucleon-nucleon scattering amplitude in free space, we proceed to apply the method to the equation describing scattering of two nucleons in the nuclear medium, known as the Bethe-Goldstone equation. An important feature of this equation is the presence of the so-called “Pauli blocking operator”, which prevents scattering of two fermions into occupied states, as required by the Pauli principle. In standard solution methods based on partial wave expansions, it is necessary to apply an approximation to this operator, which involves averaging over angular variables and is therefore known as the “spherical approximation”. In our method, this approximation can be avoided. Thus, a focal point of this study is a comparison of Pauli blocking effects calculated in the (angle-dependent) three-dimensional formalism as compared to the usual spherical approximation. We present results for nucleon-nucleon amplitudes and observables and discuss their implications.

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Chapter 1

Introduction

Infinite nuclear matter, with equal or unequal concentrations of protons and neutrons, is a convenient theoretical laboratory to explore the nucleon-nucleon (NN) interaction in the many-body environment. The Bethe-Goldstone equation [1, 2, 3, 4] was developed to describe NN scattering in a dense hadronic medium through the inclusion of two main effects: 1) corrections of the single-particle energies to account for the presence of the medium, and 2) the Pauli blocking mechanism, which prevents scattering into occupied states. Within the Dirac-Brueckner-Hartree-Fock (DBHF) approach, an additional “non conventional” medium effect comes in through the use of the (density-dependent) nucleon effective mass in the nucleon Dirac spinors.

The main purpose of this dissertation is to present a method for the solution of the *in-medium* scattering equation without the use of partial wave decomposition in order to remove a standard approximation and to discuss the significance of our results. Although considerable work can be found in literature on solutions of the NN scattering equation in three-dimensional *free-space* (see for instance, Refs. [5, 6, 7, 8]), to the best of our knowledge no such calculation has been reported for in-medium scattering.

There are advantages to the use of a three-dimensional formalism. First, the computational effort is the same regardless of the energy, whereas the number of partial waves to be included for satisfactory convergence is well known to grow with energy. Second, and most important for our purposes, the Pauli operator can be handled exactly, avoiding the usual spherical or angle-average approximation which becomes necessary in a partial wave (angle-independent) framework.

Studies on the impact of non-spherical components in the Pauli operator have been reported earlier (see for instance Ref. [9] and references therein). Remaining within a

partial wave formalism, non-spherical components can be included by calculating the matrix elements of the exact Pauli operator. In turn, those allow transitions between states of different total angular momentum J , with the resulting partial wave matrix elements depending on the magnetic quantum number M . The presence of states with $J \neq J'$ and the dependence on M can be cumbersome and inconvenient, particularly if a large number of partial waves needs to be included. One possibility is to limit the inclusion of non-spherical components to a few partial waves. On the other hand, the issue of the importance of non-spherical components in the Pauli operator can be settled in a more definite way by a calculation such as the one reported in this dissertation. Given that Pauli blocking is perhaps the single most important medium effect in nuclear matter, it's a worthwhile effort.

Our framework is meson theory. Although NN potentials based on chiral effective theory have recently become popular, it must be kept in mind that a chiral expansion is valid only for low momenta (up to approximately 250 MeV in terms of laboratory kinetic energy), whereas relativistic meson theory is a more appropriate framework if one wishes to consider a broad range of momenta and densities.

The organization of this dissertation is as follows. In Chapter 2 we develop the main formalism. Beginning with Sect. 2.1, we discuss the analytical aspects of our approach to the solution of the three-dimensional equation (i.e. choice of basis and partial decoupling of the scattering equations). A detailed description of the NN potential used as our input is found in Appx. A. There, we provide complete expressions for the relativistic one-boson-exchange (OBE) helicity amplitudes in three-dimensional space, with pseudovector coupling for the exchange of pseudoscalar mesons, and for the general case of baryons with different masses. This information, which we could not find in the literature, can be useful to the reader as it can be applied, for instance, to develop a nucleon-hyperon (pseudovector) meson-theoretic potential. Furthermore, the presence of two different nucleon masses makes these potentials suitable for appli-

cations in isospin-asymmetric matter, where neutrons and protons acquire different effective masses. We conclude the section with a brief description of the formalism necessary to connect with the partial wave representation and the construction of physical states in the three-dimensional approach.

In Sect. 2.2 we incorporate the effects of the Pauli blocking operator, which is beautifully simple in three-dimensional space. Then we proceed to the solution of the scattering equation.

Results from the formalism developed in Chapter 2 are presented in Sect. 2.3, where we first verify the accuracy of our numerical solution. We accomplish this by transforming our output into the familiar basis of angular momentum states and comparing with existing partial wave solutions obtained with the same input. This is done successfully. We then proceed to explore the impact of using the exact or spherical Pauli operator. Possible implications of those effects are discussed.

In Chapter 3 we develop an alternative formalism to facilitate the calculation of NN observables. After discussing the role of in-medium NN observables in many-body problems, which we do in Sect. 3.4, we will apply a different strategy to solve the Thompson and the Bethe-Goldstone equations. As we will show, this method is more suitable to obtain scattering amplitudes where the momentum of the incoming nucleon is along the direction of the chosen quantization axis, the z -axis. Representative results for NN observables for both symmetric and asymmetric nuclear matter using exact or angle-averaged Pauli blocking are then presented in Sect. 3.5.3. The dissertation closes with Chapter 4, where we present a short summary and conclusions.

Chapter 2

Main Formalism

2.1 Free-Space NN Scattering in Three-Dimensional Space

Before confronting the in-medium scattering equation, we consider the equation in free-space. Once the necessary tools have been worked out for free-space, natural modifications can be made to account for the presence of the medium.

2.1.1 The Thomson Equation in a Helicity Basis

Two nucleon scattering is described covariantly by the Bethe-Salpeter equation [10]. Being a four-dimensional integral equation, it's difficult to solve [11], so it's customary to resort to relativistic three dimensional-reductions. One such three-dimensional reduction yields the Thompson equation, which is the one we adopt here. In operator form the Thompson equation reads $T = V + VG_oT$, where T , V , and G_o are the T -matrix, the NN potential, and the two-nucleon propagator, respectively. After casting the operator equation into a momentum and total isospin basis we obtain [12]

$$T^I(\mathbf{q}', \mathbf{q}) = V^I(\mathbf{q}', \mathbf{q}) + \lim_{\epsilon \rightarrow 0} \int_{\mathbb{R}^3} V^I(\mathbf{q}', \mathbf{q}'') \frac{m^2}{E_{q''}^2} \frac{1}{2(E_q - E_{q''} + i\epsilon)} T^I(\mathbf{q}'', \mathbf{q}) \frac{d^3q''}{(2\pi)^3}, \quad (2.1)$$

with $E_p = \sqrt{p^2 + m^2}$ and m the nucleon mass, which we take to be the average of the proton and neutron mass. The T -matrix, $T^I(\mathbf{q}', \mathbf{q}) \equiv \langle \mathbf{q}' I | T | \mathbf{q} I \rangle$, as well as the NN potential, $V^I(\mathbf{q}', \mathbf{q}) \equiv \langle \mathbf{q}' I | V | \mathbf{q} I \rangle$, are written in terms of the momentum and the (conserved) total isospin. \mathbf{q} , \mathbf{q}' , and \mathbf{q}'' are the initial, final, and intermediate relative momentum.

Multiplying the equation from the left by $\frac{m}{E_{q'}}$ and from the right by $\frac{m}{E_q}$ and defining

$$\begin{aligned}\hat{V} &= \frac{m}{E_{q'}} V \frac{m}{E_q}, \\ \hat{T} &= \frac{m}{E_{q'}} T \frac{m}{E_q},\end{aligned}\tag{2.2}$$

we can write the Thompson equation in a more convenient form

$$\hat{T}^I(\mathbf{q}', \mathbf{q}) = \hat{V}^I(\mathbf{q}', \mathbf{q}) + \lim_{\epsilon \rightarrow 0} \int_{\mathbb{R}^3} \hat{V}^I(\mathbf{q}', \mathbf{q}'') \frac{1}{2(E_q - E_{q''} + i\epsilon)} \hat{T}^I(\mathbf{q}'', \mathbf{q}) d^3 q'', \tag{2.3}$$

where we have absorbed the $1/(2\pi)^3$ factor into the NN potential, which is described in Appx. A. Next we introduce a helicity basis. A helicity ket is defined as an eigenstate of $(\boldsymbol{\sigma} \cdot \hat{\mathbf{p}}) |\lambda\rangle = 2\lambda |\lambda\rangle$, where $\hat{\mathbf{p}}$ is a unit momentum vector and $\boldsymbol{\sigma} = (\sigma_x, \sigma_y, \sigma_z)$ the spin operator. Physically, the helicity is the spin projection along the direction of the momentum. Utilizing a helicity basis along with its completeness relation we obtain

$$\begin{aligned}\langle \lambda'_1 \lambda'_2 | \hat{T}^I(\mathbf{q}', \mathbf{q}) | \lambda_1 \lambda_2 \rangle &= \langle \lambda'_1 \lambda'_2 | \hat{V}^I(\mathbf{q}', \mathbf{q}) | \lambda_1 \lambda_2 \rangle \\ &+ \sum_{\lambda''_1, \lambda''_2 = \pm} \int_{\mathbb{R}^3} \frac{\langle \lambda'_1 \lambda'_2 | \hat{V}^I(\mathbf{q}', \mathbf{q}'') | \lambda''_1 \lambda''_2 \rangle \langle \lambda''_1 \lambda''_2 | \hat{T}^I(\mathbf{q}'', \mathbf{q}) | \lambda_1 \lambda_2 \rangle}{2(E_q - E_{q''} + i\epsilon)} d^3 q'',\end{aligned}\tag{2.4}$$

where for brevity we suppressed $\lim_{\epsilon \rightarrow 0}$ and denoted $\pm \frac{1}{2}$ by \pm .

Note that our choice of basis is different from both Ref. [5] and Ref. [6], where states of total helicity are employed. We find that uncoupled-helicity states, $|\lambda_1 \lambda_2\rangle$, are a more convenient and transparent basis because they connect to the NN potential straightforwardly, since the NN potential is constructed in terms of solutions of the single-nucleon Dirac equation [see Eq. (4.64)].

As it stands, a three-dimensional integral needs to be performed. Fortunately, the azimuthal degree of freedom can be removed. This is accomplished by applying to

both sides of Eq. (2.4) the operator $\frac{1}{2\pi} \int_0^{2\pi} d\phi'$ [5]

$$\begin{aligned} \frac{1}{2\pi} \int_0^{2\pi} \langle \lambda'_1 \lambda'_2 | \hat{T}^I(\mathbf{q}', \mathbf{q}) | \lambda_1 \lambda_2 \rangle d\phi' &= \frac{1}{2\pi} \int_0^{2\pi} \langle \lambda'_1 \lambda'_2 | \hat{V}^I(\mathbf{q}', \mathbf{q}) | \lambda_1 \lambda_2 \rangle d\phi' \\ &+ \sum_{\lambda'_1, \lambda'_2 = \pm} \int_{\mathbb{R}^3} \left(\frac{1}{2\pi} \int_0^{2\pi} \langle \lambda'_1 \lambda'_2 | \hat{V}^I(\mathbf{q}', \mathbf{q}'') | \lambda''_1 \lambda''_2 \rangle d\phi' \right) \frac{\langle \lambda''_1 \lambda''_2 | \hat{T}^I(\mathbf{q}'', \mathbf{q}) | \lambda_1 \lambda_2 \rangle}{2(E_q - E_{q''} + i\epsilon)} d^3 q'', \end{aligned} \quad (2.5)$$

and observing that the azimuthal dependence of \hat{V} occurs in factors of $\cos(\phi' - \phi)$ and $\sin(\phi' - \phi)$. This symmetry carries over to \hat{T} and is due to rotational invariance. We will revisit this point more rigorously in Sect. 2.1.3. Exploiting this observation, we obtain

$$\begin{aligned} \frac{1}{2\pi} \int_0^{2\pi} \langle \lambda'_1 \lambda'_2 | \hat{T}^I(\mathbf{q}', \mathbf{q}) | \lambda_1 \lambda_2 \rangle |_{\phi=0} d\phi' &= \frac{1}{2\pi} \int_0^{2\pi} \langle \lambda'_1 \lambda'_2 | \hat{V}^I(\mathbf{q}', \mathbf{q}) | \lambda_1 \lambda_2 \rangle |_{\phi=0} d\phi' \\ &+ \sum_{\lambda'_1, \lambda'_2 = \pm} \int_0^\infty \int_0^\pi \left(\frac{1}{2\pi} \int_0^{2\pi} \langle \lambda'_1 \lambda'_2 | \hat{V}^I(\mathbf{q}', \mathbf{q}'') | \lambda''_1 \lambda''_2 \rangle |_{\phi''=0} d\phi' \right) \frac{q''^2 \sin \theta''}{2(E_q - E_{q''} + i\epsilon)} \\ &\times 2\pi \left(\frac{1}{2\pi} \int_0^{2\pi} \langle \lambda''_1 \lambda''_2 | \hat{T}^I(\mathbf{q}'', \mathbf{q}) | \lambda_1 \lambda_2 \rangle |_{\phi=0} d\phi' \right) d\theta'' dq''. \end{aligned} \quad (2.6)$$

To complete the removal of the azimuthal degree of freedom, we introduce the following definitions [5]

$$\langle \lambda'_1 \lambda'_2 | t^I(\tilde{q}', \tilde{q}) | \lambda_1 \lambda_2 \rangle \equiv \frac{1}{2\pi} \int_0^{2\pi} \langle \lambda'_1 \lambda'_2 | \hat{T}^I(\mathbf{q}', \mathbf{q}) | \lambda_1 \lambda_2 \rangle |_{\phi=0} d\phi', \quad (2.7a)$$

$$\langle \lambda'_1 \lambda'_2 | v^I(\tilde{q}', \tilde{q}) | \lambda_1 \lambda_2 \rangle \equiv \frac{1}{2\pi} \int_0^{2\pi} \langle \lambda'_1 \lambda'_2 | \hat{V}^I(\mathbf{q}', \mathbf{q}) | \lambda_1 \lambda_2 \rangle |_{\phi=0} d\phi', \quad (2.7b)$$

with $\tilde{q} \equiv (q, \theta)$ and similarly for primed coordinates. It should be pointed out that even though the three-dimensional potential $\langle \lambda'_1 \lambda'_2 | \hat{V}^I(\mathbf{q}', \mathbf{q}) | \lambda_1 \lambda_2 \rangle$, is complex, the ϕ -integrated NN potential $\langle \lambda'_1 \lambda'_2 | v^I(\tilde{q}', \tilde{q}) | \lambda_1 \lambda_2 \rangle$, is real, as the ϕ -integrated imaginary part vanishes due to the $\cos(\phi' - \phi)$ and $\sin(\phi' - \phi)$ factors. Using Eqs. (2.6) and (2.7)

we obtain the ϕ -integrated Thompson equation

$$\begin{aligned} \langle \lambda'_1 \lambda'_2 | t^I(\tilde{q}', \tilde{q}) | \lambda_1 \lambda_2 \rangle &= \langle \lambda'_1 \lambda'_2 | v^I(\tilde{q}', \tilde{q}) | \lambda_1 \lambda_2 \rangle \\ &+ \sum_{\lambda'_1, \lambda'_2 = \pm} \pi \int_0^\infty \int_0^\pi \frac{\langle \lambda'_1 \lambda'_2 | v^I(\tilde{q}', \tilde{q}'') | \lambda''_1 \lambda''_2 \rangle \langle \lambda''_1 \lambda''_2 | t^I(\tilde{q}'', \tilde{q}) | \lambda_1 \lambda_2 \rangle}{E_q - E_{q''} + i\epsilon} q''^2 \sin \theta'' d\theta'' dq'' . \end{aligned} \quad (2.8)$$

Equation (2.7) is consistent with the ϕ -average procedure in Ref. [5]. In the past, slightly different definitions have been used to integrate out the azimuthal dependence, see for instance the method of Ref. [6]. There, the initial momentum is taken along the z -axis (that is, $\theta = 0$). While convenient in some ways, this choice is not compatible with Eq. (2.7), because some of the helicity matrix elements vanish (if $\theta = 0$) when integrated over the azimuthal angle. Therefore, in our calculations we don't take θ equal to a fixed value. Instead, we compute the solution over the $q' \times \theta' \times \theta$ grid. In other words, this ϕ -average procedure is not suitable to describe a situation where the incoming momentum is along the z -axis, as in a typical scattering scenario. This point is addressed in the next chapter, where we apply a different strategy to overcome the problem.

2.1.2 Partially Decoupling the System of Integral Equations

The ϕ -integrated Thompson equations are a set of sixteen coupled Fredholm integral equations of the second kind for each isospin. Due to parity and isospin

conservation, only six amplitudes are independent

$$\begin{aligned}
\langle ++ | t^I | ++ \rangle &= \langle -- | t^I | -- \rangle , \\
\langle ++ | t^I | -- \rangle &= \langle -- | t^I | ++ \rangle , \\
\langle +- | t^I | +- \rangle &= \langle -+ | t^I | -+ \rangle , \\
\langle +- | t^I | -+ \rangle &= \langle -+ | t^I | +- \rangle , \\
\langle ++ | t^I | +- \rangle &= -\langle ++ | t^I | -+ \rangle = \langle -- | t^I | +- \rangle = -\langle -- | t^I | -+ \rangle , \\
\langle +- | t^I | ++ \rangle &= -\langle -+ | t^I | ++ \rangle = \langle +- | t^I | -- \rangle = -\langle -+ | t^I | -- \rangle . \quad (2.9)
\end{aligned}$$

For the six independent amplitudes we choose

$$\begin{aligned}
t_1^I &\equiv \langle ++ | t^I | ++ \rangle , \quad t_2^I \equiv \langle ++ | t^I | -- \rangle , \quad t_3^I \equiv \langle +- | t^I | +- \rangle , \\
t_4^I &\equiv \langle +- | t^I | -+ \rangle , \quad t_5^I \equiv \langle ++ | t^I | +- \rangle , \quad t_6^I \equiv \langle +- | t^I | ++ \rangle . \quad (2.10)
\end{aligned}$$

Due to the symmetries of the three-dimensional NN potential, we find that the following linear combinations,

$${}^0t^I \equiv t_1^I - t_2^I , \quad {}^1t^I \equiv t_3^I + t_4^I , \quad {}^{12}t^I \equiv t_1^I + t_2^I , \quad {}^{34}t^I \equiv t_3^I - t_4^I , \quad {}^{55}t^I \equiv 2t_5^I , \quad {}^{66}t^I \equiv 2t_6^I , \quad (2.11)$$

partially decouple the system. As it turns out, the spin triplet amplitudes ${}^{12}t^I$, ${}^{34}t^I$, ${}^{55}t^I$, and ${}^{66}t^I$ remain coupled, whereas the spin singlet amplitude ${}^0t^I$ and the spin triplet amplitude ${}^1t^I$ are uncoupled. Note that all the formulas above are applicable to the NN potential.

To get a feel for the behavior of the ϕ -integrated NN potentials which enter the kernel of the equation, we plot in Fig. 2.1 the ϕ -integrated Bonn B potentials: ${}^n v^I$ for $n = 0, 1, 12$ in the notation of Eq. (2.11). The plots reveal potentials that need a momentum of at least 4000 MeV to approach zero. These observations are insightful

with respect to the expected convergence properties of the integral equation.

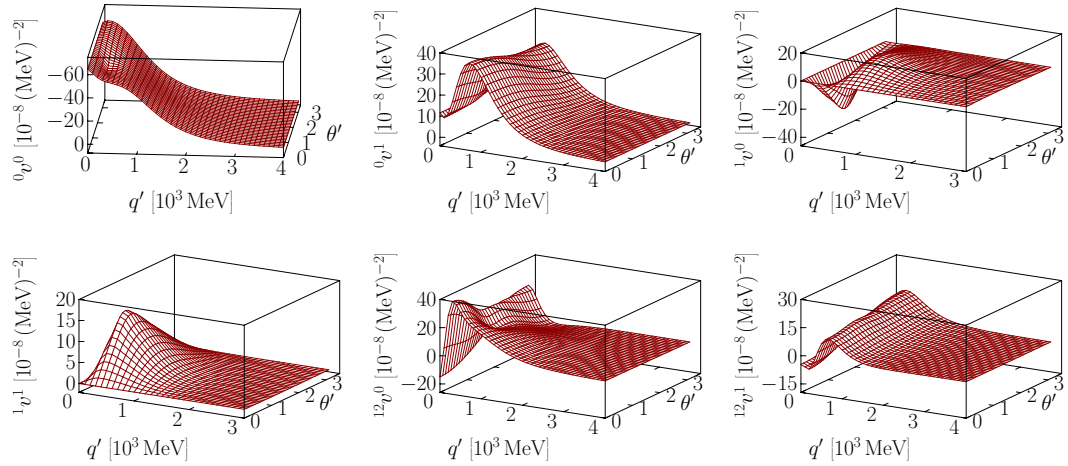


Figure 2.1: (Color online) ϕ -integrated Bonn B potentials as a function of $\tilde{q}' = (q', \theta')$. The potentials are evaluated at $\theta = \arccos(0.5)$ and $q = 306.42$ MeV.

The formal numerical solution of the ϕ -integrated Thompson equation is rather tedious and is developed in Appx. B. The general idea is to use the linear combinations given in Eq. (2.11), to obtain six (for each isospin) Fredholm integral equations of the second kind. Then, using Nystrom's method [13] or matrix inversion [14] we convert the system of integral equations into a system of matrix equations and invert.

2.1.3 Connection with Partial Wave Decomposition and Construction of Physical States

A common method for solving Eq. (2.4) involves partial wave decomposition [15]. Although in this paper we avoid that method, we utilize the partial-wave solution for comparison purposes. The expansion of $\hat{T}^I(\mathbf{q}', \mathbf{q})$ in a partial wave helicity basis [16, 17] is given by

$$\begin{aligned} \langle \lambda'_1 \lambda'_2 | \hat{T}^I(\mathbf{q}', \mathbf{q}) | \lambda_1 \lambda_2 \rangle &= \sum_{JM} \frac{2J+1}{4\pi} D_{M\Lambda'}^J(\phi', \theta', -\phi')^* \langle \lambda'_1 \lambda'_2 | \hat{T}^{IJ}(q', q) | \lambda_1 \lambda_2 \rangle \\ &\times D_{M\Lambda}^J(\phi, \theta, -\phi), \end{aligned} \quad (2.12)$$

where the Wigner D -matrix $D_{M\Lambda}^J(\alpha, \beta, \gamma) = e^{-iM\alpha} d_{M\Lambda}^J(\beta) e^{-i\Lambda\gamma}$ includes the reduced rotation matrix $d_{M\Lambda}^J(\beta)$ with $\Lambda \equiv \lambda_1 - \lambda_2$ and an analogous definition for the primed coordinate. The partial wave amplitudes, denoted by $\hat{T}^{IJ}(q', q)$ (with a similar decomposition done for the NN potential), are the solutions of the partial wave decomposed Eq. (2.4). We choose the partial wave helicity amplitudes consistently with those defined in Eq. (2.10) and denote them as

$$\hat{T}_n^{IJ}(q', q) \quad \text{with} \quad n = 1, 2, 3, \dots, 6. \quad (2.13)$$

It can then be shown that the following linear combinations,

spin singlet $S = 0$,

$${}^0\mathcal{T}^{IJ} \equiv \hat{T}_1^{IJ} - \hat{T}_2^{IJ}, \quad (2.14)$$

spin triplet $S = 1$,

$$\begin{aligned} {}^1\mathcal{T}^{IJ} &\equiv \hat{T}_3^{IJ} - \hat{T}_4^{IJ}, \quad {}^{12}\mathcal{T}^{IJ} \equiv \hat{T}_1^{IJ} + \hat{T}_2^{IJ}, \\ {}^{34}\mathcal{T}^{IJ} &\equiv \hat{T}_3^{IJ} + \hat{T}_4^{IJ}, \quad {}^{55}\mathcal{T}^{IJ} \equiv 2\hat{T}_5^{IJ}, \quad {}^{66}\mathcal{T}^{IJ} \equiv 2\hat{T}_6^{IJ}, \end{aligned} \quad (2.15)$$

partially decouple the (partial wave decomposed) scattering equation [18]. The last four amplitudes in Eq. (2.15) represent coupled triplet states. Again, all of the formulas above have similar expressions for the NN potential. We now apply $\frac{1}{2\pi} \int_0^{2\pi} d\phi'$ on both sides of Eq. (2.12) to obtain

$$\langle \lambda'_1 \lambda'_2 | t^I(\tilde{q}', \tilde{q}) | \lambda_1 \lambda_2 \rangle = \sum_J \frac{2J+1}{4\pi} d_{0\Lambda}^J(\theta') \langle \lambda'_1 \lambda'_2 | \hat{T}^{IJ}(q', q) | \lambda_1 \lambda_2 \rangle d_{0\Lambda}^J(\theta), \quad (2.16)$$

where we made use of Eq. (4.65). Then, recalling the definitions given in Eq. (2.13), we obtain the transformation from partial waves into the (angle-dependent) t -matrix

$$\begin{aligned}
{}^0t^I &= \sum_J \frac{2J+1}{4\pi} d_{00}^J(\theta') d_{00}^J(\theta) {}^0\mathcal{T}^{IJ}(q', q), & {}^1t^I &= \sum_J \frac{2J+1}{4\pi} d_{01}^J(\theta') d_{01}^J(\theta) {}^1\mathcal{T}^{IJ}(q', q), \\
{}^{12}t^I &= \sum_J \frac{2J+1}{4\pi} d_{00}^J(\theta') d_{00}^J(\theta) {}^{12}\mathcal{T}^{IJ}(q', q), & {}^{34}t^I &= \sum_J \frac{2J+1}{4\pi} d_{01}^J(\theta') d_{01}^J(\theta) {}^{34}\mathcal{T}^{IJ}(q', q), \\
{}^{55}t^I &= \sum_J \frac{2J+1}{4\pi} d_{00}^J(\theta') d_{01}^J(\theta) {}^{55}\mathcal{T}^{IJ}(q', q), & {}^{66}t^I &= \sum_J \frac{2J+1}{4\pi} d_{01}^J(\theta') d_{00}^J(\theta) {}^{66}\mathcal{T}^{IJ}(q', q),
\end{aligned} \tag{2.17}$$

where we used the relation $d_{0,-1}^J(\theta) = -d_{01}^J(\theta)$. As it stands, our angle-dependent solutions contain unphysical states. On the other hand, the well-known antisymmetry requirement for the NN system imply that only even or odd values of J are allowed in a particular state of definite spin and isospin. Thus, starting with Eq. (2.17) and making use of the identities $(-1)^J d_{00}^J(\theta') = d_{00}^J(\pi - \theta')$ and $(-1)^{J+1} d_{01}^J(\theta') = d_{01}^J(\pi - \theta')$, we can, in each case, identify the appropriate combination of the direct and the exchange terms which must enter the antisymmetrized amplitudes. For those, we obtain:

$${}^0t_a^I(\tilde{q}', \tilde{q}) \equiv {}^0t_a^{\overset{1}{0}}(\tilde{q}', \tilde{q}) \pm {}^0t_a^{\overset{1}{0}}(-\tilde{q}', \tilde{q}) = 2 \sum_{\substack{J=\text{even} \\ \text{odd}}} \frac{2J+1}{4\pi} d_{00}^J(\theta') d_{00}^J(\theta) {}^0\mathcal{T}^{\overset{1}{0}J}(q', q), \tag{2.18}$$

$${}^1t_a^I(\tilde{q}', \tilde{q}) \equiv {}^1t_a^{\overset{1}{0}}(\tilde{q}', \tilde{q}) \pm {}^1t_a^{\overset{1}{0}}(-\tilde{q}', \tilde{q}) = 2 \sum_{\substack{J=\text{odd} \\ \text{even}}} \frac{2J+1}{4\pi} d_{01}^J(\theta') d_{01}^J(\theta) {}^1\mathcal{T}^{\overset{1}{0}J}(q', q), \tag{2.19}$$

$${}^{12}t_a^I(\tilde{q}', \tilde{q}) \equiv {}^{12}t_a^{\overset{1}{0}}(\tilde{q}', \tilde{q}) \pm {}^{12}t_a^{\overset{1}{0}}(-\tilde{q}', \tilde{q}) = 2 \sum_{\substack{J=\text{even} \\ \text{odd}}} \frac{2J+1}{4\pi} d_{00}^J(\theta') d_{00}^J(\theta) {}^{12}\mathcal{T}^{\overset{1}{0}J}(q', q), \tag{2.20}$$

$${}^{34}t_a^I(\tilde{q}', \tilde{q}) \equiv {}^{34}t_a^{\overset{1}{0}}(\tilde{q}', \tilde{q}) \mp {}^{34}t_a^{\overset{1}{0}}(-\tilde{q}', \tilde{q}) = 2 \sum_{\substack{J=\text{even} \\ \text{odd}}} \frac{2J+1}{4\pi} d_{01}^J(\theta') d_{01}^J(\theta) {}^{34}\mathcal{T}^{\overset{1}{0}J}(q', q), \tag{2.21}$$

$${}^{55}t_a^I(\tilde{q}', \tilde{q}) \equiv {}^{55}t_a^{\overset{1}{0}}(\tilde{q}', \tilde{q}) \pm {}^{55}t_a^{\overset{1}{0}}(-\tilde{q}', \tilde{q}) = 2 \sum_{\substack{J=\text{even} \\ \text{odd}}} \frac{2J+1}{4\pi} d_{00}^J(\theta') d_{01}^J(\theta) {}^{55}\mathcal{T}^{\overset{1}{0}J}(q', q), \tag{2.22}$$

$${}^{66}t_a^I(\tilde{q}', \tilde{q}) \equiv {}^{66}t_a^{\overset{1}{0}}(\tilde{q}', \tilde{q}) \mp {}^{66}t_a^{\overset{1}{0}}(-\tilde{q}', \tilde{q}) = 2 \sum_{\substack{J=\text{even} \\ \text{odd}}} \frac{2J+1}{4\pi} d_{01}^J(\theta') d_{00}^J(\theta) {}^{66}\mathcal{T}^{\overset{1}{0}J}(q', q), \tag{2.23}$$

where one must read across the top (or bottom) to associate the correct sign with the appropriate J values (even or odd) and isospin (0 or 1). We also used the shorthand notation for the exchange amplitude ${}^n\mathcal{T}^I(-\tilde{q}', \tilde{q}) = {}^n\mathcal{T}^I(q', \pi - \theta', q, \theta)$ for $n = 0, 1, 12, 34, 55, 66$.

Even more common to describe the NN system is the $|LSJ\rangle$ basis because these states are traditionally related to phase-shift analyses. In this basis the physical states can simply be selected using the constraint that $L + S + I$ must be odd. To compare with the familiar partial wave states, we first invert Eq. (2.16) with the help of the orthogonality relation

$$\int_0^\pi d_{0\Lambda}^{J'}(\theta) d_{0\Lambda}^J(\theta) \sin \theta \, d\theta = \frac{2}{2J+1} \delta_{JJ'} \, , \quad (2.24)$$

to obtain

$$\begin{aligned} \langle \lambda'_1 \lambda'_2 | \hat{T}^{IJ}(q', q) | \lambda_1 \lambda_2 \rangle &= \pi(2J+1) \int_0^\pi \int_0^\pi d_{0\Lambda'}^J(\theta') \\ &\times \langle \lambda'_1 \lambda'_2 | t^I(\tilde{q}', \tilde{q}) | \lambda_1 \lambda_2 \rangle d_{0\Lambda}^J(\theta) \sin \theta' \sin \theta \, d\theta \, d\theta' \, . \end{aligned} \quad (2.25)$$

At this point, an elementary unitary transformation takes us into the $|LSJ\rangle$ partial wave basis. For explicit formulas see Ref. [18, 15].

2.2 Solving the Bethe-Goldstone Equation in Three Dimensions

2.2.1 The Bethe-Goldstone Equation in a Helicity Basis

In the nuclear matter frame, in analogy with the free-space case and following steps similar to Eqs. (2.1)-(2.3), the Bethe-Goldstone equation can be written as

$$\hat{G}^I(\mathbf{q}', \mathbf{q}, \mathbf{P}, k_F) = \hat{V}^I(\mathbf{q}', \mathbf{q}) + \lim_{\epsilon \rightarrow 0} \int_{\mathbb{R}^3} \frac{\hat{V}^I(\mathbf{q}', \mathbf{q}'') Q(\mathbf{q}'', \mathbf{P}, k_F) \hat{G}^I(\mathbf{q}'', \mathbf{q}, \mathbf{P}, k_F)}{(e^*(\mathbf{P}, \mathbf{q}) - e^*(\mathbf{P}, \mathbf{q}'') + i\epsilon)} \, d^3 q'' \, , \quad (2.26)$$

where Q stands for the Pauli operator, which suppresses scattering into states below the Fermi momentum, and the asterix signify in-medium energies. Depending on the approach one takes, the NN potential may or may not be medium-modified through the use of effective masses in the Dirac spinors. In Eq. (2.26), we have defined

$$e^*(\mathbf{P}, \mathbf{q}) = \epsilon^*(\mathbf{P} + \mathbf{q}) + \epsilon^*(\mathbf{P} - \mathbf{q}) . \quad (2.27)$$

The single-particle energy, ϵ^* , contains kinetic and potential energy

$$\epsilon^*(\mathbf{P} + \mathbf{q}) = T(\mathbf{P} + \mathbf{q}) + U(\mathbf{P} + \mathbf{q}) = E^* + U_V , \quad (2.28)$$

where $E^* = \sqrt{(\mathbf{P} + \mathbf{q})^2 + (m^*)^2}$, and the last step is a consequence of the self-consistent determination of the nuclear matter potential and its parametrization in terms of scalar and vector potentials, $U_S = m^* - m$ and U_V [19].

On the other hand, we are interested in the scattering of two nucleons in the medium at some positive energy and in their center-of-mass system (which makes the comparison with free-space scattering more straightforward). For such a case, $\mathbf{P} = 0$ in the energies, although the Pauli operator still depends on the relative velocity between the two frames, as the momenta $\mathbf{P} \pm \mathbf{q}$ are the ones to be compared with the Fermi momentum. Thus, in the center-of-mass system, and ignoring medium effects other than Pauli blocking, the equation reads

$$\hat{G}^I(\mathbf{q}', \mathbf{q}, \mathbf{P}, k_F) = \hat{V}^I(\mathbf{q}', \mathbf{q}) + \lim_{\epsilon \rightarrow 0} \int_{\mathbb{R}^3} \frac{\hat{V}^I(\mathbf{q}', \mathbf{q}'') Q(\mathbf{q}'', \mathbf{P}, k_F) \hat{G}^I(\mathbf{q}'', \mathbf{q}, \mathbf{P}, k_F)}{2(E_q^* - E_{q''}^* + i\epsilon)} d^3 q'' . \quad (2.29)$$

The Pauli operator for symmetric nuclear matter is defined as

$$Q(\mathbf{q}'', \mathbf{P}, k_F) \equiv \Theta(|\mathbf{P} + \mathbf{q}''| - k_F) \Theta(|\mathbf{P} - \mathbf{q}''| - k_F) , \quad (2.30)$$

where Θ is the Heaviside step function, \mathbf{P} is one half the center of mass momentum, $\mathbf{P} \pm \mathbf{q}$ is the momentum of the two particles in the nuclear matter rest frame, and k_F is the Fermi momentum, related to the nucleon density by $\rho = \frac{2k_F^3}{3\pi^2}$. Clearly, the free-space equation is recovered by using free-space energies and setting $Q=1$.

From Eq. (2.29) we obtain the corresponding ϕ -integrated Bethe-Goldstone equation

$$\begin{aligned} \langle \lambda'_1 \lambda'_2 | g^I(\tilde{q}', \tilde{q}) | \lambda_1 \lambda_2 \rangle &= \langle \lambda'_1 \lambda'_2 | v^I(\tilde{q}', \tilde{q}) | \lambda_1 \lambda_2 \rangle + \sum_{\lambda''_1, \lambda''_2 = \pm} \pi \int_0^\infty \int_0^\pi \\ &\times \frac{\langle \lambda'_1 \lambda'_2 | v^I(\tilde{q}', \tilde{q}'') | \lambda''_1 \lambda''_2 \rangle Q(\tilde{q}'', P, k_F) \langle \lambda''_1 \lambda''_2 | g^I(\tilde{q}'', \tilde{q}) | \lambda_1 \lambda_2 \rangle}{E_q^* - E_{q''}^* + i\epsilon} q''^2 \sin \theta'' d\theta'' dq'' . \end{aligned} \quad (2.31)$$

It's important to choose a frame such that \mathbf{P} points along the z -axis, since this allows Q to become independent of ϕ'' because we can set $\phi'' = 0$ inside Q without loss of generality. In this dissertation we have chosen $\mathbf{P} = q\hat{z}$ and used $k_F = 1.4 \text{ fm}^{-1}$, or $\rho = 0.185 \text{ fm}^{-3}$ in this chapter, which is close to normal matter density. Thus, we will suppress the dependence of g^I on those variables.

2.2.2 The Pauli Operator and the Spherical Approximation

The Pauli operator and its effect on Eq. (2.29) is the focal point of this paper. Mathematically, Q restricts the θ'' integration to

$$|\cos \theta''| < a , \quad \text{where} \quad a \equiv \frac{P^2 + q''^2 - k_F^2}{2Pq''} , \quad (2.32)$$

as can be easily shown from Eq. (2.30). As already discussed in the Introduction, non-spherical components can be included by evaluating the matrix elements of Q and including them in a partial wave scattering equation. This method generates couplings between intermediate states with different total angular momentum as well as dependence on the magnetic quantum number. On the other hand, the three-

dimensional solution requires some initial effort, but the inclusion of the exact Pauli operator is then absolutely straightforward. Another common method, which avoids the latter approaches, is to use the partial wave scattering equation along with the so called spherical or angle-averaged Pauli operator \bar{Q} (see Ref. [14] and references therein)

$$Q(\mathbf{q}'', \mathbf{P}, k_F) \approx \bar{Q}(q'', P, k_F) = \frac{\int Q(\mathbf{q}'', \mathbf{P}, k_F) d\Omega''}{\int d\Omega''} = \frac{1}{2} \int_{-a}^a d(\cos \theta'') = \frac{P^2 + q''^2 - k_F^2}{2Pq''}, \quad (2.33)$$

unless it's equal to zero or one. In the next section we explore the differences (or similarities) resulting from using the exact Pauli operator in a three-dimensional calculation, *or* the spherical Pauli operator in a partial wave calculation.

For the sake of generality, we note that the above Pauli operator can be extended to the case of two different Fermi momenta, k_{F1} and k_{F2} . This makes it suitable for an isospin-asymmetric nuclear matter calculation. All that needs to be done is to modify the angular integration to implement the restrictions

$$|\mathbf{P} + \mathbf{q}''| > k_{F1} \quad \text{and} \quad |\mathbf{P} - \mathbf{q}''| > k_{F2} \implies \\ Q(\mathbf{q}'', \mathbf{P}, k_{F1}, k_{F2}) \equiv \Theta(|\mathbf{P} + \mathbf{q}''| - k_{F1})\Theta(|\mathbf{P} - \mathbf{q}''| - k_{F2}), \quad (2.34)$$

which again, is easily implemented into our three-dimensional formalism.

2.3 Results and Discussion

As an initial check of our formalism, we calculate the t -matrix and transform it into the $|LSJ\rangle$ basis via Eq. (2.25). Our comparisons are displayed in Tables 2.1 and 2.2, where we show LSJ on-shell matrix elements at laboratory energies equal to 50, 100, 200, and 300 MeV. (The laboratory energy E_{Lab} , is related to the on-shell center-of-mass momentum q by $E_{Lab} = \frac{2q^2}{m}$.) We use the familiar spectroscopic notation for partial waves, e.g., for coupled states $^{(2S+1)}L'_J - ^{(2S+1)}L_J$ refers to $\langle L'SJ|\hat{T}|LSJ\rangle$.

Looking at the tables in terms of relative error (with the partial wave solution taken to be exact), the majority of our results in Tables 2.1 and 2.2 have errors less than $\approx 0.1\%$. Coupled states have slightly larger errors. For instance, the real part of 3S_1 at 300 MeV has an error of $\approx 3\%$. Only the 1P_1 case has consistently larger discrepancies, the largest being $\approx 7\%$ and occurring in the imaginary part at 300 MeV. The 1P_1 state is a central partial wave with both spin and isospin equal to zero and notoriously problematic, due to large attraction at short range. Thus, some larger discrepancy may be expected. Nevertheless, the worst case we have observed still yields reasonable agreement: $-1.33 \times 10^{-7} \text{ MeV}^{-2}$ *vs.* $-1.43 \times 10^{-7} \text{ MeV}^{-2}$.

Before moving on to showing our main results, it's useful to recall that Eq. (2.12) implies rotational invariance (hence conservation of total angular momentum). While the angle-average calculation clearly maintains rotational invariance, this symmetry is broken when handling the Pauli operator exactly, due to the directional dependencies introduced. Thus, when entering the medium, we stay with the direct output of our three-dimensional equation, antisymmetrized as displayed in the LHS of Eqs. (2.18)-(2.23). The other element of the comparison consists of three-dimensional solutions constructed from the (Pauli-modified, but rotationally invariant) partial waves as shown in the RHS of Eqs. (2.18)-(2.23).

We perform calculations as described in Appx. B for several initial momenta. Because we wish to highlight the impact of Pauli blocking in the two different approaches

(exact *vs.* angle-averaged), we apply no other medium effects at this time and thus the matrix elements can be quite different than those from a realistic Brueckner or Dirac-Brueckner calculation (although we may refer to our Pauli-modified calculation as a g -matrix calculation). We will show a representative set of amplitudes from Eqs. (2.18)-(2.23).

In Figs. 2.2-2.13 the real and imaginary parts of amplitudes ${}^0g_a^I$, ${}^1g_a^I$, and ${}^{12}g_a^I$ are displayed as a function of the off-shell momentum q' . In each figure, the on-shell momentum q and initial polar angle θ are held fixed. Furthermore, each frame corresponds to a selected value of θ' . Both isospin states are displayed. The four on-shell momenta selected for Figs. 2.2-2.4, 2.5-2.7, 2.8-2.10, and 2.11-2.13 correspond to (in-vacuum) laboratory energies equal to 50, 100, 200, and 300 MeV, respectively. In all frames, the solid (red) curve shows the predictions in free space, while the dashed (blue) and the dotted (green) curves show the predictions obtained with the angle-averaged and exact Pauli operator, respectively, close to nuclear matter density.

As a general pattern, the imaginary part is considerably more sensitive to the handling of Pauli blocking. This is not surprising, as the absence (or presence) of an imaginary part arising from the residue in Eq. (2.31) depends on whether Q vanishes (or not) for a particular combination of q , P , and k_F ; thus, it should be sensitive to how Q is defined and treated.

Concerning energy dependence, the impact of Pauli blocking i.e. the differences between the solid (red) curve and either of the other two, is larger at lower on-shell momentum, as expected. However, differences between the two sets of Pauli-modified calculations tend to be more noticeable at those on-shell momenta where the g -matrix is complex.

For a given on-shell momentum (or in-vacuum energy), model dependence is largest at smaller values of q' , but comparable at all angles considered in the figures.

Interesting observations can be made with regard to how the different types of physical amplitudes respond to the improved description of Pauli blocking. The least sensitive is the uncoupled singlet ${}^0g_a^I$, shown in Figs. 2.2, 2.5, 2.8, 2.11. Its real part shows hardly any sensitivity to the removal of the spherical approximation, whereas the imaginary part reveals some small to moderate sensitivity at $q = 216.67$ MeV and $q = 306.42$ MeV. This can be understood. Although the connection to the conventional description in terms of LSJ states must be taken with caution (for the reasons explained earlier), such connection is not entirely lost. Thus, we recall that a major singlet state is the 1S_0 partial wave, which is not expected to be sensitive to the introduction of non-spherical components in the Pauli operator.

The uncoupled triplet states ${}^1g_a^I$ show moderate sensitivity, mostly in their imaginary parts, see Figs. 2.3, 2.6, 2.9, 2.12. On the other hand, the coupled triplet states ${}^n g_a^I$ for $n = 12, 34, 55, 66$, show some remarkable differences between the two sets of predictions. As a member of the four coupled states defined in Eqs. (2.20)-(2.23), we selected ${}^{12}g_a^I$, shown in Figs. 2.4, 2.7, 2.10, 2.13. Differences between the dotted (blue) and dashed (green) curves at low q' can be substantial in all cases where the imaginary part is non-zero.

Concerning isospin dependence, generally the pattern is similar for $I = 0$ and $I = 1$, with slightly more sensitivity in $I = 0$ states. In terms of LSJ states, the 3S_1 wave, which receives large contribution from the tensor force, is likely to be sensitive to a non-spherical treatment of Pauli blocking.

At this point it's appropriate to elaborate further on the fact that the largest differences between predictions originating from different handling's of such an important medium effect as Pauli blocking occur in the imaginary part of the g -matrix. We note that such differences would be entirely suppressed if for instance *in-medium* differential cross sections, which are an important ingredient of transport models in heavy-ion collisions, were calculated using the real R -matrix (also known as the K -matrix). In-

medium equivalence of the R -matrix and T -matrix formalisms (an assumption which is correct in-vacuum provided there are no open inelastic channels), implies the validity of free-space unitarity. However, the latter is violated in the medium due to the presence of Pauli-blocked (but otherwise energetically open) channels. We believe this renders the use of the R -matrix unsuitable in the medium, even in the absence of inelasticities in the potential. The present observation of the imaginary part being the most sensitive to modifications in the Pauli operator appears to strengthen this point.

Before closing, some comments are in place concerning the density dependence. In fact, densities lower than saturation density play an important role in the construction of optical potentials. As a demonstration of the density dependence, we take some selected amplitudes and show predictions for Fermi momenta equal to 1.1, 1.4, and 1.6 fm^{-1} with both exact Q and angle-averaged Q , see Fig. 2.14. In each frame we plot the real and imaginary parts of amplitudes ${}^0g_a^I$, ${}^1g_a^I$, and, ${}^{12}g_a^I$ (both isospins) as a function of the off-shell momentum q' . We choose specific conditions, namely $q = 306.42 \text{ MeV}$, $\theta = \arccos(0.5)$, and $\theta' = 3$, which are a subset of the most sensitive cases from Figs.2.2-2.13. In all frames, the solid (red), dotted (green), and dashed (blue) curves are exact Pauli operator calculations performed at $k_F = 1.1, 1.4, \text{ and } 1.6 \text{ fm}^{-1}$, respectively. The dashed-dot (orange), dashed-double-dot (pink), and double-dashed (purple) are the corresponding spherical Pauli operator calculations performed at $k_F = 1.1, 1.4, \text{ and } 1.6 \text{ fm}^{-1}$, respectively.

Consistent with our previous findings, at all three densities the real part is less sensitive to model differences than the imaginary part, an observation which applies to all frames in Fig. 2.14. Also, the $I = 0$ case tends to be more sensitive than the $I = 1$ case at all three densities.

By looking, for instance, at the imaginary part of ${}^1g_a^0$, we see that the differences between the two sets of calculations (solid (red) *vs.* dashed-dot (orange) for $k_F =$

1.1 fm^{-1} , and dashed (blue) *vs.* double-dashed (purple) for $k_F = 1.6 \text{ fm}^{-1}$), are larger at the larger density. Furthermore, at the highest density the imaginary parts of $^{12}g_a^0$ and $^{12}g_a^1$, as calculated with the two methods, show different qualitative trends, whereas, at the lower densities, all curves tend to display similar trends.

In summary, we identified some remarkable differences between predictions with or without the angle-average approximation in the Pauli operator, particularly in the imaginary part of the coupled states. Application of the present g -matrix in nuclear systems/reactions which are sensitive to the off-shell nature of the NN amplitudes have the best potential to reveal sensitivity to the improved description of Pauli blocking.

Table 2.1: Our calculated and transformed free-space LSJ partial waves (inside square brackets), along with the direct partial wave decomposition solution (outside square brackets). We show on-shell partial waves at $E_{Lab} = 50, 100$ MeV (i.e. $q' = q = 153.21, 216.67$ MeV).

Partial wave	50 (10^{-9} MeV $^{-2}$)	100 (10^{-9} MeV $^{-2}$)
1S_0	-2165.71 - i 1902.87[-2165.69 - i 1902.72]	-1240.24 - i 637.93[-1240.20 - i 637.87]
3P_0	-1002.57 - i 243.73[-1002.56 - i 243.73]	-623.64 - i 133.38[-623.63 - i 133.38]
1P_1	685.36 - i 110.33[687.55 - i 111.06]	607.79 - i 126.39[612.35 - i 128.38]
3P_1	671.08 - i 105.66[671.08 - i 105.66]	701.09 - i 170.76[701.09 - i 170.76]
3S_1	-1885.57 - i 3277.79[-1885.67 - i 3277.58]	-1491.65 - i 1222.11[-1491.63 - i 1222.05]
3S_1 - 3D_1	-78.35 - i 105.27[-78.38 - i 105.30]	-79.19 - i 39.96[-79.21 - i 39.97]
3D_1 - 3S_1	-78.35 - i 105.27[-78.38 - i 105.29]	-79.19 - i 39.96[-79.21 - i 39.97]
3D_1	506.59 - i 63.63[506.59 - i 63.63]	642.79 - i 144.98[642.79 - i 144.98]
1D_2	-119.96 - i 3.30[-119.96 - i 3.30]	-175.20 - i 10.10[-175.19 - i 10.10]
3D_2	-730.69 - i 125.87[-730.66 - i 125.86]	-944.44 - i 327.76[-944.40 - i 327.74]
3P_2	-459.85 - i 53.59[-459.83 - i 53.59]	-597.58 - i 130.22[-597.55 - i 130.21]
3P_2 - 3F_2	139.64 + i 15.74[139.64 + i 15.74]	148.16 + i 32.51[148.15 + i 32.51]
3F_2 - 3P_2	139.64 + i 15.74[139.64 + i 15.74]	148.16 + i 32.51[148.15 + i 32.51]
3F_2	-26.02 - i 4.68[-26.01 - i 4.68]	-41.08 - i 8.12[-41.08 - i 8.12]
1F_3	92.12 - i 1.94[92.10 - i 1.94]	126.03 - i 5.22[126.01 - i 5.22]
3F_3	56.39 - i 0.73[56.38 - i 0.73]	89.49 - i 2.63[89.48 - i 2.63]
3D_3	-28.23 - i 4.12[-28.22 - i 4.12]	-96.55 - i 15.89[-96.53 - i 15.88]
3D_3 - 3G_3	-131.03 - i 0.21[-131.00 - i 0.21]	-197.13 - i 2.74[-197.10 - i 2.74]
3G_3 - 3D_3	-131.03 - i 0.21[-131.00 - i 0.21]	-197.13 - i 2.74[-197.10 - i 2.74]
3G_3	21.36 - i 4.04[21.36 - i 4.04]	54.63 - i 13.79[54.62 - i 13.79]
1G_4	-11.89 - i 0.03[-11.89 - i 0.03]	-22.00 - i 0.16[-21.99 - i 0.16]
3G_4	-58.64 - i 0.79[-58.61 - i 0.79]	-122.59 - i 4.94[-122.53 - i 4.93]
3F_4	-7.71 - i 0.07[-7.70 - i 0.07]	-22.37 - i 0.46[-22.36 - i 0.46]
3F_4 - 3H_4	15.40 + i 0.03[15.40 + i 0.03]	30.10 + i 0.28[30.08 + i 0.28]
3H_4 - 3F_4	15.40 + i 0.03[15.40 + i 0.03]	30.10 + i 0.28[30.08 + i 0.28]
3H_4	-2.00 - i 0.06[-2.00 - i 0.06]	-5.81 - i 0.31[-5.81 - i 0.31]
1H_5	13.34 - i 0.04[13.32 - i 0.04]	30.26 - i 0.30[30.21 - i 0.30]
3H_5	6.69 - i 0.01[6.68 - i 0.01]	17.13 - i 0.10[17.10 - i 0.10]
3G_5	4.04 - i 0.07[4.04 - i 0.07]	9.70 - i 0.58[9.68 - i 0.58]
3G_5 - 3I_5	-16.65 + i 0.02[-16.63 + i 0.02]	-40.76 + i 0.22[-40.71 + i 0.22]
3I_5 - 3G_5	-16.65 + i 0.02[-16.63 + i 0.02]	-40.76 + i 0.22[-40.71 + i 0.22]
3I_5	1.83 - i 0.06[1.83 - i 0.06]	7.08 - i 0.56[7.07 - i 0.56]

Table 2.2: Same as Table 2.1 but at $E_{Lab} = 200, 300 \text{ MeV}$ (i.e. $q' = q = 306.42, 375.29 \text{ MeV}$).

Partial wave	200 (10^{-9} MeV^{-2})	300 (10^{-9} MeV^{-2})
1S_0	$-315.87 - i48.55[-315.83 - i48.54]$	$125.14 - i9.39[125.23 - i9.40]$
3P_0	$-77.92 - i2.89[-77.91 - i2.89]$	$227.48 - i31.43[227.49 - i31.44]$
1P_1	$513.00 - i133.59[522.53 - i138.97]$	$452.83 - i132.73[467.74 - i142.51]$
3P_1	$693.46 - i260.99[693.46 - i260.99]$	$668.79 - i332.51[668.81 - i332.54]$
3S_1	$-547.70 - i156.54[-547.67 - i156.52]$	$-14.13 - i3.07[-13.74 - i3.08]$
$^3S_1\text{-}^3D_1$	$-69.25 + i3.77[-69.25 + i3.77]$	$-65.77 + i24.97[-65.85 + i25.02]$
$^3D_1\text{-}^3S_1$	$-69.25 + i3.77[-69.25 + i3.77]$	$-65.77 + i24.97[-65.85 + i25.02]$
3D_1	$641.71 - i221.33[641.71 - i221.33]$	$565.21 - i223.02[565.23 - i223.05]$
1D_2	$-224.60 - i24.26[-224.59 - i24.26]$	$-220.56 - i29.52[-220.55 - i29.52]$
3D_2	$-869.31 - i459.72[-869.28 - i459.70]$	$-711.51 - i394.54[-711.47 - i394.51]$
3P_2	$-559.24 - i166.64[-559.21 - i166.63]$	$-452.36 - i134.09[-452.21 - i134.00]$
$^3P_2\text{-}^3F_2$	$95.61 + i29.80[95.60 + i29.80]$	$46.29 + i14.26[46.31 + i14.26]$
$^3F_2\text{-}^3P_2$	$95.61 + i29.80[95.60 + i29.80]$	$46.29 + i14.26[46.31 + i14.26]$
3F_2	$-42.65 - i5.65[-42.65 - i5.65]$	$-22.70 - i1.71[-22.70 - i1.71]$
1F_3	$134.38 - i8.62[134.35 - i8.62]$	$134.40 - i10.84[134.37 - i10.83]$
3F_3	$111.75 - i5.95[111.73 - i5.95]$	$119.08 - i8.50[119.06 - i8.49]$
3D_3	$-200.29 - i42.51[-200.26 - i42.50]$	$-231.33 - i56.65[-231.27 - i56.64]$
$^3D_3\text{-}^3G_3$	$-217.68 - i10.32[-217.64 - i10.31]$	$-195.35 - i12.68[-195.31 - i12.67]$
$^3G_3\text{-}^3D_3$	$-217.68 - i10.32[-217.64 - i10.31]$	$-195.35 - i12.68[-195.32 - i12.67]$
3G_3	$103.94 - i28.09[103.92 - i28.08]$	$128.30 - i33.32[128.28 - i33.31]$
1G_4	$-32.53 - i0.50[-32.51 - i0.50]$	$-39.59 - i0.93[-39.56 - i0.93]$
3G_4	$-192.78 - i17.82[-192.68 - i17.81]$	$-223.02 - i30.19[-222.89 - i30.17]$
3F_4	$-51.83 - i2.16[-51.80 - i2.16]$	$-73.35 - i4.49[-73.31 - i4.49]$
$^3F_4\text{-}^3H_4$	$43.10 + i1.30[43.08 + i1.30]$	$46.13 + i2.43[46.11 + i2.43]$
$^3H_4\text{-}^3F_4$	$43.10 + i1.30[43.08 + i1.30]$	$46.13 + i2.43[46.11 + i2.43]$
3H_4	$-11.68 - i0.95[-11.68 - i0.95]$	$-14.76 - i1.40[-14.75 - i1.40]$
1H_5	$45.59 - i0.99[45.53 - i0.99]$	$49.27 - i1.45[49.21 - i1.45]$
3H_5	$30.16 - i0.43[30.12 - i0.43]$	$36.12 - i0.78[36.08 - i0.78]$
3G_5	$11.41 - i2.30[11.39 - i2.29]$	$5.19 - i3.85[5.19 - i3.84]$
$^3G_5\text{-}^3I_5$	$-68.52 + i0.98[-68.43 + i0.97]$	$-80.09 + i1.57[-79.98 + i1.57]$
$^3I_5\text{-}^3G_5$	$-68.52 + i0.98[-68.43 + i0.97]$	$-80.09 + i1.57[-79.98 + i1.57]$
3I_5	$18.46 - i2.40[18.44 - i2.39]$	$27.61 - i4.29[27.57 - i4.28]$

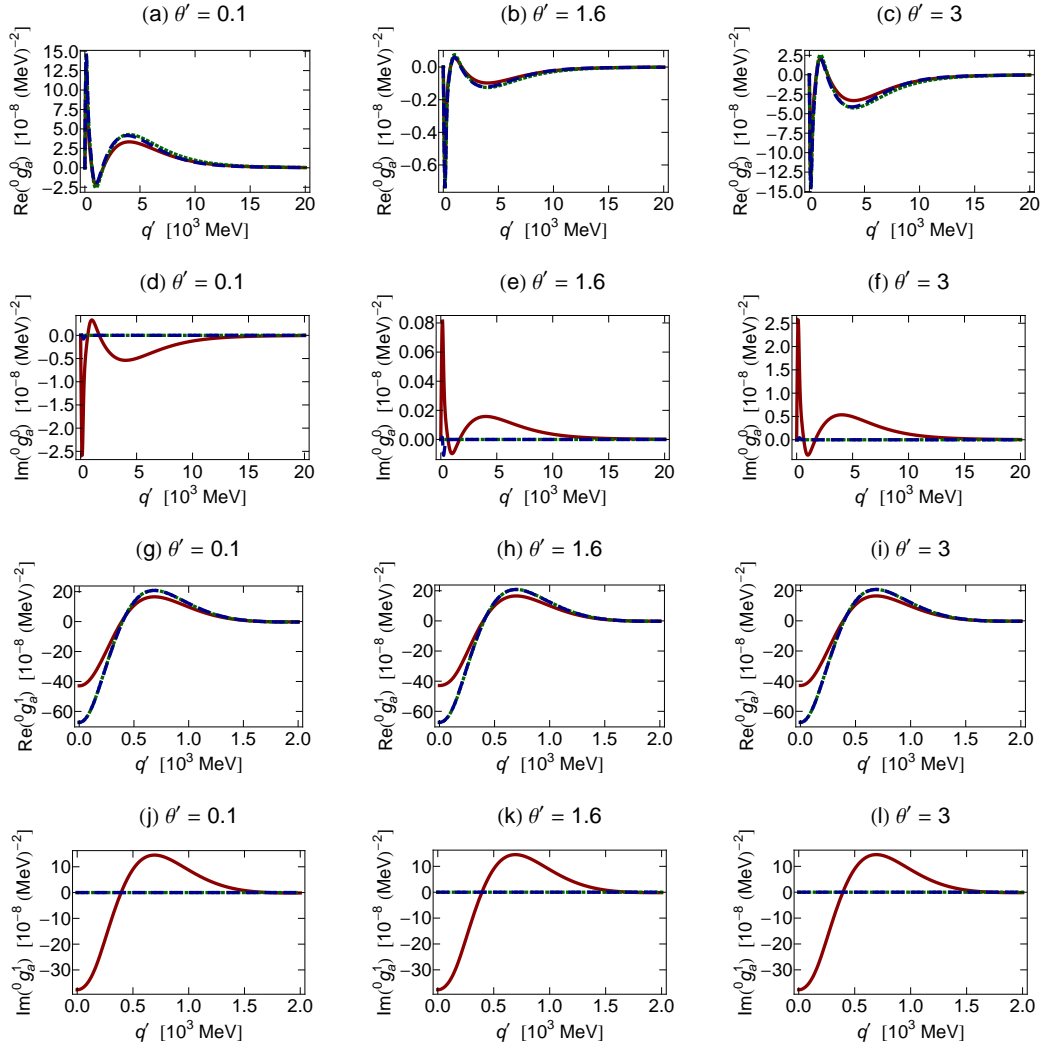


Figure 2.2: (Color online) Real and imaginary parts of ${}^0g_a^I$ and ${}^0t_a^I$ (both isospins) as a function of q' . We set $\theta = \arccos(0.5)$, $q = 153.21 \text{ MeV}$, and $\theta' = 0.1, 1.6, 3$. The solid (red) curve is the free-space calculation while the dotted (green) and dashed (blue) curves are the exact and spherical Pauli operator calculations respectively.

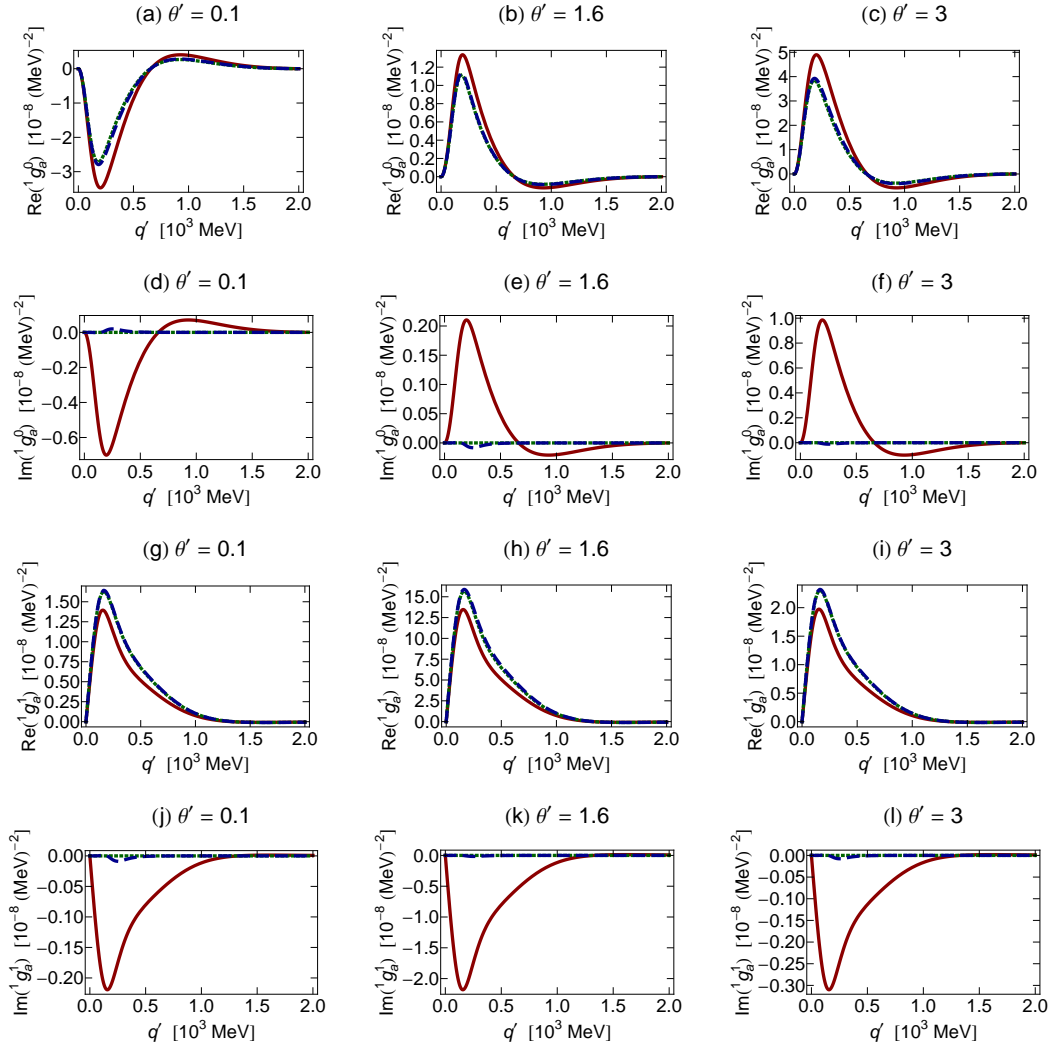


Figure 2.3: (Color online) Same as Fig. 2.2 but for ${}^1g_a^I$ and ${}^1t_a^I$.

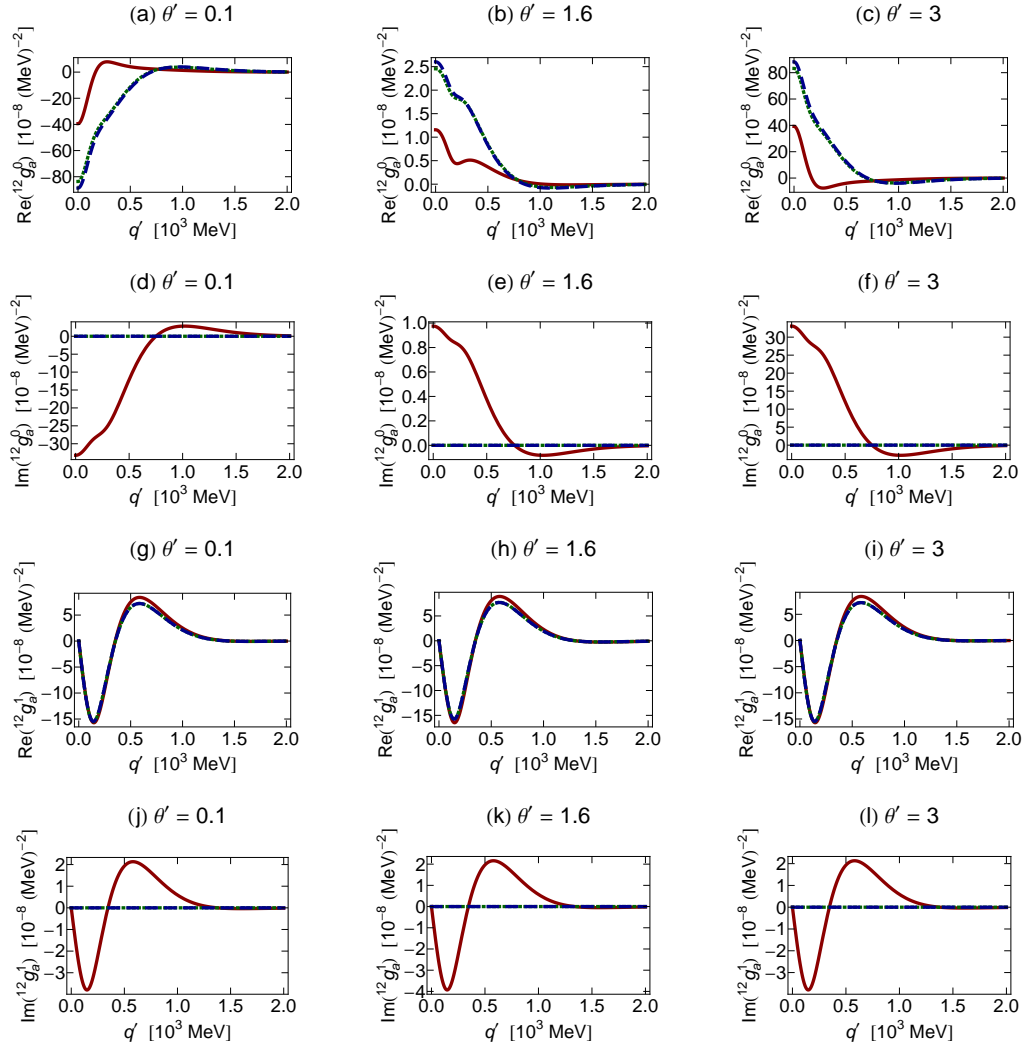


Figure 2.4: (Color online) Same as Fig. 2.2 but for $^{12}g_a^I$ and $^{12}t_a^I$.

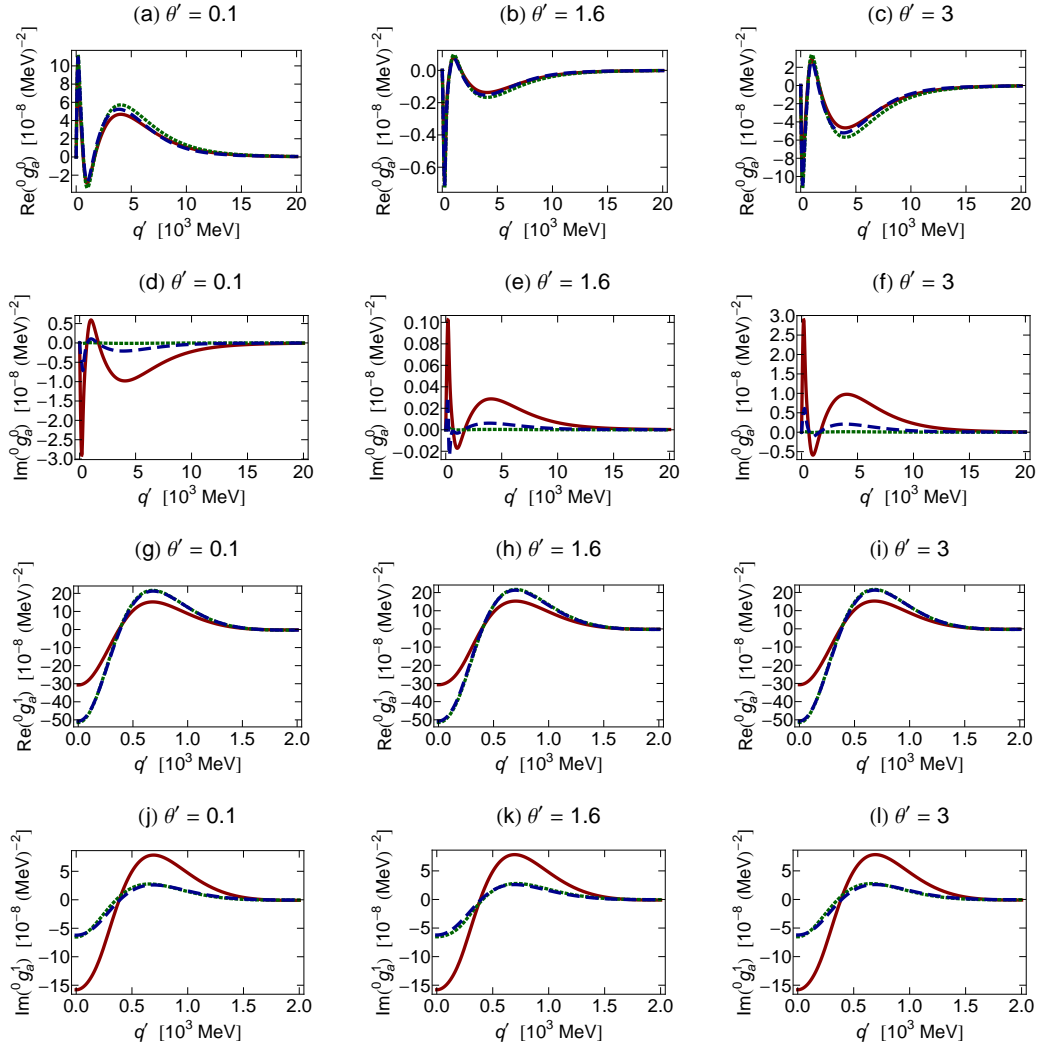


Figure 2.5: (Color online) Same as Fig. 2.2 but at $q = 216.67$ MeV.

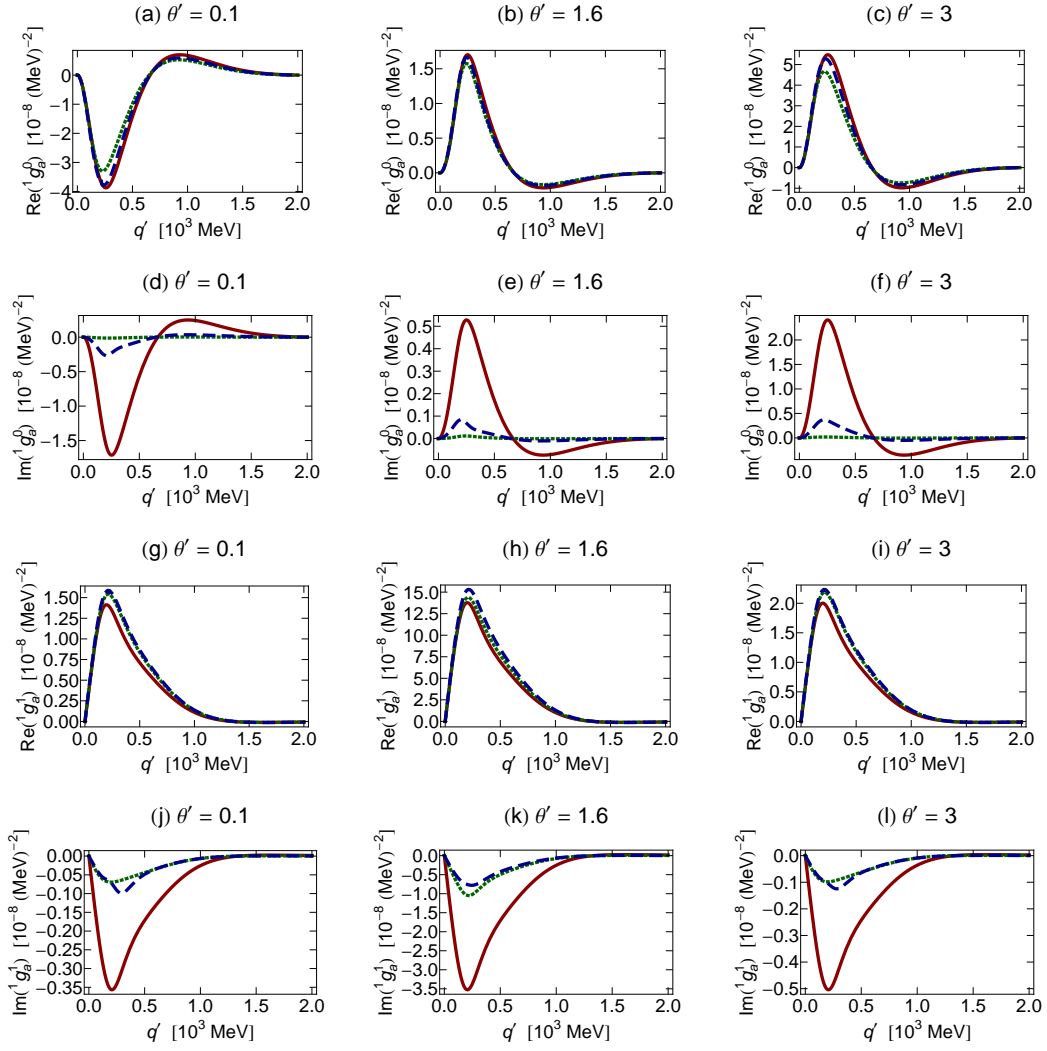


Figure 2.6: (Color online) Same as Fig. 2.2 but for ${}^1g_a^I$ and ${}^1t_a^I$ at $q = 216.67$ MeV.

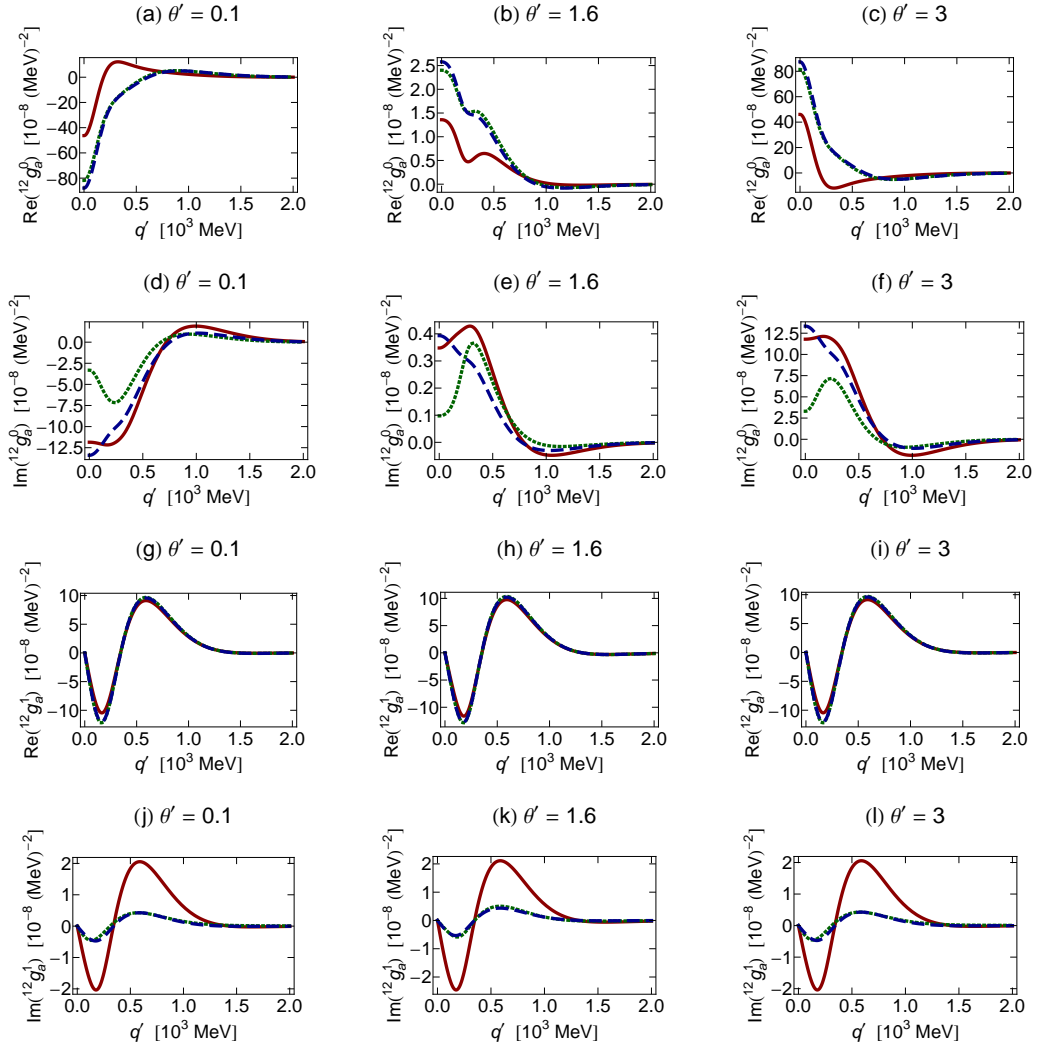


Figure 2.7: (Color online) Same as Fig. 2.2 but for ${}^{12}g_a^I$ and ${}^{12}t_a^I$ at $q = 216.67$ MeV.

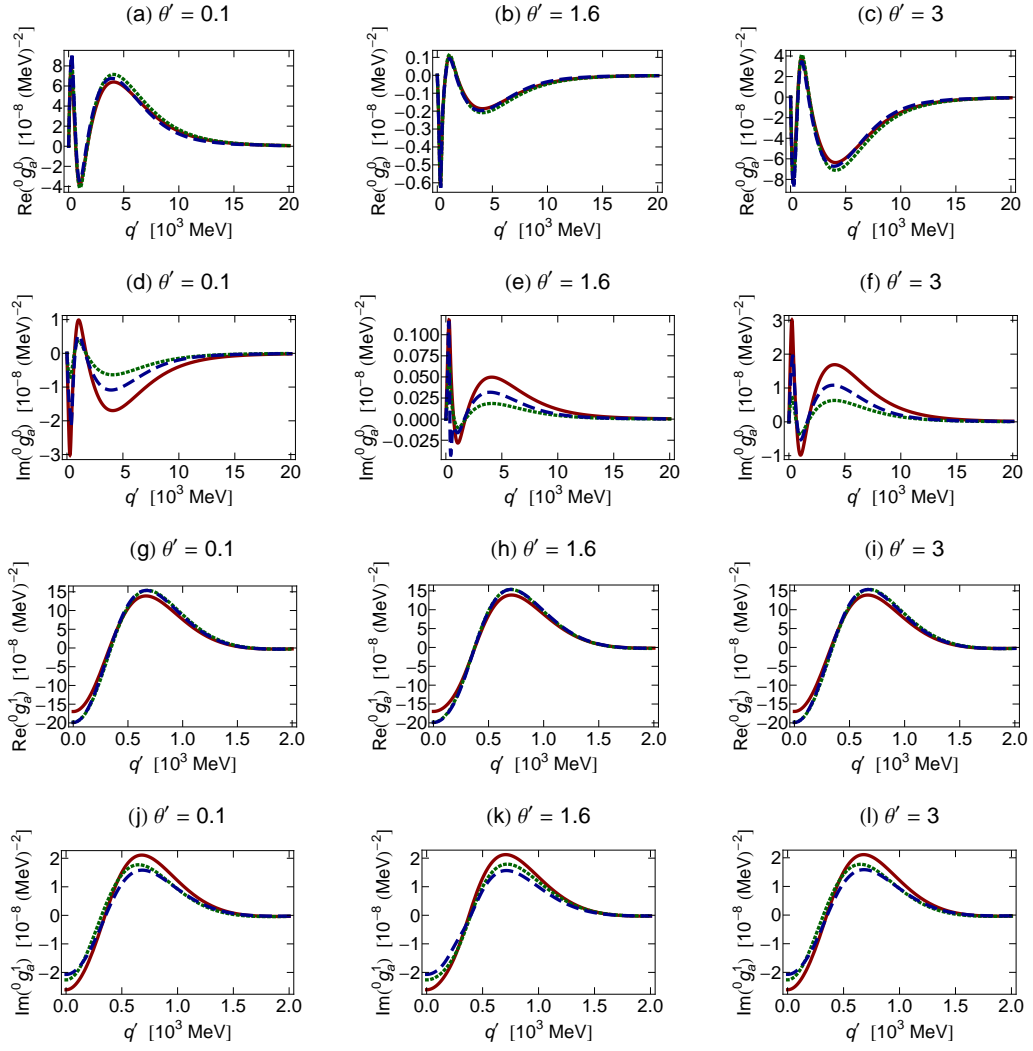


Figure 2.8: (Color online) Same as Fig. 2.2 but at $q = 306.42$ MeV.

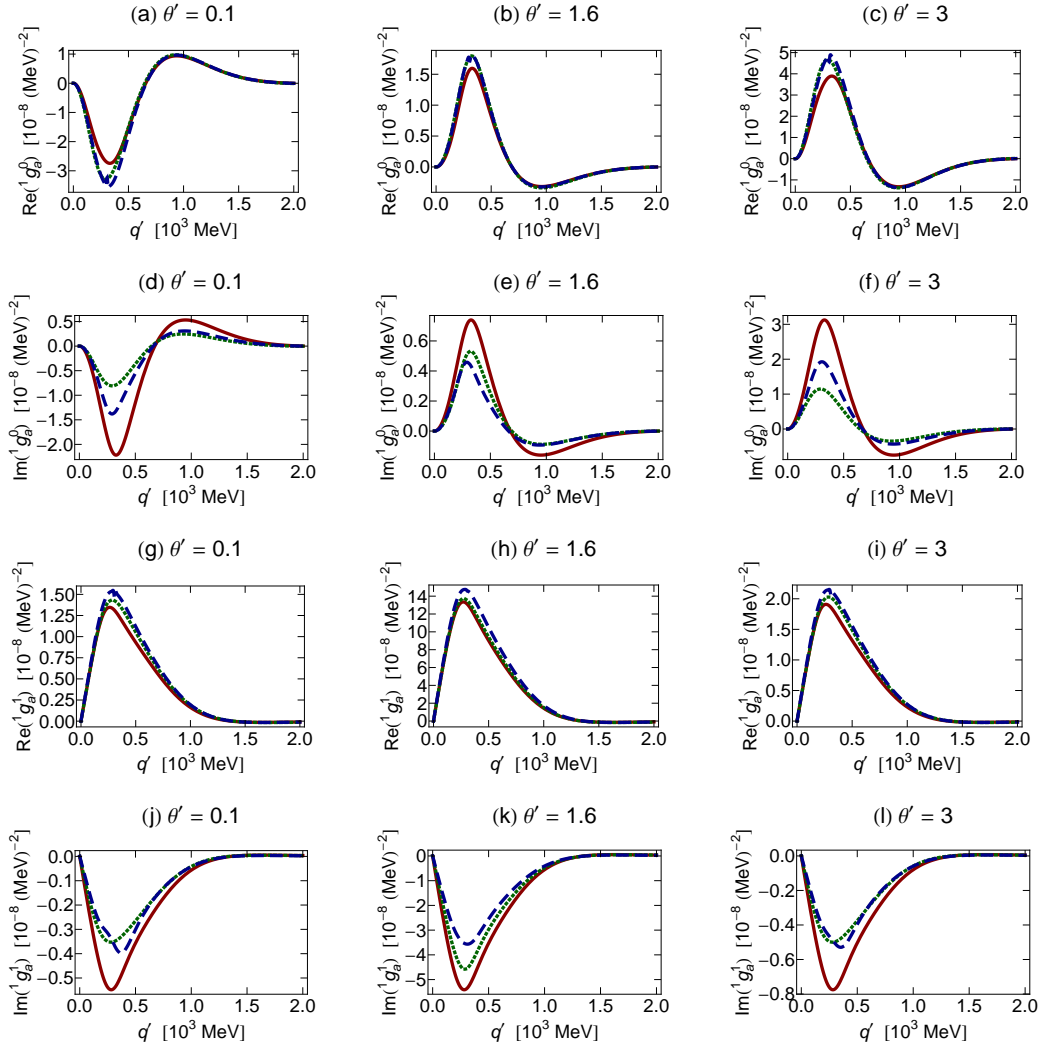


Figure 2.9: (Color online) Same as Fig. 2.2 but for $^1g_a^I$ and $^1t_a^I$ at $q = 306.42$ MeV.

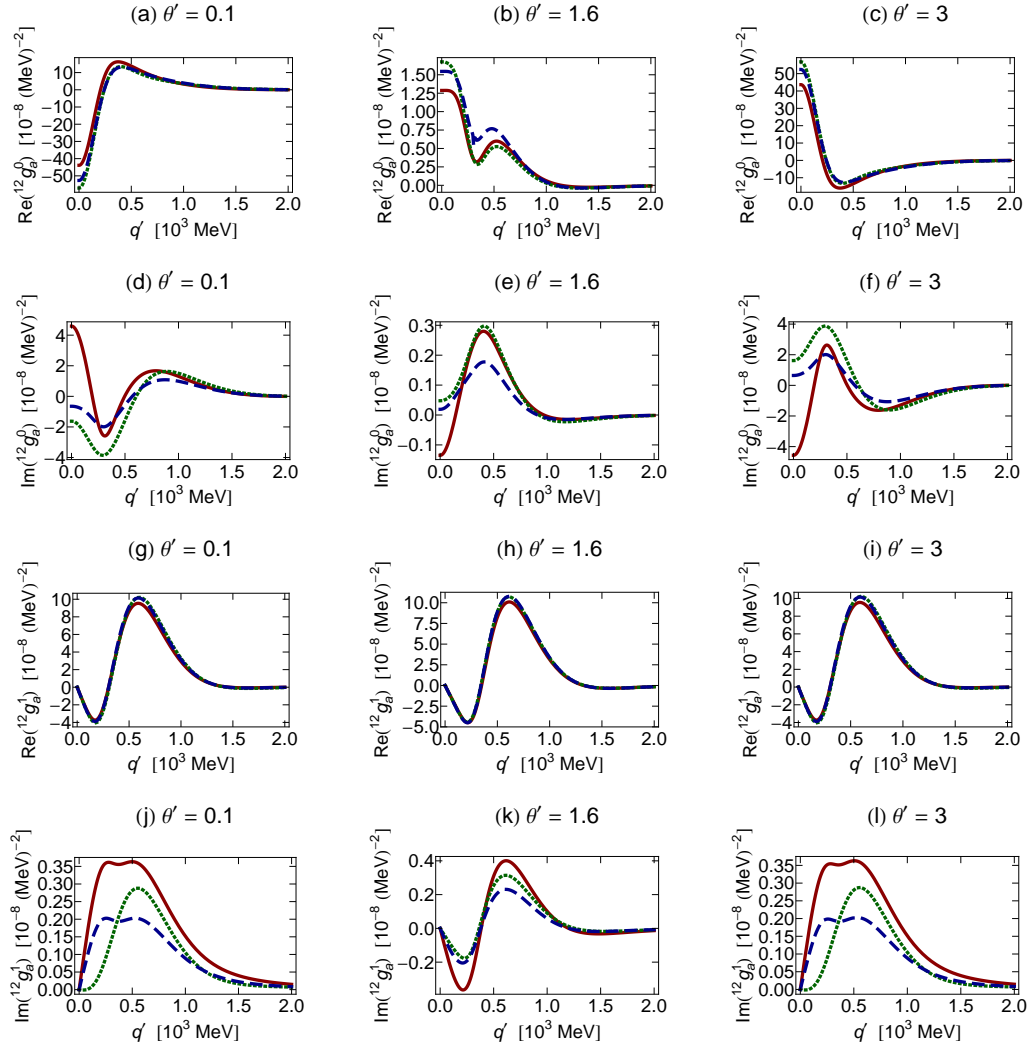


Figure 2.10: (Color online) Same as Fig. 2.2 but for $^{12}g_a^I$ and $^{12}t_a^I$ at $q = 306.42 \text{ MeV}$.

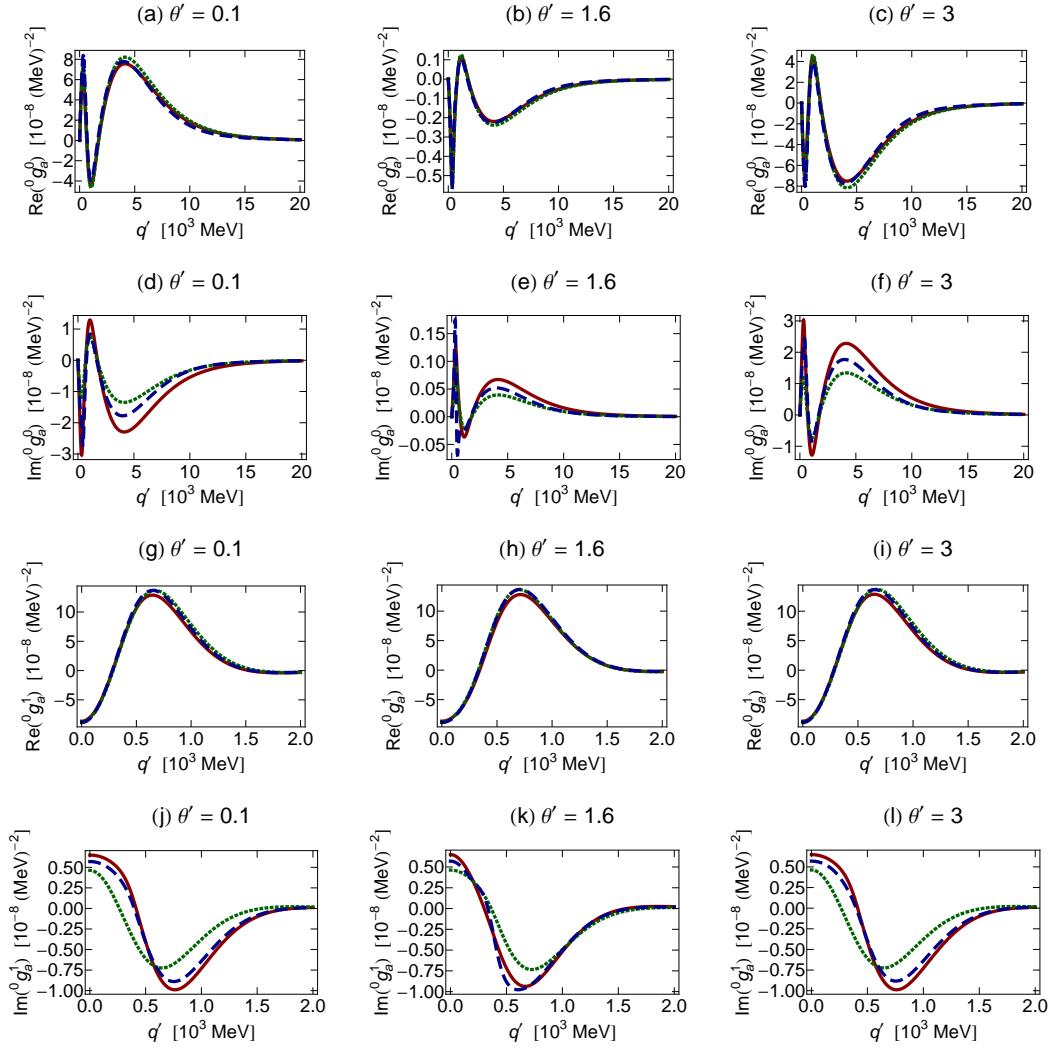


Figure 2.11: (Color online) Same as Fig. 2.2 but at $q = 375.29$ MeV.

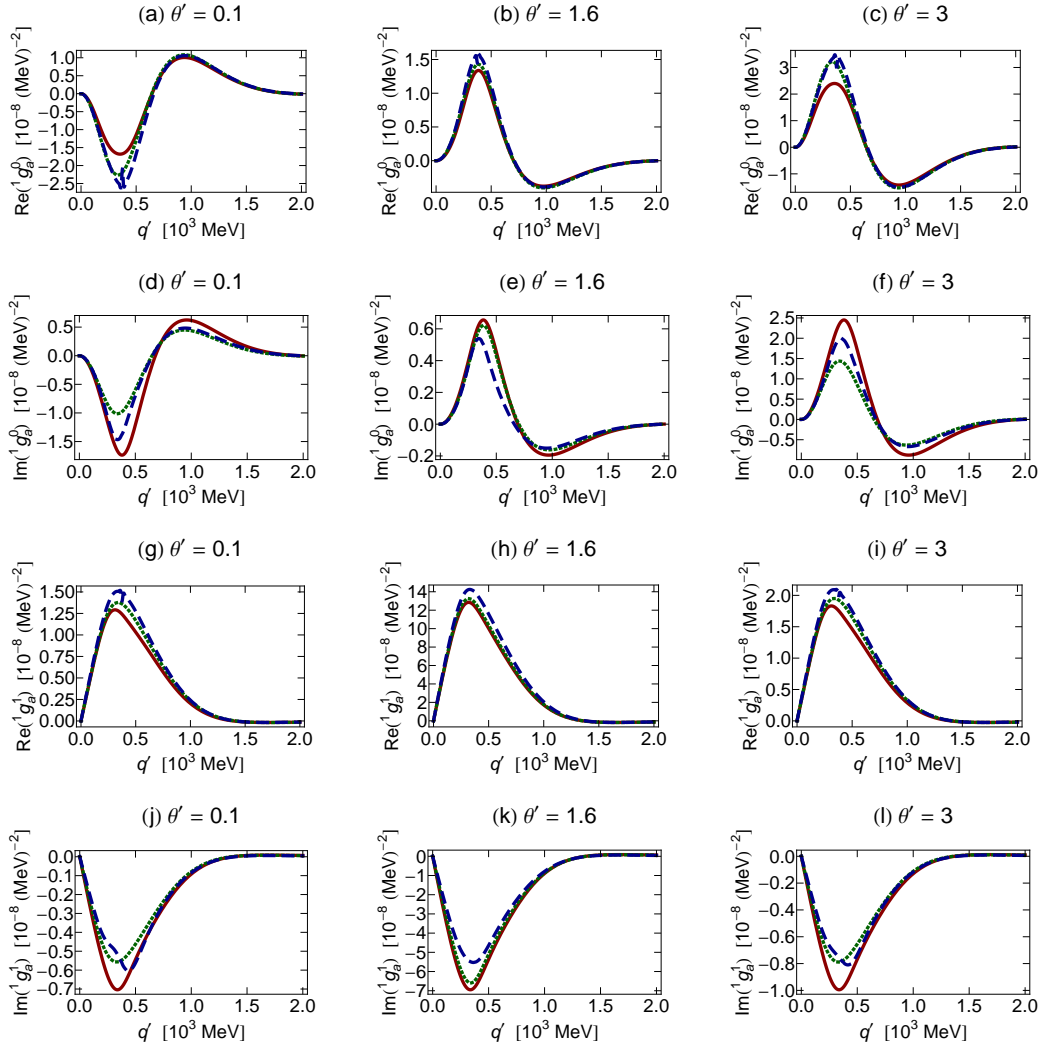


Figure 2.12: (Color online) Same as Fig. 2.2 but for ${}^1g_a^I$ and ${}^1t_a^I$ at $q = 375.29$ MeV.

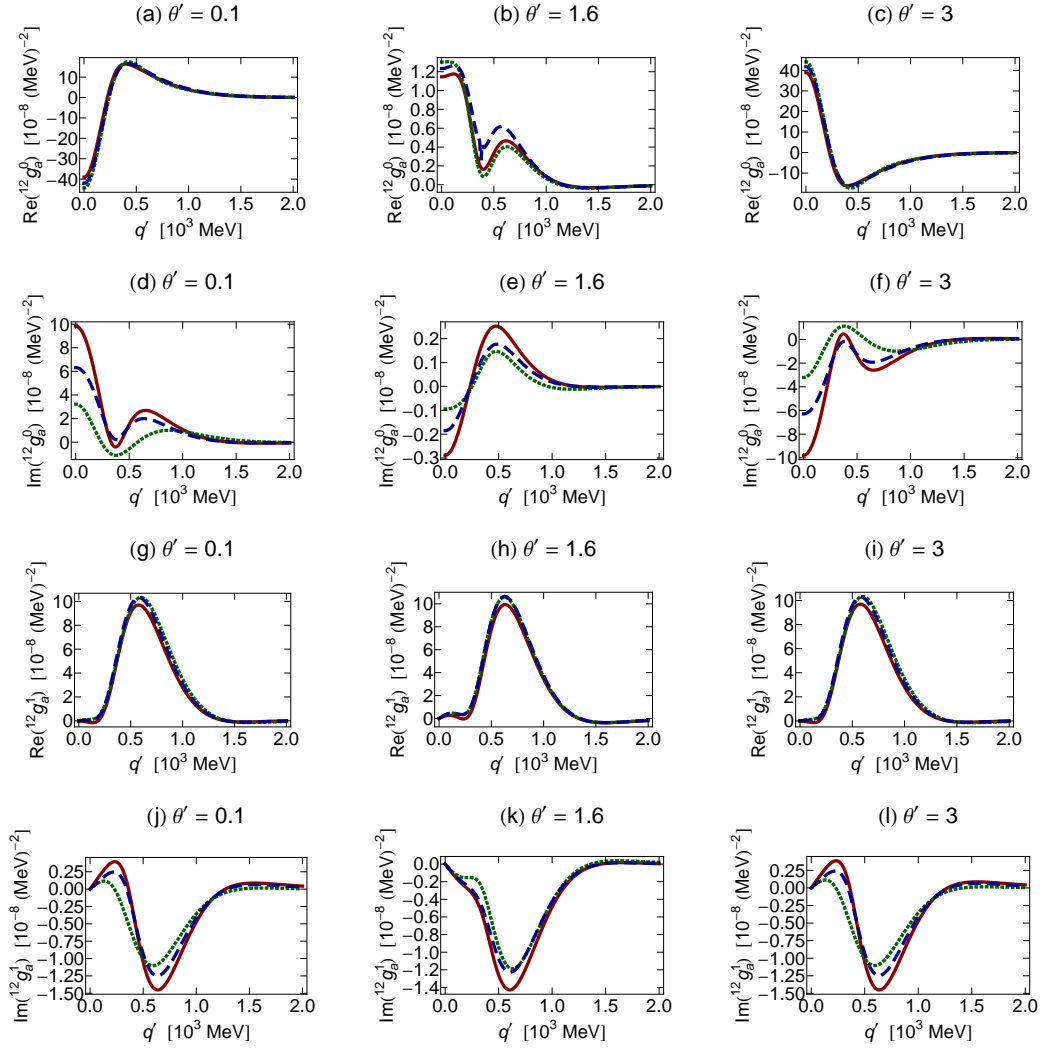


Figure 2.13: (Color online) Same as Fig. 2.2 but for ${}^{12}g_a^I$ and ${}^{12}t_a^I$ at $q = 375.29$ MeV.

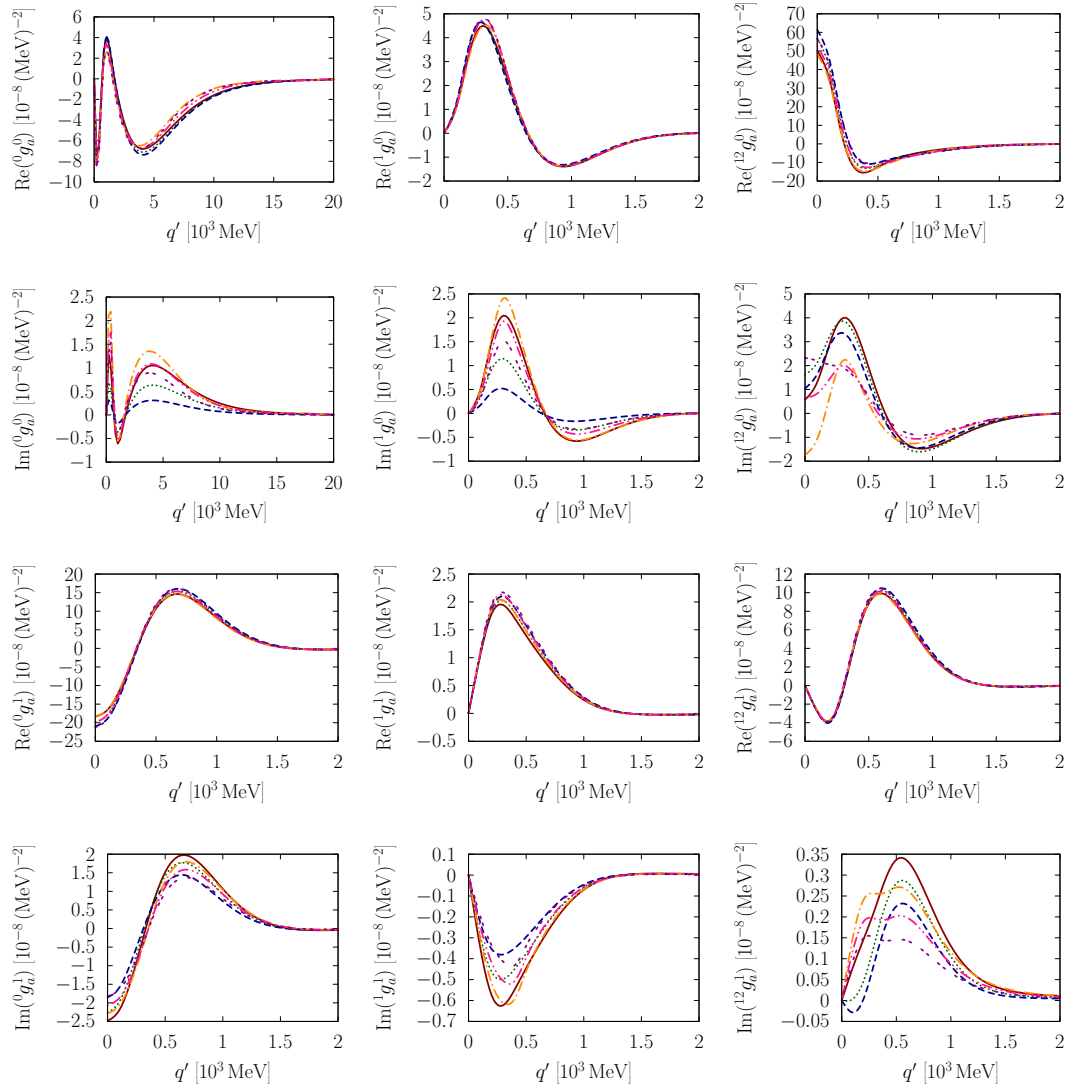


Figure 2.14: (Color online) Real and imaginary parts of $n g_a^I$ (both isospins) for $n = 0, 1, 12$ as a function of q' . We set $\theta = \arccos(0.5)$, $q = 306.42 \text{ MeV}$, and $\theta' = 3$. The solid (red), dotted (green), and dashed (blue) curves are exact Pauli operator calculations performed at $k_F = 1.1, 1.4, \text{ and } 1.6 \text{ fm}^{-1}$, respectively. The dashed-dot (orange), dashed-double-dot (pink), and double-dashed (purple) are the corresponding spherical Pauli operator calculations performed at $k_F = 1.1, 1.4, \text{ and } 1.6 \text{ fm}^{-1}$, respectively.

Chapter 3

In-Medium NN Observables with Exact Pauli Blocking

3.4 The Role of In-Medium Observables

In-medium scattering, that is, the scattering of two nucleons in the presence of nuclear matter, is not directly observable. A connection with physical scattering can be made considering, for instance, a nucleon bound in a nucleus (or, more ideally, in nuclear matter) through the nuclear mean field. If such nucleon is struck [for instance, as in a (e, e') reaction], it may subsequently scatter from another nucleon. This process would require the knowledge of the in-medium NN cross section, or *effective* cross section.

Another scenario which involve in-medium two-body cross sections is the dynamics of heavy-ion collisions. These are typically handled with so-called transport equations, such as the Boltzmann-Uehling-Uhlenbeck equation [20, 21], which describe the evolution of a system of strongly interacting hadrons drifting in the presence of a mean field while undergoing two-body collisions.

The in-medium cross sections are driven by the scattering amplitudes as well as kinematical factors, as described in Sect. 3.5.2. In a microscopic approach, they are constructed from the (medium-modified) NN amplitudes which we have calculated and shown in the previous chapter. Thus, in-medium observables depend on several variables, such as the relative momentum of the two-nucleon pair, the total momentum of the pair in the nuclear matter rest frame (needed for the Pauli operator), and, potentially, two different densities or Fermi momenta. To facilitate applications in reactions, these multiple dependences have been handled in different ways and with different levels of approximations. In the simplest approach, the assumption is made that the transition matrix in the medium is approximately the same as in vacuum, and that medium effects on the cross section come in only through the use of nucleon

effective masses in the phase space factors [22, 23, 24]. Concerning microscopic approaches, some can be found, for instance, in Refs. [25, 26, 27], but consideration of medium asymmetries are not included in those predictions.

Effective cross sections can also provide information on the nucleon mean-free path in nuclear matter. In summary, they are an important input for several processes. It is the purpose of this chapter to investigate to which extent our microscopic in-medium observables are sensitive to the exact treatment of Pauli blocking.

In the next section we will solve the Thompson and Bethe-Goldstone integral equations in a slightly different way, for the reasons pointed out on p.7, particularly in conjunction with Eqs. (2.7a-2.7b). This will facilitate the calculation of NN observables.

3.5 Formalism of Thompson and Bethe-Goldstone Equations Appropriate for NN Observables

Our starting point is Eq. (2.4) with the initial momentum taken along the z -axis (i.e. $\theta = 0$). When this occurs the resulting symmetry on the NN potential is

$$\begin{aligned} & \langle \lambda'_1 \lambda'_2 | \hat{V}^I(\mathbf{q}', q, 0, \phi) | \lambda_1 \lambda_2 \rangle \\ &= e^{i\Lambda(\phi' - \phi)} \langle \lambda'_1 \lambda'_2 | \hat{V}^I(\tilde{q}', 0, q, 0, 0) | \lambda_1 \lambda_2 \rangle \equiv e^{i\Lambda(\phi' - \phi)} \langle \lambda'_1 \lambda'_2 | v^I(\tilde{q}', q) | \lambda_1 \lambda_2 \rangle . \end{aligned} \quad (3.35)$$

This symmetry, which carries over to the T -matrix, can easily be shown by writing the T -matrix (and NN potential) in a partial wave helicity basis expansion [see Eq. (2.12)].

After setting $\theta = 0$ in Eq. (2.4) and implementing the previous observation, we multiply both sides of the equation by the operator $\frac{1}{2\pi} \int_0^{2\pi} e^{-i\Lambda(\phi' - \phi)} d\phi'$ and arrive at

the ϕ -integrated Thompson equation

$$\begin{aligned} \langle \lambda'_1 \lambda'_2 | t^I(\tilde{q}', q) | \lambda_1 \lambda_2 \rangle &= \langle \lambda'_1 \lambda'_2 | v^I(\tilde{q}', q) | \lambda_1 \lambda_2 \rangle + \sum_{\lambda''_1, \lambda''_2 = \pm} \pi \int_0^\infty \int_0^\pi \\ &\times \frac{\langle \lambda'_1 \lambda'_2 | v^{\Lambda I}(\tilde{q}', \tilde{q}'') | \lambda''_1 \lambda''_2 \rangle \langle \lambda''_1 \lambda''_2 | t^I(\tilde{q}'', q) | \lambda_1 \lambda_2 \rangle}{E_q - E_{q''} + i\epsilon} q''^2 \sin \theta'' d\theta'' dq'' , \end{aligned} \quad (3.36)$$

with the *real* ϕ -integrated potential equal to

$$\langle \lambda'_1 \lambda'_2 | v^{\Lambda I}(\tilde{q}', \tilde{q}'') | \lambda''_1 \lambda''_2 \rangle = \frac{1}{2\pi} \int_0^{2\pi} e^{i\Lambda(\phi'' - \phi')} \langle \lambda'_1 \lambda'_2 | \hat{V}^I(\mathbf{q}', \mathbf{q}'') | \lambda''_1 \lambda''_2 \rangle |_{\phi=0} d\phi'' . \quad (3.37)$$

Note that the ϕ -integrated potential now depends on double, single, and non primed helicities. Furthermore, Eq. (3.37) is consistent with the ϕ -average procedure in Ref. [6] as opposed to Ref. [5]; which was used in the previous chapter.

In an analogous way we obtain the ϕ -integrated Bethe-Goldstone equation

$$\begin{aligned} \langle \lambda'_1 \lambda'_2 | g^I(\tilde{q}', q) | \lambda_1 \lambda_2 \rangle &= \langle \lambda'_1 \lambda'_2 | v^I(\tilde{q}', q) | \lambda_1 \lambda_2 \rangle + \sum_{\lambda''_1, \lambda''_2 = \pm} \pi \int_0^\infty \int_0^\pi \\ &\times \frac{\langle \lambda'_1 \lambda'_2 | v^{\Lambda I}(\tilde{q}', \tilde{q}'') | \lambda''_1 \lambda''_2 \rangle Q(\tilde{q}'', P, k_F) \langle \lambda''_1 \lambda''_2 | g^I(\tilde{q}'', q) | \lambda_1 \lambda_2 \rangle}{E_q^* - E_{q''}^* + i\epsilon} q''^2 \sin \theta'' d\theta'' dq'' . \end{aligned} \quad (3.38)$$

3.5.1 Partially Decoupling the System of Integral Equations

Decoupling Eqs. (3.36) and (3.38) is slightly different than the previous chapter. The symmetries necessary to decouple the equations can be found in one of two ways. The first involves writing the ϕ -integrated potential in terms of a partial wave helicity basis expansion [see Eq. (2.12)]. The resulting symmetries can then be deduced based upon the known symmetries of the partial wave amplitudes. The second method is simply to use numerical observation. Whatever method you use, you end up with the following conclusions: 1) When $\Lambda = 0$ the ϕ -integrated symmetries are the same as the corresponding ones for the t -matrix [see Eq. (2.9)]. 2) When $\Lambda = \pm 1$ the following

holds

$$\begin{aligned}
v_1^{1I} &\equiv \langle ++ | v^{1I} | ++ \rangle = \langle ++ | v^{-1I} | ++ \rangle = \langle -- | v^{1I} | -- \rangle = \langle -- | v^{-1I} | -- \rangle , \\
v_2^{1I} &\equiv \langle ++ | v^{1I} | -- \rangle = \langle ++ | v^{-1I} | -- \rangle = \langle -- | v^{1I} | ++ \rangle = \langle -- | v^{-1I} | ++ \rangle , \\
v_3^{1I} &\equiv \langle +- | v^{1I} | +- \rangle = \langle -+ | v^{-1I} | -+ \rangle , \\
v_3^{-1I} &\equiv \langle +- | v^{-1I} | +- \rangle = \langle -+ | v^{1I} | -+ \rangle , \\
v_4^{1I} &\equiv \langle +- | v^{1I} | -+ \rangle = \langle -+ | v^{-1I} | -+ \rangle , \\
v_4^{-1I} &\equiv \langle +- | v^{-1I} | -+ \rangle = \langle -+ | v^{1I} | -+ \rangle , \\
v_5^{1I} &\equiv \langle ++ | v^{1I} | +- \rangle = -\langle ++ | v^{-1I} | -+ \rangle = \langle -- | v^{1I} | +- \rangle = -\langle -- | v^{-1I} | -+ \rangle , \\
v_5^{-1I} &\equiv \langle ++ | v^{-1I} | +- \rangle = -\langle ++ | v^{1I} | -+ \rangle = -\langle -- | v^{1I} | +- \rangle = \langle -- | v^{-1I} | +- \rangle , \\
v_6^{1I} &\equiv \langle +- | v^{1I} | ++ \rangle = -\langle -+ | v^{-1I} | ++ \rangle = \langle +- | v^{1I} | -- \rangle = -\langle -+ | v^{-1I} | -- \rangle , \\
v_6^{-1I} &\equiv \langle +- | v^{-1I} | ++ \rangle = -\langle -+ | v^{1I} | ++ \rangle = -\langle -+ | v^{1I} | -- \rangle = \langle +- | v^{-1I} | -- \rangle .
\end{aligned} \tag{3.39}$$

If we utilize Eqs. (2.10)-(2.11),(3.36), and (3.39) we obtain the following six partially coupled integral equations:

The spin singlet amplitude ${}^0t^I$ is uncoupled

$${}^0t^I(\tilde{q}', q) = {}^0v^I(\tilde{q}', q) + \pi \int_0^\infty \int_0^\pi \frac{{}^0v^{0I}(\tilde{q}', \tilde{q}'') {}^0t^I(\tilde{q}'', q)}{E_q - E_q'' + i\epsilon} q''^2 \sin \theta'' d\theta'' dq'' . \tag{3.40}$$

The spin triplet amplitudes $^{12}t^I$ and t_6^I form a bi-coupled system

$$^{12}t^I(\tilde{q}', q) = ^{12}v^I(\tilde{q}', q) + \pi \int_0^\infty \int_0^\pi \frac{^{12}v^{0I}(\tilde{q}', \tilde{q}'')^{12}t^I(\tilde{q}'', q) + 4v_5^{0I}(\tilde{q}', \tilde{q}'')t_6^I(\tilde{q}'', q)}{E_q - E_q'' + i\epsilon} q''^2 \sin \theta'' d\theta'' dq'' , \quad (3.41)$$

$$t_6^I(\tilde{q}', q) = v_6^I(\tilde{q}', q) + \pi \int_0^\infty \int_0^\pi \frac{v_6^{0I}(\tilde{q}', \tilde{q}'')^{12}t^I(\tilde{q}'', q) + [v_3^{0I}(\tilde{q}', \tilde{q}'') - v_4^{0I}(\tilde{q}', \tilde{q}'')]t_6^I(\tilde{q}'', q)}{E_q - E_q'' + i\epsilon} q''^2 \sin \theta'' d\theta'' dq'' . \quad (3.42)$$

Finally, the t_3^I , t_4^I , and t_5^I amplitudes form a tri-coupled system

$$t_3^I(\tilde{q}', q) = v_3^I(\tilde{q}', q) + \pi \int_0^\infty \int_0^\pi \frac{v_3^{1I}(\tilde{q}', \tilde{q}'')t_3^I(\tilde{q}'', q) + v_4^{1I}(\tilde{q}', \tilde{q}'')t_4^I(\tilde{q}'', q) + 2v_6^{1I}(\tilde{q}', \tilde{q}'')t_5^I(\tilde{q}'', q)}{E_q - E_q'' + i\epsilon} q''^2 \sin \theta'' d\theta'' dq'' , \quad (3.43)$$

$$t_4^I(\tilde{q}', q) = v_4^I(\tilde{q}', q) + \pi \int_0^\infty \int_0^\pi \frac{v_4^{-1I}(\tilde{q}', \tilde{q}'')t_3^I(\tilde{q}'', q) + v_3^{-1I}(\tilde{q}', \tilde{q}'')t_4^I(\tilde{q}'', q) - 2v_6^{-1I}(\tilde{q}', \tilde{q}'')t_5^I(\tilde{q}'', q)}{E_q - E_q'' + i\epsilon} q''^2 \sin \theta'' d\theta'' dq'' , \quad (3.44)$$

$$t_5^I(\tilde{q}', q) = v_5^I(\tilde{q}', q) + \pi \int_0^\infty \int_0^\pi \frac{v_5^{1I}(\tilde{q}', \tilde{q}'')t_3^I(\tilde{q}'', q) - v_5^{-1I}(\tilde{q}', \tilde{q}'')t_4^I(\tilde{q}'', q) + ^{12}v^{1I}(\tilde{q}', \tilde{q}'')t_5^I(\tilde{q}'', q)}{E_q - E_q'' + i\epsilon} q''^2 \sin \theta'' d\theta'' dq'' . \quad (3.45)$$

These are the alternative forms of Eqs. (4.76) and can be solved in an analogous way.

3.5.2 Construction of Physical States and NN Observables

Our goal here is to construct the alternatives to Eqs. (2.18)-(2.23). These will then be used to construct NN observables. Our starting point is the transformation from partial waves into the (angle-dependent) t -matrix obtained by evaluating Eq.(2.12)

at $\phi' = \theta = \phi = 0$

$$\langle \lambda'_1 \lambda'_2 | t^J(\tilde{q}', q) | \lambda_1 \lambda_2 \rangle = \sum_J \frac{2J+1}{4\pi} d_{\Lambda\Lambda'}^J(\theta') \langle \lambda'_1 \lambda'_2 | \hat{T}^{IJ}(q', q) | \lambda_1 \lambda_2 \rangle . \quad (3.46)$$

The antisymmetrized amplitudes or physical states are obtained using Eq. (3.46) and the identities $(-1)^J d_{00}^J(\theta') = d_{00}^J(\pi - \theta')$, $(-1)^{J+1} d_{01}^J(\theta') = d_{01}^J(\pi - \theta')$, $(-1)^J d_{11}^J(\theta') = -d_{-11}^J(\pi - \theta')$, and $(-1)^J d_{-11}^J(\theta') = -d_{11}^J(\pi - \theta')$

$${}^0 t_a^{\frac{1}{2}}(\tilde{q}', q) \equiv \frac{1}{2} [{}^0 t^{\frac{1}{2}}(\tilde{q}', q) \pm {}^0 t^{\frac{1}{2}}(-\tilde{q}', q)] = \sum_{J=\substack{\text{even} \\ \text{odd}}} \frac{2J+1}{4\pi} d_{00}^J(\theta') {}^0 \mathcal{T}^{J0}(q', q) , \quad (3.47)$$

$${}^{12} t_a^{\frac{1}{2}}(\tilde{q}', q) \equiv \frac{1}{2} [{}^{12} t^{\frac{1}{2}}(\tilde{q}', q) \pm {}^{12} t^{\frac{1}{2}}(-\tilde{q}', q)] = \sum_{J=\substack{\text{even} \\ \text{odd}}} \frac{2J+1}{4\pi} d_{00}^J(\theta') {}^{12} \mathcal{T}^{J0}(q', q) , \quad (3.48)$$

with,

$$t_{a,1}^{\frac{1}{2}}(\tilde{q}', q) = \frac{1}{2} [{}^{12} t_a^{\frac{1}{2}}(\tilde{q}', q) + {}^0 t_a^{\frac{1}{2}}(\tilde{q}', q)] \quad \text{and} \quad t_{a,2}^{\frac{1}{2}}(\tilde{q}', q) = \frac{1}{2} [{}^{12} t_a^{\frac{1}{2}}(\tilde{q}', q) - {}^0 t_a^{\frac{1}{2}}(\tilde{q}', q)] , \quad (3.49)$$

$$t_{a,6}^{\frac{1}{2}}(\tilde{q}', q) \equiv \frac{1}{2} [t_6^{\frac{1}{2}}(\tilde{q}', q) \mp t_6^{\frac{1}{2}}(-\tilde{q}', q)] = \frac{1}{2} \sum_{J=\substack{\text{even} \\ \text{odd}}} \frac{2J+1}{4\pi} d_{01}^J(\theta') {}^{66} \mathcal{T}^{J0}(q', q) , \quad (3.50)$$

$$\begin{aligned} t_{a,3}^{\frac{1}{2}}(\tilde{q}', q) \equiv \frac{1}{2} [t_3^{\frac{1}{2}}(\tilde{q}', q) \mp t_4^{\frac{1}{2}}(-\tilde{q}', q)] &= \frac{1}{2} \left[\sum_{J=\substack{\text{even} \\ \text{odd}}} \frac{2J+1}{4\pi} d_{11}^J(\theta') {}^{34} \mathcal{T}^{J0}(q', q) \right. \\ &\left. + \sum_{J=\substack{\text{odd} \\ \text{even}}} \frac{2J+1}{4\pi} d_{11}^J(\theta') {}^1 \mathcal{T}^{J0}(q', q) \right] , \end{aligned} \quad (3.51)$$

$$\begin{aligned}
t_{a,4}^{\frac{1}{2}}(\tilde{q}', q) &\equiv \frac{1}{2}[t_4^{\frac{1}{2}}(\tilde{q}', q) \mp t_3^{\frac{1}{2}}(-\tilde{q}', q)] = \frac{1}{2} \left[\sum_{J=\substack{\text{even} \\ \text{odd}}} \frac{2J+1}{4\pi} d_{-11}^J(\theta')^{34} \mathcal{T}^{J0}(q', q) \right. \\
&\quad \left. - \sum_{J=\substack{\text{odd} \\ \text{even}}} \frac{2J+1}{4\pi} d_{-11}^J(\theta')^1 \mathcal{T}^{J0}(q', q) \right], \tag{3.52}
\end{aligned}$$

$$t_{a,5}^{\frac{1}{2}}(\tilde{q}', q) \equiv \frac{1}{2}[t_5^{\frac{1}{2}}(\tilde{q}', q) \mp t_5^{\frac{1}{2}}(-\tilde{q}', q)] = \frac{1}{2} \sum_{J=\substack{\text{even} \\ \text{odd}}} \frac{2J+1}{4\pi} d_{10}^J(\theta')^{55} \mathcal{T}^{J0}(q', q). \tag{3.53}$$

We are now in a position to calculate NN observables as functions of the scattering angle relative to the z -axis. We will calculate

1. The elastic differential cross section, which refers to scattering of an unpolarized beam on an unpolarized target, $\frac{d\sigma}{d\Omega}$.
2. A representative spin observable, for which we choose the depolarization D (also denoted as D_{nn}). This refers to an experiment where beam and target are polarized in the direction normal to the scattering plane.

The connection of our amplitudes to np and pp observables is

$$\varphi_n(q, \theta_{cm}) = (2\pi)^2 \frac{E_1 E_2}{E_1 + E_2} t_n(q, \theta_{cm}, q) \text{ for } n = 1, 2, 3, 4, 5, \text{ where } E_{1(2)} = \sqrt{m_{1(2)}^2 + q^2}, \tag{3.54}$$

and,

$$t_n(q', \theta', q) = \begin{cases} t_{a,n}^0(q', \theta', q) + t_{a,n}^1(q', \theta', q) & np \text{ observables} \\ 2t_{a,n}^1(q', \theta', q) & pp \text{ observables.} \end{cases} \tag{3.55}$$

This leads to (same as Table VII of Ref. [28])

$$4a = \varphi_1 - \varphi_2 + \varphi_3 + \varphi_4 + (\varphi_1 + \varphi_2 + \varphi_3 - \varphi_4) \cos \theta_{cm} - 4\varphi_5 \sin \theta_{cm} , \quad (3.56)$$

$$4ic = (\varphi_1 + \varphi_2 + \varphi_3 - \varphi_4) \sin \theta_{cm} + 4\varphi_5 \cos \theta_{cm} , \quad (3.57)$$

$$4m = -\varphi_1 + \varphi_2 - \varphi_3 - \varphi_4 + (\varphi_1 + \varphi_2 + \varphi_3 - \varphi_4) \cos \theta_{cm} - 4\varphi_5 \sin \theta_{cm} , \quad (3.58)$$

$$4g = -\varphi_1 + \varphi_2 + \varphi_3 + \varphi_4 , \quad (3.59)$$

$$4h = -\varphi_1 - \varphi_2 + \varphi_3 - \varphi_4 , \quad (3.60)$$

and implies the following observables (same as Table I of Ref. [28])

$$\frac{d\sigma}{d\Omega} = |a|^2 + |m|^2 + 2(|c|^2 + |g|^2 + |h|^2) , \quad (3.61)$$

$$\frac{d\sigma}{d\Omega}(1 - D) = 4(|g|^2 + |h|^2) . \quad (3.62)$$

In passing, we would like to mention that we use natural units such that $\hbar = c = 1$ throughout this dissertation. *At the end*, in order to make the differential cross section come out in mb , a factor of $10(\hbar c)^2$ must be applied.

3.5.3 Results and Discussion

As already described, the scattering amplitudes obtained from the solution of the integral equations are the input for calculating NN scattering observables. In this section, we will present and discuss a selection of in-medium np and pp observables obtained with the exact Pauli operator and compare with previous predictions which utilize the angle-averaged expression.

In addition to the elastic differential cross section, we will also consider a spin observable, to explore whether the sensitivities we are investigating are more or less pronounced in the spin dependence of the interaction. We have chosen the depolarization parameter, D , which refers to an experiment where the spin polarization normal

to the scattering plane is observed for the beam and the scattered particle. We recall, once again, that in-medium NN observables are not directly measurable, but they can be indirectly tested through applications in nuclear reactions, see discussion in Sect. 3.4.

In Figs. 3.15-3.17, we show np observables at values of the on-shell c.m. momentum corresponding to a free-space incident energy of 50, 100, and 200 MeV, respectively. In each figure, the frames labeled as (a) and (b) display the elastic differential cross section, whereas those labeled as (c) and (d) show the depolarization parameter.

In all frames, the solid red curve shows the free-space predictions. For the frames on the left-hand side: the dashed blue curve is obtained with the exact Pauli operator, assuming scattering in symmetric nuclear matter with a Fermi momentum of 1.4 fm^{-1} [namely, we are solving Eq. (3.38) with the Pauli operator as in Eq. (2.30)]; the dotted green curve is the corresponding result with the angle-averaged calculation. For the frames on the right-hand side, we are considering scattering in the presence of two different Fermi momenta [see Eq. (2.34)]. The dashed blue curve and the dotted green one are, again, predictions with the three-dimensional formalism and the angle-averaged approach, respectively.

In all cases, medium effects on the energies are taken into account through the use of nucleon effective masses, which we take from previous calculations [19]. Specifically, the nucleon effective mass in nuclear matter with density corresponding to $k_F = 1.4 \text{ fm}^{-1}$ is taken to be 612.8 MeV, whereas for $k_F = 1.1 \text{ fm}^{-1}$ the value is found to be 718.3 MeV.

First, we observe that the density dependence is very large. The differential cross section is strongly reduced and flattened by medium effects. Also, structures in the spin observable are heavily suppressed.

Differences between the dashed curve and the dotted one are noticeable, but much

smaller than those between the free-space predictions and either one of the medium-modified calculations. Interestingly, those differences are larger for the case of the asymmetric Pauli operator, particularly so in the spin-dependent observable.

We note that the free-space np cross section is rather anisotropic, and becomes more so, as energy increases, due to interferences from more partial waves. In the presence of medium effects, the cross section becomes much more isotropic. Also, medium effects are smaller at the higher energies, as is physically reasonable.

In Figs. 3.18-3.20, we provide a similar presentation as the one in Figs. 3.15-3.17, but for pp scattering. As far as general features are concerned, similar considerations apply. Namely, there is strong density dependence, and moderate sensitivity to the use of the exact Pauli operator. Again, such sensitivity is more pronounced for the cases on the left-hand side.

We note in passing that the free-space pp differential cross section is less anisotropic than the np one, due to the smaller number of partial waves that contribute to it ($I = 1$ states only), and is symmetric with respect to the $\theta \rightarrow \pi - \theta$ transformation. In the medium, it's strongly reduced, and, at the higher energies, shows a change in curvature. With regard to sensitivity to the removal of the spherical approximation, it's slightly more pronounced in the np case, particularly in the spin-dependent observable. This indicates enhanced sensitivity in the $I = 0$ channel, which is absent in the pp interaction.

Overall, we can conclude that small effects are to be expected in potential applications involving in-medium NN cross sections from the use of the exact Pauli operator. Highly asymmetric situations could be an exception. Furthermore, the observations made at the end of Chapter 2 concerning off-shell coupled states leaves open the possibility that applications involving the off-shell nature of the G -matrix might be more sensitive to the removal of the spherical approximation.

Finally, as we mentioned earlier, Pauli blocking is one of the most important mech-

anisotropies governing the scattering of fermions in the many-body system. Regardless the magnitude of the effects we set forth to explore, the solution of the Bethe-Goldstone equation we have presented in this dissertation is a first in its kind and allows to better quantify the impact of the historically very popular spherical approximation.

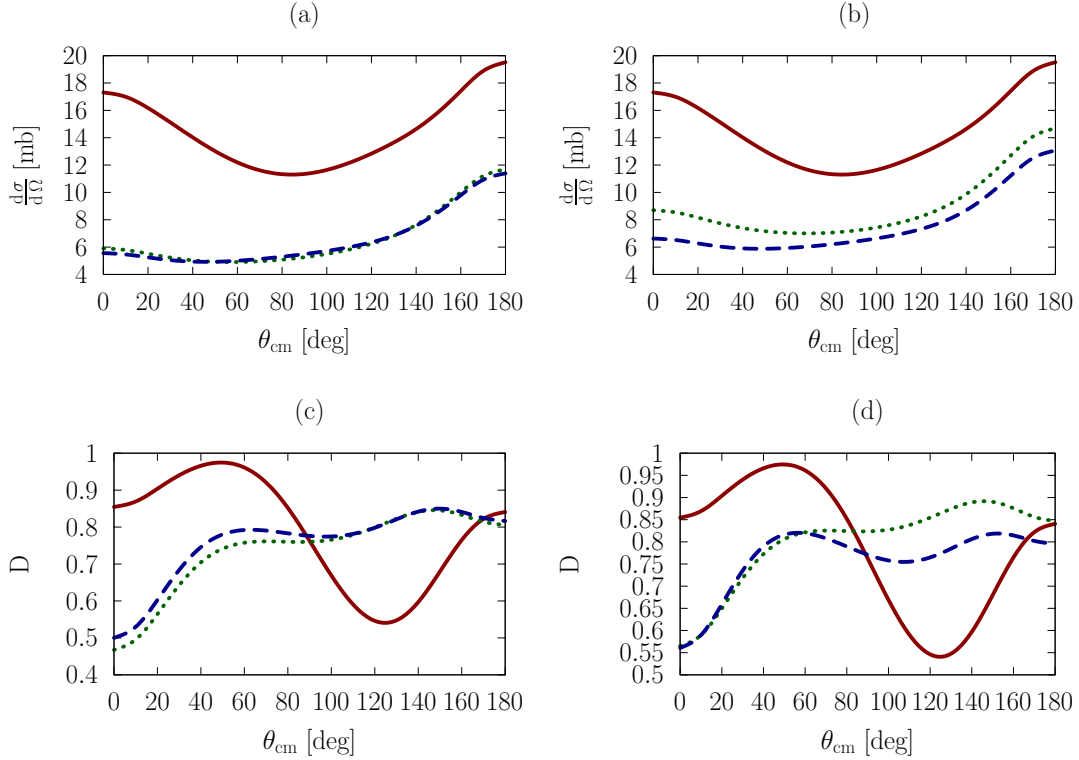


Figure 3.15: (Color online) [Figs. (a) and (b)] np elastic differential cross section and [Figs. (c) and (d)] depolarization at a laboratory energy of 50 MeV *vs.* the c.m. scattering angle. The solid red curve shows the free-space prediction. The angle-averaged calculation is given by the dotted green curve whereas the dashed blue curve shows the prediction obtained with the exact Pauli operator in symmetric [left side Figs. (a) and (c)] and asymmetric [right side Figs. (b) and (d)] matter at a density equal to $k_{F_1} = k_{F_2} = 1.4 \text{ fm}^{-1}$ and $k_{F_1} = 1.1 \text{ fm}^{-1}$, $k_{F_2} = 1.4 \text{ fm}^{-1}$ respectively.

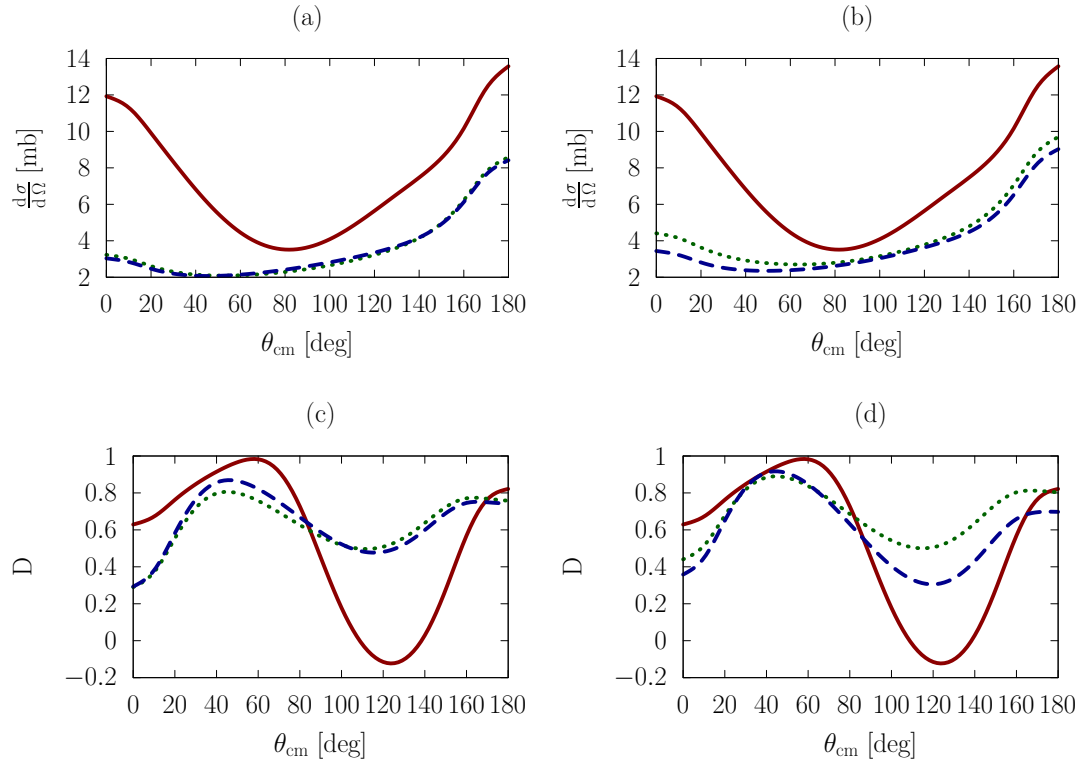


Figure 3.16: (Color online) Same as Fig. 3.15 but at 100 MeV.

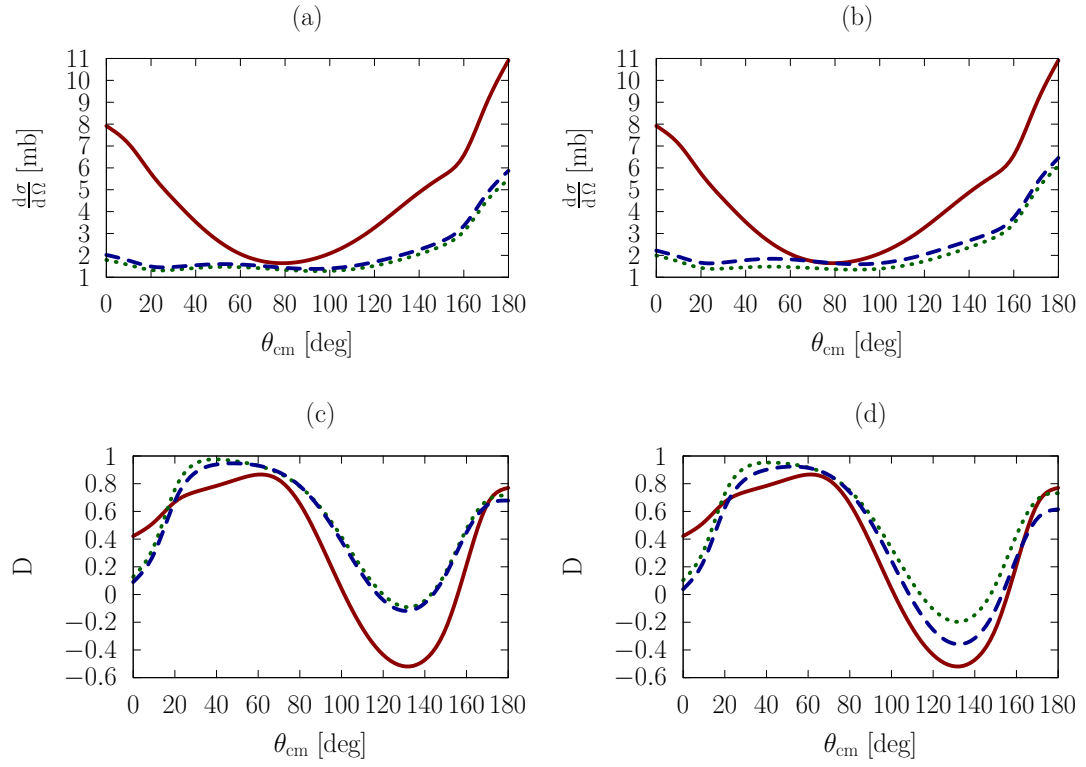


Figure 3.17: (Color online) Same as Fig. 3.15 but at 200 MeV.

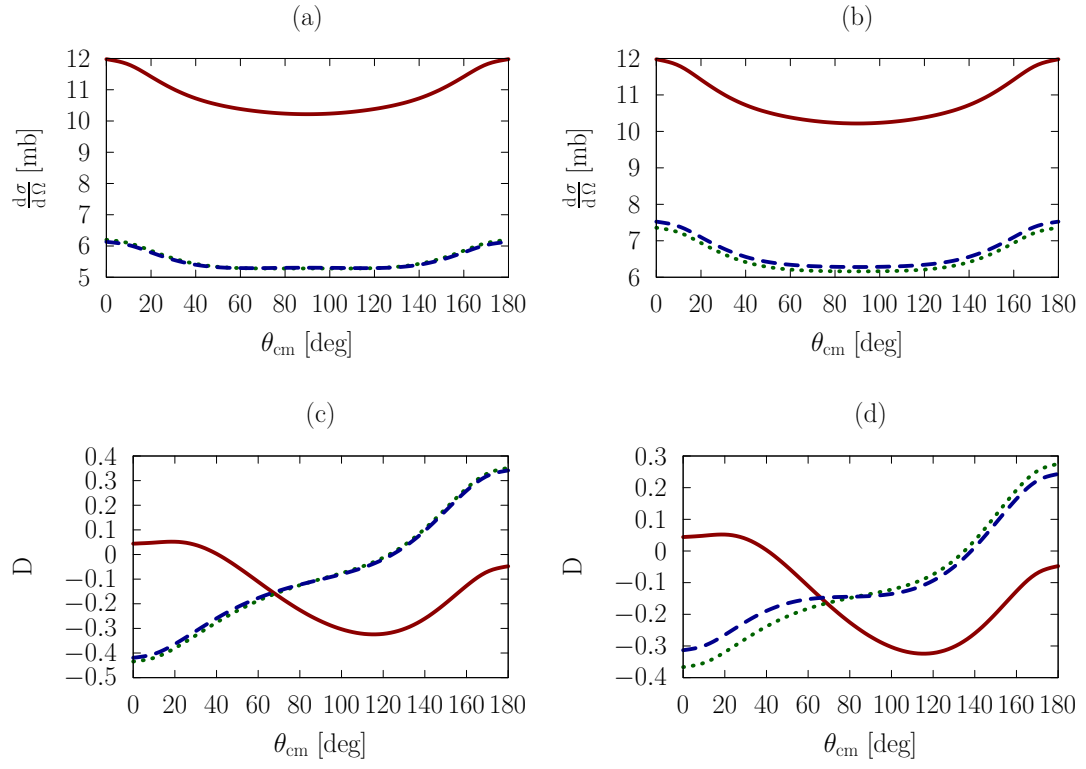


Figure 3.18: (Color online) Same as Fig. 3.15 but for pp .

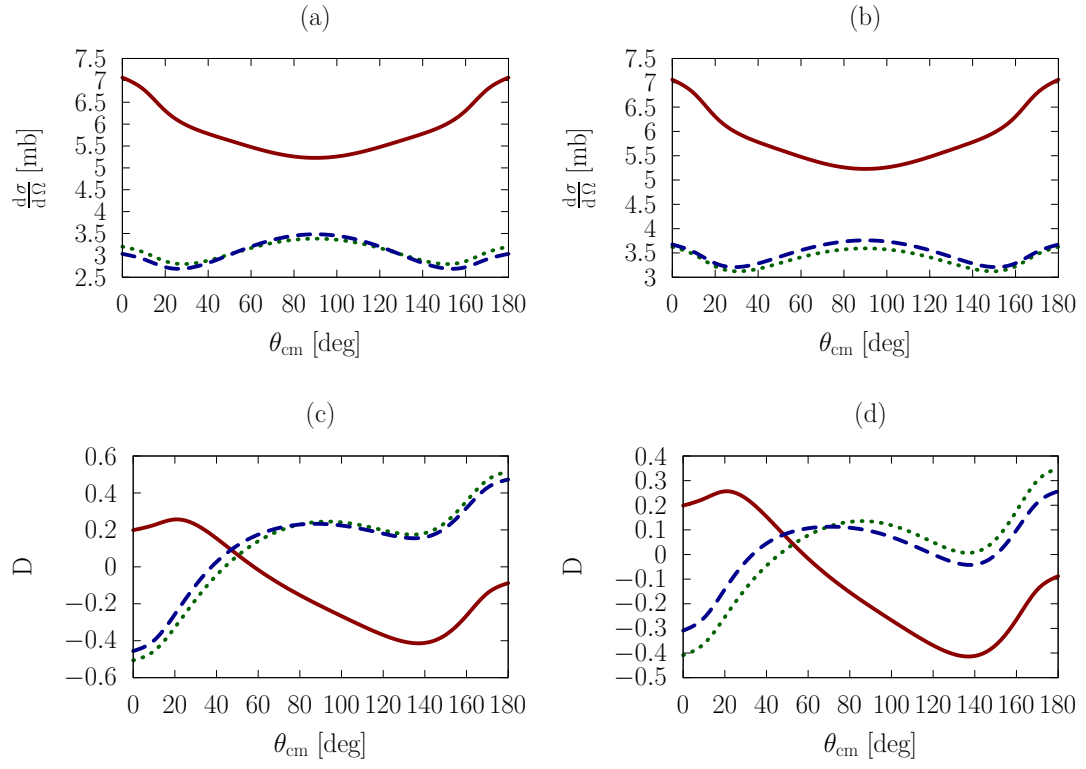


Figure 3.19: (Color online) Same as Fig. 3.18 but at 100 MeV.

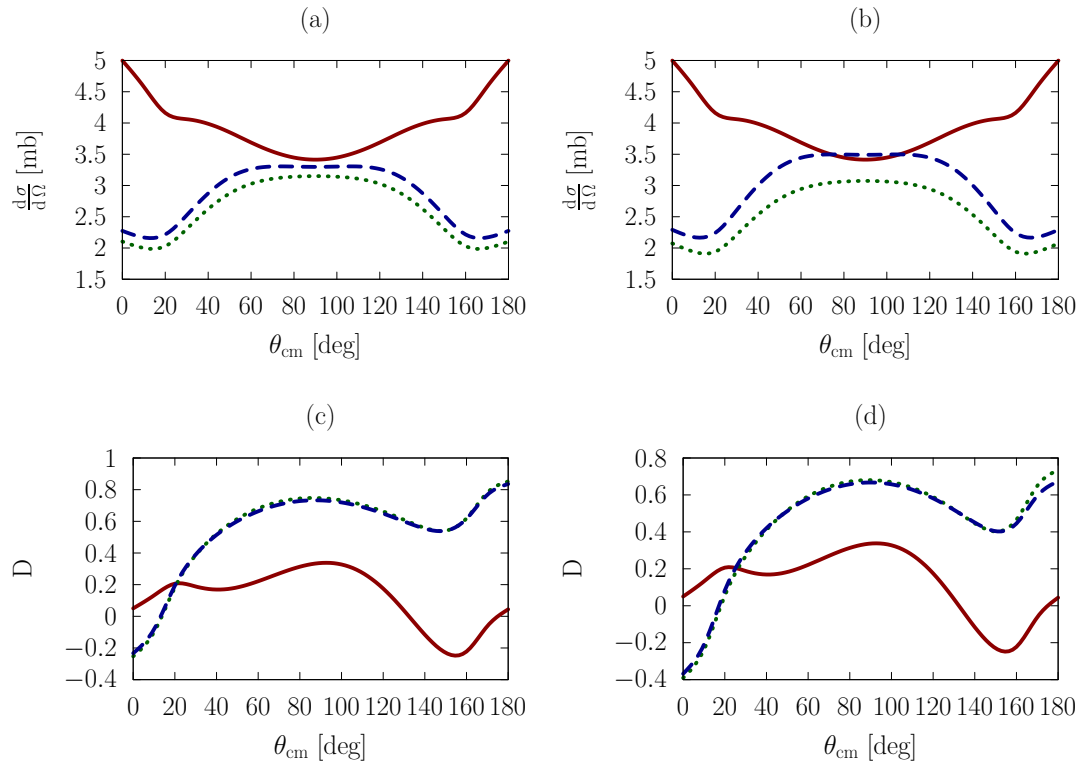


Figure 3.20: (Color online) Same as Fig. 3.18 but at 200 MeV.

Chapter 4

Summary and Conclusions

In this dissertation, we have been concerned with the scattering of two nucleons in free space and in nuclear matter. In momentum space, the quantum-mechanical scattering amplitude must be obtained as the solution of an integral equation whose main input is the nucleon-nucleon potential. Starting from the original covariant scattering equation (the four-dimensional Bethe-Salpeter equation [10]), the fourth component of the two-nucleon relative momentum is fixed by some prescription [29], yielding a three-dimensional relativistic equation. There is no unique way to accomplish this task and several prescriptions have been proposed, of which we choose the Thompson reduction [12].

The well-known expansion in a series of partial waves is the most popular way to deal with two-nucleon scattering equations. This method reduces the three-dimensional equation to a set of coupled one-dimensional equations to be solved for the various partial waves amplitudes. Note that, in free space, the solution obtained directly in three-dimensional space and the one which is reconstructed from the partial wave amplitudes are *exactly equivalent*. Technically, one is simply replacing angles with partial waves.

Some complications arise in the medium due to the presence of the Pauli blocking operator in the integrand. The latter is angle-dependent and, therefore, in its exact form, is incompatible with the partial wave method, which separates out angular variables at the level of the expansion. To circumvent this difficulty, the so-called “angle-average” approximation of the Pauli operator is usually applied, thus restoring the spherical symmetry in the kernel of the integral equation.

To avoid such approximation, and at the same time explore its validity, we have solved the integral equation for scattering of two nucleons in the medium without

the use of partial wave expansion. As part of our three-dimensional formalism, we provided explicit formulas for the three-dimensional relativistic one-boson-exchange amplitudes, which are more general than those already in literature.

First, we verified the accuracy of our calculation by reproducing closely existing free-space results. We then proceeded to apply Pauli blocking effects in the integral equation and compare our predictions with those obtained with the popular spherical approximation. Although the implementation of the exact Pauli operator is straightforward in the three-dimensional formalism, care must be exercised when extracting the physical states in the medium.

We observed potentially significant differences, particularly in the imaginary part of specific combinations of off-shell helicity amplitudes. Coupled states, which are driven by the tensor force, appear to be most impacted by the presence of a non-spherical Pauli operator.

In Chapter 3, we presented an alternative way to solve the Thompson and Bethe-Goldstone equations in three-dimensional space. The main differences with the solution techniques developed in Chapter 2 concern the way the azimuthal degree of freedom is integrated out in the equations and the strategy adopted to partially decouple the system. On-shell amplitudes, which were used to calculate NN observables, were obtained with the method described in Chapter 3. Only moderate sensitivity was observed between the exact and angle-averaged calculation in this case, although scattering in asymmetric matter (that is, in the presence of two different Fermi momenta), showed enhanced sensitivity. We stressed that, regardless the magnitude of the effects we have observed, the solution of the Bethe-Goldstone equation presented in this dissertation is an original one and allows to better quantify the impact of the historically very popular spherical approximation.

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Appendix A: One-Boson-Exchange Potentials in Plane-Wave Helicity Formalism

The momentum space one-boson-exchange potentials (OBEP) presented in this section are a modification of those found in Machleidt *et al.* [18, Appx. E]. The following modifications are performed:

1. Full three-dimensional treatment of momenta and rotated helicity wavefunctions.
2. The formulas apply to two baryons with different masses.
3. The Thompson propagator is used in place of the Blankenbecler and Sugar propagator. This allows the transition from Eq. (2.1) to Eq. (2.3).

Interaction Lagrangians and Dirac Spinors

Guided by symmetry principles, simplicity, and physical intuition, the most commonly used interaction Lagrangians [29] for meson-nucleon coupling are the scalar(s), pseudovector(pv), and vector(v)

$$\begin{aligned}\mathcal{L}_s &= g_s \bar{\psi} \psi \varphi_{(s)} , \quad \mathcal{L}_{pv} = -\frac{f_{ps}}{m_{ps}} \bar{\psi} \gamma^5 \gamma^\mu \psi \partial_\mu \varphi_{(ps)} , \\ \mathcal{L}_v &= g_v \bar{\psi} \gamma_\mu \psi \varphi_{(v)}^\mu + \frac{f_v}{4m} \bar{\psi} \sigma_{\mu\nu} \psi \left(\partial^\mu \varphi_{(v)}^\nu - \partial^\nu \varphi_{(v)}^\mu \right) .\end{aligned}\quad (4.63)$$

We adhere to the conventions and notations of Machleidt e.g., ψ (m) is the nucleon and φ_k (m_k) for $k = s, ps, v$ the meson field (mass). In relativistic nuclear structure calculations the pv Lagrangian is used in place of the ps Lagrangian. This is because the contribution from the nucleon-antinucleon pair diagram becomes very large when using the ps coupling, leading to unrealistically large pion-nucleon scattering lengths, whereas the same contributions are strongly reduced when using the pv Lagrangian [30].

In addition to the interaction Lagrangians, we also need Dirac spinors in a helicity

basis (similar expressions for primed coordinates)

$$u(\mathbf{q}, \lambda_1) = \sqrt{\frac{W_1}{2m_1}} \begin{pmatrix} \mathbf{1} \\ \frac{2\lambda_1|\mathbf{q}|}{W_1} \end{pmatrix} |\lambda_1\rangle, \quad u(-\mathbf{q}, \lambda_2) = \sqrt{\frac{W_2}{2m_2}} \begin{pmatrix} \mathbf{1} \\ \frac{2\lambda_2|\mathbf{q}|}{W_2} \end{pmatrix} |\lambda_2\rangle. \quad (4.64)$$

The most general spinor rotated into a direction with polar angles θ and ϕ through the usual Euler rotations can be written as [17]

$$|\lambda\rangle \equiv |\theta, \phi, \lambda\rangle = \mathcal{R}_{\phi, \theta, -\phi} \chi_\lambda = e^{i\phi\lambda} \mathcal{R}_{\phi, \theta, 0} \chi_\lambda, \quad (4.65)$$

with $\mathcal{R}_{\phi, \theta, 0} = \exp(-\frac{i}{2}\sigma_z\phi) \exp(-\frac{i}{2}\sigma_y\theta)$ operating on the conventional Pauli spinor χ_λ . Notice that χ_{λ_1} and $\chi_{-\lambda_2}$ must be used for particle 1 and 2 respectively. This is due to the opposite direction of motion in the center-of-mass frame.

The spinors are normalized covariantly e.g., $u^\dagger(\mathbf{p}, \lambda)\gamma^0 u(\mathbf{p}, \lambda) = \bar{u}(\mathbf{p}, \lambda)u(\mathbf{p}, \lambda) = 1$, and $W_{1(2)} \equiv E_{1(2)} + m_{1(2)}$ where $E_{1(2)} = \sqrt{\mathbf{q}^2 + m_{1(2)}^2}$.

Relativistic Momentum Space OBEP

From the interaction Lagrangian's and Dirac spinors we can derive the modified OBEP. By definition the OBEP is

$$\langle \lambda'_1 \lambda'_2 | \hat{V}^I(\mathbf{q}', \mathbf{q}) | \lambda_1 \lambda_2 \rangle \equiv \sum_{\alpha=\sigma, \eta, \omega} \langle \mathbf{q}' \lambda'_1 \lambda'_2 | V_\alpha | \mathbf{q} \lambda_1 \lambda_2 \rangle + (\delta_{I1} - 3\delta_{I0}) \sum_{\alpha=\pi, \delta, \rho} \langle \mathbf{q}' \lambda'_1 \lambda'_2 | V_\alpha | \mathbf{q} \lambda_1 \lambda_2 \rangle, \quad (4.66)$$

with scalar (δ, σ), pseudoscalar (π, η), and vector (ρ, ω) particles. In the above formula δ_{ij} stands for the Kronecker delta function, and it's utilized to assign the proper isospin coefficient.

For scalar particles (δ, σ)

$$\langle \mathbf{q}' \lambda'_1 \lambda'_2 | V_s | \mathbf{q} \lambda_1 \lambda_2 \rangle = -g_s^2 C_s \left(1 - \frac{4\lambda_1 \lambda'_1 |\mathbf{q}| |\mathbf{q}'|}{W'_1 W_1} \right) \left(1 - \frac{4\lambda_2 \lambda'_2 |\mathbf{q}| |\mathbf{q}'|}{W'_2 W_2} \right) \langle \lambda'_1 \lambda'_2 | \lambda_1 \lambda_2 \rangle . \quad (4.67)$$

For pseudoscalar particles (π, η)

$$\begin{aligned} \langle \mathbf{q}' \lambda'_1 \lambda'_2 | V_{ps} | \mathbf{q} \lambda_1 \lambda_2 \rangle &= \frac{f_{ps}^2}{m_{ps}^2} C_{ps} (4m_1 m_2) \left[\left(\frac{2\lambda'_1 |\mathbf{q}'|}{W'_1} - \frac{2\lambda_1 |\mathbf{q}|}{W_1} \right) \left(\frac{2\lambda'_2 |\mathbf{q}'|}{W'_2} - \frac{2\lambda_2 |\mathbf{q}|}{W_2} \right) \right. \\ &+ \frac{(E'_1 - E_1)(E'_2 - E_2)}{4m_1 m_2} \left(\frac{2\lambda'_1 |\mathbf{q}'|}{W'_1} + \frac{2\lambda_1 |\mathbf{q}|}{W_1} \right) \left(\frac{2\lambda'_2 |\mathbf{q}'|}{W'_2} + \frac{2\lambda_2 |\mathbf{q}|}{W_2} \right) \\ &+ \frac{E'_1 - E_1}{2m_1} \left(\frac{2\lambda'_1 |\mathbf{q}'|}{W'_1} + \frac{2\lambda_1 |\mathbf{q}|}{W_1} \right) \left(\frac{2\lambda'_2 |\mathbf{q}'|}{W'_2} - \frac{2\lambda_2 |\mathbf{q}|}{W_2} \right) \\ &\left. + \frac{E'_2 - E_2}{2m_2} \left(\frac{2\lambda'_1 |\mathbf{q}'|}{W'_1} - \frac{2\lambda_1 |\mathbf{q}|}{W_1} \right) \left(\frac{2\lambda'_2 |\mathbf{q}'|}{W'_2} + \frac{2\lambda_2 |\mathbf{q}|}{W_2} \right) \right] \langle \lambda'_1 \lambda'_2 | \lambda_1 \lambda_2 \rangle . \end{aligned} \quad (4.68)$$

For vector particles (ρ, ω) the potential is the sum of three terms $V_v = V_{vv} + V_{tt} + V_{vt}$

$$\begin{aligned} \langle \mathbf{q}' \lambda'_1 \lambda'_2 | V_{vv} | \mathbf{q} \lambda_1 \lambda_2 \rangle &= g_v^2 C_v \left[\left(1 + \frac{4\lambda'_1 \lambda_1 |\mathbf{q}'| |\mathbf{q}|}{W'_1 W_1} \right) \left(1 + \frac{4\lambda'_2 \lambda_2 |\mathbf{q}'| |\mathbf{q}|}{W'_2 W_2} \right) \langle \lambda'_1 \lambda'_2 | \lambda_1 \lambda_2 \rangle \right. \\ &- 4 \left(\frac{\lambda_1 |\mathbf{q}|}{W_1} + \frac{\lambda'_1 |\mathbf{q}'|}{W'_1} \right) \left(\frac{\lambda_2 |\mathbf{q}|}{W_2} + \frac{\lambda'_2 |\mathbf{q}'|}{W'_2} \right) \\ &\left. \times \langle \lambda'_1 \lambda'_2 | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | \lambda_1 \lambda_2 \rangle \right] , \end{aligned} \quad (4.69)$$

$$\begin{aligned} \langle \mathbf{q}' \lambda'_1 \lambda'_2 | V_{vt} | \mathbf{q} \lambda_1 \lambda_2 \rangle &= 2g_v f_v C_v \left[\left\{ \left(\frac{W'_1 + W'_2 + W_1 + W_2}{2m} \right) \left(\frac{16\lambda'_1 \lambda'_2 \lambda_1 \lambda_2 |\mathbf{q}'|^2 |\mathbf{q}|^2}{W'_1 W'_2 W_1 W_2} \right) \right. \right. \\ &- \left. \left. \left(\frac{E'_1 + E'_2 + E_1 + E_2 - 2(m_1 + m_2)}{2m} \right) \right\} \right. \\ &\times \langle \lambda'_1 \lambda'_2 | \lambda_1 \lambda_2 \rangle - \left\{ \left(\frac{m_1 + m_2}{2m} \right) \left(\frac{2\lambda_1 |\mathbf{q}|}{W_1} + \frac{2\lambda'_1 |\mathbf{q}'|}{W'_1} \right) \left(\frac{2\lambda_2 |\mathbf{q}|}{W_2} + \frac{2\lambda'_2 |\mathbf{q}'|}{W'_2} \right) \right. \\ &+ \frac{E'_1 - E_1}{m} \left(\frac{\lambda'_1 |\mathbf{q}'|}{W'_1} - \frac{\lambda_1 |\mathbf{q}|}{W_1} \right) \left(\frac{\lambda'_2 |\mathbf{q}'|}{W'_2} + \frac{\lambda_2 |\mathbf{q}|}{W_2} \right) \\ &\left. \left. + \frac{E'_2 - E_2}{m} \left(\frac{\lambda'_1 |\mathbf{q}'|}{W'_1} + \frac{\lambda_1 |\mathbf{q}|}{W_1} \right) \left(\frac{\lambda'_2 |\mathbf{q}'|}{W'_2} - \frac{\lambda_2 |\mathbf{q}|}{W_2} \right) \right\} \langle \lambda'_1 \lambda'_2 | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | \lambda_1 \lambda_2 \rangle \right] , \end{aligned} \quad (4.70)$$

$$\begin{aligned}
\langle \mathbf{q}' \lambda'_1 \lambda'_2 | V_{tt} | \mathbf{q} \lambda_1 \lambda_2 \rangle = & f_v^2 C_v \left[\left\{ \frac{m_1 m_2}{m^2} \left(1 + \frac{4\lambda'_1 \lambda_1 |\mathbf{q}'| |\mathbf{q}|}{W'_1 W_1} \right) \left(1 + \frac{4\lambda'_2 \lambda_2 |\mathbf{q}'| |\mathbf{q}|}{W'_2 W_2} \right) \right. \right. \\
& - \frac{E'_1 + E'_2 + E_1 + E_2}{2m^2} \left[m_1 \left(1 + \frac{4\lambda'_1 \lambda_1 |\mathbf{q}'| |\mathbf{q}|}{W'_1 W_1} \right) \right. \\
& \times \left. \left(1 - \frac{4\lambda'_2 \lambda_2 |\mathbf{q}'| |\mathbf{q}|}{W'_2 W_2} \right) + m_2 \left(1 - \frac{4\lambda'_1 \lambda_1 |\mathbf{q}'| |\mathbf{q}|}{W'_1 W_1} \right) \left. \left(1 + \frac{4\lambda'_2 \lambda_2 |\mathbf{q}'| |\mathbf{q}|}{W'_2 W_2} \right) \right] \\
& + \frac{1}{2m^2} \left(1 - \frac{4\lambda'_1 \lambda_1 |\mathbf{q}'| |\mathbf{q}|}{W'_1 W_1} \right) \left(1 - \frac{4\lambda'_2 \lambda_2 |\mathbf{q}'| |\mathbf{q}|}{W'_2 W_2} \right) \left[4m_1 m_2 + \frac{1}{2} \{ (E'_1 + E_1) \right. \\
& \times (E'_2 + E_2) - (E'_1 - E_1)^2 - (E'_2 - E_2)^2 + |\mathbf{q}'|^2 + |\mathbf{q}|^2 + 2\mathbf{q}' \cdot \mathbf{q} \} \left. \right] \langle \lambda'_1 \lambda'_2 | \lambda_1 \lambda_2 \rangle \\
& - \left\{ \frac{m_1 m_2}{m^2} \left(\frac{2\lambda_1 |\mathbf{q}|}{W_1} + \frac{2\lambda'_1 |\mathbf{q}'|}{W'_1} \right) \left(\frac{2\lambda_2 |\mathbf{q}|}{W_2} + \frac{2\lambda'_2 |\mathbf{q}'|}{W'_2} \right) + \frac{m_1 (E'_2 - E_2)}{2m^2} \right. \\
& \times \left(\frac{2\lambda_1 |\mathbf{q}|}{W_1} + \frac{2\lambda'_1 |\mathbf{q}'|}{W'_1} \right) \left(\frac{2\lambda'_2 |\mathbf{q}'|}{W'_2} - \frac{2\lambda_2 |\mathbf{q}|}{W_2} \right) + \frac{m_2 (E'_1 - E_1)}{2m^2} \\
& \times \left(\frac{2\lambda'_1 |\mathbf{q}'|}{W'_1} - \frac{2\lambda_1 |\mathbf{q}|}{W_1} \right) \left(\frac{2\lambda'_2 |\mathbf{q}'|}{W'_2} + \frac{2\lambda_2 |\mathbf{q}|}{W_2} \right) + \frac{(E'_1 - E_1)(E'_2 - E_2)}{4m^2} \\
& \left. \times \left(\frac{2\lambda'_1 |\mathbf{q}'|}{W'_1} - \frac{2\lambda_1 |\mathbf{q}|}{W_1} \right) \left(\frac{2\lambda'_2 |\mathbf{q}'|}{W'_2} - \frac{2\lambda_2 |\mathbf{q}|}{W_2} \right) \right\} \langle \lambda'_1 \lambda'_2 | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | \lambda_1 \lambda_2 \rangle \left. \right]. \quad (4.71)
\end{aligned}$$

In the above formulas

$$\begin{aligned}
C_k & \equiv \frac{1}{4(2\pi)^3} \frac{[F_k(|\mathbf{q}' - \mathbf{q}|^2)]^2}{(|\mathbf{q}' - \mathbf{q}|^2 + m_k^2)} \sqrt{\frac{W'_1 W'_2 W_1 W_2}{E'_1 E'_2 E_1 E_2}}, \\
F_k(|\mathbf{q}' - \mathbf{q}|^2) & = \left(\frac{\Lambda_k^2 - m_k^2}{\Lambda_k^2 + |\mathbf{q}' - \mathbf{q}|^2} \right)^{n_k}, \quad k = s, ps, v. \quad (4.72)
\end{aligned}$$

Numerical values for the parameters m_k , n_k , Λ_k and the coupling constants f_k , g_k can be found on p.347 of Ref. [29] or in Ref. [18].

Helicity Matrix Elements

For completeness, we provide expressions for the helicity state matrix elements with general dependence on $\theta, \theta', \phi, \phi'$. These can be derived using Eq. (4.65).

$$\begin{aligned}
\langle ++ | ++ \rangle &= \frac{1}{2}(1 + \cos \theta' \cos \theta + \sin \theta' \sin \theta \cos(\phi' - \phi)) , \\
\langle ++ | +- \rangle &= \frac{1}{2}(\cos \theta' \sin \theta - \sin \theta'(\cos \theta \cos(\phi' - \phi) + i \sin(\phi' - \phi))) , \\
\langle ++ | -- \rangle &= \frac{1}{2}(-1 + \cos \theta' \cos \theta + \sin \theta' \sin \theta \cos(\phi' - \phi)) , \\
\langle +- | ++ \rangle &= \frac{1}{2}(\sin \theta' \cos \theta - \sin \theta(\cos \theta' \cos(\phi' - \phi) + i \sin(\phi' - \phi))) , \\
\langle +- | +- \rangle &= \frac{1}{2}(\sin \theta' \sin \theta + (1 + \cos \theta' \cos \theta) \cos(\phi' - \phi) + i(\cos \theta' + \cos \theta) \sin(\phi' - \phi)) , \\
\langle +- | -+ \rangle &= \frac{1}{2}(-\sin \theta' \sin \theta + (1 - \cos \theta' \cos \theta) \cos(\phi' - \phi) + i(\cos \theta' - \cos \theta) \sin(\phi' - \phi)) , \\
\langle ++ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | ++ \rangle &= \langle ++ | ++ \rangle - 2 , \\
\langle ++ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | +- \rangle &= \langle ++ | +- \rangle , \\
\langle ++ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | -- \rangle &= \langle ++ | -- \rangle + 2 , \\
\langle +- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | ++ \rangle &= \langle +- | ++ \rangle , \\
\langle +- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | +- \rangle &= \langle +- | +- \rangle , \\
\langle +- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | -+ \rangle &= \langle +- | -+ \rangle ,
\end{aligned} \tag{4.73a}$$

$$\begin{aligned}
\langle -- | -- \rangle &= \langle ++ | ++ \rangle , \\
\langle -- | +- \rangle &= \langle ++ | +- \rangle , \\
\langle ++ | -+ \rangle &= \langle -- | -+ \rangle = -\operatorname{Re}(\langle ++ | +- \rangle) + i \operatorname{Im}(\langle ++ | +- \rangle) , \\
\langle +- | -- \rangle &= \langle +- | ++ \rangle , \\
\langle -+ | ++ \rangle &= \langle -+ | -- \rangle = -\operatorname{Re}(\langle +- | ++ \rangle) + i \operatorname{Im}(\langle +- | ++ \rangle) , \\
\langle -- | ++ \rangle &= \langle ++ | -- \rangle , \\
\langle -+ | +- \rangle &= \operatorname{Re}(\langle +- | -+ \rangle) - i \operatorname{Im}(\langle +- | -+ \rangle) , \\
\langle -+ | -+ \rangle &= \operatorname{Re}(\langle +- | +- \rangle) - i \operatorname{Im}(\langle +- | +- \rangle) , \tag{4.74a}
\end{aligned}$$

$$\begin{aligned}
\langle -- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | -- \rangle &= \langle ++ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | ++ \rangle , \\
\langle -- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | +- \rangle &= \langle ++ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | +- \rangle , \\
\langle ++ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | -+ \rangle &= \langle -- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | -+ \rangle \\
&= -\operatorname{Re}(\langle ++ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | +- \rangle) + i \operatorname{Im}(\langle ++ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | +- \rangle) , \\
\langle +- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | -- \rangle &= \langle +- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | ++ \rangle , \\
\langle -+ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | ++ \rangle &= \langle -+ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | -- \rangle \\
&= -\operatorname{Re}(\langle +- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | ++ \rangle) + i \operatorname{Im}(\langle +- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | ++ \rangle) , \\
\langle -- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | ++ \rangle &= \langle ++ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | -- \rangle , \\
\langle -+ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | +- \rangle &= \operatorname{Re}(\langle +- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | -+ \rangle) - i \operatorname{Im}(\langle +- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | -+ \rangle) , \\
\langle -+ | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | -+ \rangle &= \operatorname{Re}(\langle +- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | +- \rangle) - i \operatorname{Im}(\langle +- | \boldsymbol{\sigma}^{(1)} \cdot \boldsymbol{\sigma}^{(2)} | +- \rangle) . \tag{4.75a}
\end{aligned}$$

Appendix B: Converting the Bethe-Goldstone Integral Equations into Matrix Equations

In this section we give details on the numerical solution of Eq. (2.31). Clearly, the other integral equations follow along similar lines.

Six integral equations are obtained from Eq. (2.31) using the linear combinations in Eq. (2.11) along with information from Eq. (2.9)

$${}^0g^I(\tilde{q}', \tilde{q}) = {}^0v^I(\tilde{q}', \tilde{q}) + \pi \int_0^\pi \left(\mathcal{P} \int_0^\infty \frac{{}^{(0,0)}f^I(q'')q''^2}{E_q^* - E_{q''}^*} dq'' - i\pi q E_q^* {}^{(0,0)}f^I(q) \right) \sin \theta'' d\theta'' , \quad (4.76a)$$

$${}^1g^I(\tilde{q}', \tilde{q}) = {}^1v^I(\tilde{q}', \tilde{q}) + \pi \int_0^\pi \left(\mathcal{P} \int_0^\infty \frac{{}^{(1,1)}f^I(q'')q''^2}{E_q^* - E_{q''}^*} dq'' - i\pi q E_q^* {}^{(1,1)}f^I(q) \right) \sin \theta'' d\theta'' , \quad (4.76b)$$

$${}^{12}g^I(\tilde{q}', \tilde{q}) = {}^{12}v^I(\tilde{q}', \tilde{q}) + \pi \int_0^\pi \left(\mathcal{P} \int_0^\infty \frac{[{}^{(12,12)}f^I(q'') + {}^{(55,66)}f^I(q'')] q''^2}{E_q^* - E_{q''}^*} dq'' - i\pi q E_q^* [{}^{(12,12)}f^I(q) + {}^{(55,66)}f^I(q)] \right) \sin \theta'' d\theta'' , \quad (4.76c)$$

$${}^{34}g^I(\tilde{q}', \tilde{q}) = {}^{34}v^I(\tilde{q}', \tilde{q}) + \pi \int_0^\pi \left(\mathcal{P} \int_0^\infty \frac{[{}^{(34,34)}f^I(q'') + {}^{(66,55)}f^I(q'')] q''^2}{E_q^* - E_{q''}^*} dq'' - i\pi q E_q^* [{}^{(34,34)}f^I(q) + {}^{(66,55)}f^I(q)] \right) \sin \theta'' d\theta'' , \quad (4.76d)$$

$${}^{55}g^I(\tilde{q}', \tilde{q}) = {}^{55}v^I(\tilde{q}', \tilde{q}) + \pi \int_0^\pi \left(\mathcal{P} \int_0^\infty \frac{[{}^{(12,55)}f^I(q'') + {}^{(55,34)}f^I(q'')] q''^2}{E_q^* - E_{q''}^*} dq'' - i\pi q E_q^* [{}^{(12,55)}f^I(q) + {}^{(55,34)}f^I(q)] \right) \sin \theta'' d\theta'' , \quad (4.76e)$$

$${}^{66}g^I(\tilde{q}', \tilde{q}) = {}^{66}v^I(\tilde{q}', \tilde{q}) + \pi \int_0^\pi \left(\mathcal{P} \int_0^\infty \frac{[{}^{(34,66)}f^I(q'') + {}^{(66,12)}f^I(q'')] q''^2}{E_q^* - E_{q''}^*} dq'' - i\pi q E_q^* [{}^{(34,66)}f^I(q) + {}^{(66,12)}f^I(q)] \right) \sin \theta'' d\theta'' , \quad (4.76f)$$

where we defined ${}^{(n,m)}f^I(q'') \equiv {}^nv^I(\tilde{q}', \tilde{q}'')Q(\tilde{q}'', P, k_F)^m g^I(\tilde{q}'', \tilde{q})$ for $n, m = 0, 1, 12, 34, 55, 66$.

The $i\epsilon$ term present in Eq. (2.31) was converted into a principle value integral

(denoted by \mathcal{P}) plus an imaginary term using the Plemelj formula. To handle the principle value integral, we symmetrically distribute Gauss-Legendre (GL) points about the singularity. This is accomplished by breaking the integral into two parts, $\mathcal{P} \int_0^\infty dq'' = \int_0^{2q} dq'' + \int_{2q}^\infty dq''$ and creating an N'' -point GL rule. Namely, $X_i \equiv \begin{pmatrix} \mathbf{X}_1 & \mathbf{X}_2 \end{pmatrix}$ and $W_i \equiv \begin{pmatrix} \mathbf{W}_1 & \mathbf{W}_2 \end{pmatrix}$ for $i = 1, 2, \dots, N''$. The N'' -point GL rule is built from two separate GL rules. The first being a N_1 -point GL rule over $(0, 2q)$ (with nodes and weights $\mathbf{X}_1, \mathbf{W}_1$) and the second a N_2 -point GL rule over $(2q, \infty)$ (with nodes and weights $\mathbf{X}_2, \mathbf{W}_2$). Choosing $N_1 = \text{even}$ will ensure that the points are distributed symmetrically about the singularity. With regard to the integration over $(2q, \infty)$, we found that better stability could be achieved by truncating the integration at a sufficiently large value rather than using one of the standard transformations. Finally, for the $(0, \pi)$ integral a standard N_θ'' -point GL rule is used with nodes and weight given as \mathbf{x}, \mathbf{w} .

Although various methods exist for solving Fredholm integral equations of the second kind, we prefer the Nystrom method. ‘‘Delves and Mohamed [31] investigated methods more complicated than the Nystrom method. For straightforward Fredholm equations of the second kind, they concluded ‘. . . the clear winner of this contest has been the Nystrom routine . . . with the N -point Gauss-Legendre rule. This routine is extremely simple . . . Such results are enough to make a numerical analyst weep’ ’ [13]. The details of Nystrom method can be found in Ref. [13], but the general idea is to convert the system of integral equations into a system of matrix equations. From there, we solve them using a LAPACK [32] LU-factorization routine.

The matrix equations corresponding to Eq. (4.76) are

$${}^0\mathbf{K}^I(q){}^0\mathbf{g}^I(q) = {}^0\mathbf{v}^I(q) , \quad (4.77a)$$

$${}^1\mathbf{K}^I(q){}^1\mathbf{g}^I(q) = {}^1\mathbf{v}^I(q) , \quad (4.77b)$$

$$\begin{pmatrix} {}^{12}\mathbf{K}^I(q) & {}^{55}\mathbf{K}^I(q) - \mathbf{1} \\ {}^{66}\mathbf{K}^I(q) - \mathbf{1} & {}^{34}\mathbf{K}^I(q) \end{pmatrix} \begin{pmatrix} {}^{12}\mathbf{g}^I(q) & {}^{55}\mathbf{g}^I(q) \\ {}^{66}\mathbf{g}^I(q) & {}^{34}\mathbf{g}^I(q) \end{pmatrix} = \begin{pmatrix} {}^{12}\mathbf{v}^I(q) & {}^{55}\mathbf{v}^I(q) \\ {}^{66}\mathbf{v}^I(q) & {}^{34}\mathbf{v}^I(q) \end{pmatrix} . \quad (4.77c)$$

Convenient definitions (for $n = 0, 1, 12, 34, 55, 66$) are the two $N''_\theta(1 + N'') \times N_\theta$ matrices ${}^n\mathbf{v}_{jk}^I(q) \equiv {}^n\mathbf{v}^I(\tilde{q}'_j, q, \theta_k)$, ${}^n\mathbf{g}_{jk}^I(q) \equiv {}^n\mathbf{g}^I(\tilde{q}'_j, q, \theta_k)$, and the $N''_\theta(1 + N'') \times N''_\theta(1 + N'')$ matrix ${}^n\mathbf{K}^I(q) \equiv \mathbf{1} - \begin{pmatrix} {}^n\boldsymbol{\alpha}^I(q) & {}^n\boldsymbol{\beta}^I(q) \end{pmatrix}$. The $N''_\theta(1 + N'') \times N''_\theta$ $\boldsymbol{\alpha}$ matrix and the $N''_\theta(1 + N'') \times N''N''_\theta$ $\boldsymbol{\beta}$ matrix are defined as

$${}^n\boldsymbol{\alpha}_{jk}^I(q) \equiv -i\pi^2 q E_q^* w_k \sin(x_k) Q(q, x_k, P, k_F) {}^n\mathbf{v}^I(\tilde{q}'_j, q, x_k) , \quad (4.78a)$$

$${}^n\boldsymbol{\beta}_{jk}^I(q) \equiv \pi W_m w_\ell \frac{X_m^2 \sin(x_\ell)}{E_q^* - E_{X_m}^*} Q(X_m, x_\ell, P, k_F) {}^n\mathbf{v}^I(\tilde{q}'_j, X_m, x_\ell) , \quad m \equiv \left\lfloor \frac{k-1}{N''_\theta} + 1 \right\rfloor ,$$

$$\ell \equiv k - N''_\theta(m-1) . \quad (4.78b)$$

In the previous equations we utilize the definition of $\tilde{q}' \equiv (q', \theta')$ to create a vector of points

$$\tilde{\mathbf{q}}' \equiv \begin{pmatrix} \mathbf{y} \\ \mathbf{z} \end{pmatrix} , \quad y_j \equiv (q, x_j) , \quad z_k \equiv (X_m, x_\ell) , \quad (4.79)$$

where m and ℓ in terms of k are given in Eq. (4.78b). Also keep in mind that we sometimes denote matrices and vectors by their entries e.g., \mathbf{A} as A_{jk} .

As we stated in section 2.1.1, in our Chapter 2 formalism we solve the solution over the $q' \times \theta' \times \theta$ grid. This extra dimension requires us to introduce an additional set of points which are not needed in the Chapter 3 formalism. A natural set of points are the nodes $\boldsymbol{\theta}$ for a N_θ -point GL rule over $(0, \pi)$. Fortunately, this added dimension has little effect on computational time. This is because the matrices are dependent

only on q . Thus, once LU-factorization is complete the actual solution for multiple right hand sides (i.e. the θ dimension) is trivial.

A brief mention of our computational parameters is in order. We use a 30-point GL rule over $(0, 2q)$, a 100-point GL rule over $(2q, \infty)$, a 80-point GL rule over $(0, \pi)$, and a 20-point GL rule over $(0, 2\pi)$ for the ϕ -integrated NN potential. Unfortunately, the number of points needed for a stable GL rule is high. The reason is the structure of the three-dimensional NN potentials [see in particular Eq. (4.72)]. Notice that, when the angle-dependent potentials are diagonal in the three-momenta, the form factor becomes ineffective in its role of cutting out high-momentum component. The largest value of q'' needed for a stable integration to infinity was 20,000 MeV and occurred for ${}^0t^0$. The others required a smaller cutoff $\approx 3,000$ MeV. Furthermore, initial stability tests can be very time consuming. Although the computational time was dramatically reduced using OpenMP [33] to fill in the entries of the matrices, once agreement with existing t -matrices is verified, we simply run the g -matrix calculation under the same computational conditions.

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